# Reducing the maximum degree of a graph by deleting edges

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#### Abstract

We investigate the smallest number  $\lambda_{e}(G)$  of edges that can be removed from a non-empty graph G so that the resulting graph has a smaller maximum degree. We prove that if m is the number of edges, k is the maximum degree, and t is the number of vertices of degree k, then  $\lambda_{e}(G) \leq \frac{m+(k-1)t}{2k-1}$ . We also show that  $\lambda_{e}(G) \leq \frac{m}{k}$  if G is a tree. For each of these two bounds, we determine the graphs which attain the bound. We provide other sharp bounds for  $\lambda_{e}(G)$ , relations with other graph parameters, and structural observations.

# 1 Introduction

Unless stated otherwise, we shall use small letters such as x to denote non-negative integers or functions or elements of a set, and capital letters such as X to denote sets or graphs. The set  $\{1, 2, ...\}$  of positive integers is denoted by  $\mathbb{N}$ . For any  $n \in \mathbb{N}$ , the set  $\{1, ..., n\}$  is denoted by [n]. For a set X, the set  $\{\{x, y\}: x, y \in X, x \neq y\}$  (of all 2-element subsets of X) is denoted by  $\binom{X}{2}$ . All arbitrary sets are assumed to be finite.

A graph G is a pair (X, Y), where X is a set, called the vertex set of G, and Y is a subset of  $\binom{X}{2}$  and is called the *edge set of* G. The vertex set and the edge set of G are denoted by V(G) and E(G), respectively. An element of V(G) is called a vertex of G, and an element of E(G) is called an *edge of* G. We may represent an edge  $\{v, w\}$  by vw. If vw is an edge of G, then v and w are said to be *adjacent in* G, and we say that w is a *neighbour of* v in G (and vice-versa). An edge vw is said to be *incident to* x if x = v or x = w.

For  $v \in V(G)$ ,  $N_G(v)$  denotes the set of neighbours of v in G,  $N_G[v]$  denotes  $N_G(v) \cup \{v\}$  and is called the *closed neighbourhood of* v in G,  $E_G(v)$  denotes the set of edges of G that are incident to v, and  $d_G(v)$  denotes  $|N_G(v)| (= |E_G(v)|)$  and is

called the *degree of* v in G. For  $X \subseteq V(G)$ , we denote  $\bigcup_{v \in X} N_G(v)$ ,  $\bigcup_{v \in X} N_G[v]$ , and  $\bigcup_{v \in X} E_G(v)$  by  $N_G(X)$ ,  $N_G[X]$ , and  $E_G(X)$ , respectively. The minimum degree of G is min $\{d_G(v): v \in V(G)\}$  and is denoted by  $\delta(G)$ . The maximum degree of G is max $\{d_G(v): v \in V(G)\}$  and is denoted by  $\Delta(G)$ . Let M(G) denote the set of vertices of G of degree  $\Delta(G)$ . Let  $G_e$  denote the subgraph of G given by  $(\bigcup_{v \in M(G)} E_G(v), E_G(M(G)))$  (=  $(N_G[M(G)], E_G(M(G)))$ ).

If H and G are graphs such that  $V(H) \subseteq V(G)$  and  $E(H) \subseteq E(G)$ , then H is called a *subgraph of* G, and we say that G contains H. For  $X \subseteq V(G)$ ,  $(X, E(G) \cap {\binom{X}{2}})$  is called the *subgraph of* G *induced by* X and is denoted by G[X]. For  $S \subseteq V(G)$ , G-S denotes the subgraph of G induced by  $V(G) \setminus S$ . We may abbreviate  $G - \{v\}$  to G - v. For  $L \subseteq E(G)$ , G - L denotes the subgraph of G obtained by removing from G the edges in L, that is,  $G - L = (V(G), E(G) \setminus L)$ . We may abbreviate  $G - \{e\}$  to G - e.

In [3], we investigated the smallest number of vertices that can be removed from a graph so that the new graph obtained has a smaller maximum degree. In the present paper, we investigate the smallest number of edges that can be removed from a graph for the same purpose. The first problem is of *domination* type (see [3]), whereas the second problem is of *edge-covering* type (see below).

We call a subset L of E(G) a  $\Delta$ -reducing edge set of G if  $\Delta(G - L) < \Delta(G)$  or  $\Delta(G) = 0$ . We denote the size of a smallest  $\Delta$ -reducing edge set of G by  $\lambda_{\rm e}(G)$ .

We provide several bounds and equations for  $\lambda_{e}(G)$ . Before stating our results, we need to add some definitions and notation, and make a few observations.

For  $L \subseteq E(G)$  and  $X \subseteq V(G)$ , we say that L is an edge cover of X in G if for each  $v \in X$  with  $d_G(v) > 0$ , at least one edge in L is incident to v. Note that L is a  $\Delta$ -reducing edge set of G if and only if L is an edge cover of M(G) in G. Thus,

 $\lambda_{e}(G) = \min\{|L|: L \text{ is an edge cover of } M(G) \text{ in } G\}.$ 

Consequently, we immediately obtain

$$\lambda_{\rm e}(G) = \lambda_{\rm e}(G_{\rm e}). \tag{1}$$

If  $G, G_1, \ldots, G_r$  are graphs such that  $V(G) = \bigcup_{i=1}^r V(G_i)$  and  $E(G) = \bigcup_{i=1}^r E(G_i)$ , then we say that G is the union of  $G_1, \ldots, G_r$ .

If  $X_1, \ldots, X_s$  are sets such that no r of  $X_1, \ldots, X_s$  have a common element, then  $X_1, \ldots, X_s$  are said to be r-wise disjoint. Graphs  $G_1, \ldots, G_s$  are said to be r-wise vertex-disjoint if  $V(G_1), \ldots, V(G_s)$  are r-wise disjoint. Graphs  $G_1, \ldots, G_s$  are said to be r-wise edge-disjoint if  $E(G_1), \ldots, E(G_s)$  are r-wise disjoint. We may use the term pairwise instead of 2-wise.

If  $v_1, v_2, \ldots, v_n$  are the distinct vertices of a graph G with  $E(G) = \{v_i v_{i+1} : i \in [n-1]\}$ , then G is called a  $v_1 v_n$ -path or simply a path. The path  $([n], \{\{1, 2\}, \ldots, \{n-1, n\}\})$  is denoted by  $P_n$ . For a path P, the length of P, denoted by l(P), is |V(P)| - 1.

For a graph G and  $u, v \in V(G)$ , the distance of v from u, denoted by  $d_G(u, v)$ , is given by  $d_G(u, v) = 0$  if u = v,  $d_G(u, v) = \min\{l(P): P \text{ is a } uv\text{-path}, G \text{ contains } P\}$ if G contains a uv-path, and  $d_G(u, v) = \infty$  if G contains no uv-path. A graph H is connected if for every  $u, v \in V(H)$  with  $u \neq v$ , H contains a uvpath. A component of a graph G is a maximal connected subgraph of G (that is, one that is not a subgraph of any other connected subgraph of G). It is easy to see that if  $G_1, \ldots, G_r$  are the distinct components of G, then  $G_1, \ldots, G_r$  are pairwise vertex-disjoint and hence pairwise edge-disjoint, and G is the union of  $G_1, \ldots, G_r$ .

Let H be a graph. A graph G is a copy of H if there exists a bijection  $f: V(G) \to V(H)$  such that  $E(H) = \{f(u)f(v): uv \in E(G)\}.$ 

If  $n \geq 3$  and  $v_1, v_2, \ldots, v_n$  are the distinct vertices of a graph G with  $E(G) = \{v_1v_2, v_2v_3, \ldots, v_{n-1}v_n, v_nv_1\}$ , then G is called a *cycle*. The cycle  $([n], \{\{1, 2\}, \ldots, \{n-1, n\}, \{n, 1\}\})$  is denoted by  $C_n$ . A *triangle* is a copy of  $C_3$ .

A tree is a connected graph that contains no cycles. A forest is a graph whose components are trees. For  $k \ge 1$ , the tree  $(\{0\} \cup [k], \{\{0, i\}: i \in [k]\})$  is denoted by  $K_{1,k}$ . A copy of  $K_{1,k}$  will be called a k-star or simply a star.

A graph G is complete if every two vertices of G are adjacent (that is,  $E(G) = \binom{V(G)}{2}$ ). A graph G is empty if no two vertices of G are adjacent (that is,  $E(G) = \emptyset$ ). A graph G is a singleton if |V(G)| = 1, in which case G is complete and empty.

If  $k \in \{0\} \cup \mathbb{N}$  and each vertex of a graph G has degree k, then G is called k-regular or simply regular.

We are now ready to state our main results, given in the next section. In Section 3, we investigate  $\lambda_{e}(G)$  from a structural point of view; we obtain equations for  $\lambda_{e}(G)$ in terms of certain parameters of certain subgraphs of G, and observe how  $\lambda_{e}(G)$ changes with the deletion of edges. Some of the structural results are then used in the proofs of the main upper bounds presented in the next section; these proofs are given in Section 4.

# 2 Main results

In this section, we present our main results, most of which are bounds for  $\lambda_{e}(G)$  in terms of basic parameters of G. We start with a lower bound.

**Proposition 2.1** If G is a graph, n = |V(G)|, m = |E(G)|,  $k = \Delta(G) \ge 1$ , and t = |M(G)|, then

$$\lambda_{\mathbf{e}}(G) \ge \max\left\{\left\lceil \frac{2m - (k-1)n}{2} \right\rceil, \left\lceil \frac{t}{2} \right\rceil\right\}.$$

Moreover, equality holds if G is complete.

**Proof.** Let *L* be a  $\Delta$ -reducing edge set of *G* of size  $\lambda_{e}(G)$ . Since  $\Delta(G-L) \leq k-1$ , the handshaking lemma (applied to G-L) gives us  $|E(G-L)| \leq \frac{(k-1)n}{2}$ . Since  $m = |E(G-L)| + |L| \leq \frac{(k-1)n}{2} + \lambda_{e}(G), \ \lambda_{e}(G) \geq \left\lceil \frac{2m-(k-1)n}{2} \right\rceil$ .

Since L is a  $\Delta$ -reducing edge set of G, each vertex in M(G) is contained in some edge in L. Thus,  $M(G) \subseteq \bigcup_{e \in L} e$ . Therefore,  $t \leq \sum_{e \in L} |e| = 2|L|$ , and hence  $\lambda_{e}(G) \geq \lfloor \frac{t}{2} \rfloor$ .

Suppose that G is a complete graph. Then t = n, k = n - 1, and  $m = \frac{n(n-1)}{2}$ . Let  $v_1, \ldots, v_n$  be the vertices of G. Let  $X = \{v_{2i-1}v_{2i} : i \in \mathbb{N}, i \leq \frac{n}{2}\}$ . If n is even, then X is a  $\Delta$ -reducing edge set of G of size  $\frac{n}{2} = \lceil \frac{t}{2} \rceil = \lceil \frac{2m - (k-1)n}{2} \rceil$ . If n is odd, then  $X \cup \{v_n v_1\}$  is a  $\Delta$ -reducing edge set of G of size  $\frac{n+1}{2} = \lceil \frac{t}{2} \rceil = \lceil \frac{2m - (k-1)n}{2} \rceil$ .  $\Box$ 

In the rest of this section, we present upper bounds for  $\lambda_{e}(G)$ , the proofs of which are given in Section 4. For this purpose, we shall first introduce a class of graphs that attain each of these upper bounds.

For  $k \ge 1$ , we will call a graph G a special k-star union if  $\Delta(G) = k$  and each non-singleton component of G is the union of k-stars that are pairwise edge-disjoint and k-wise vertex-disjoint. In Section 4, we prove the following.

**Lemma 2.2** If G is a special k-star union, m = |E(G)|, and t = |M(G)|, then m = kt and  $\lambda_{e}(G) = t$ .

**Theorem 2.3** If G is a graph, m = |E(G)|,  $k = \Delta(G) \ge 1$ , and t = |M(G)|, then

$$\lambda_{\mathbf{e}}(G) \le \frac{m + (k-1)t}{2k - 1}$$

Moreover, equality holds if and only if G is a special k-star union or each nonsingleton component of G is a 2-star or a triangle.

**Remark 2.4** By (1), we may take  $m = |E(G_e)|$  in each of the results above, and  $n = |V(G_e)|$  in Proposition 2.1. Note that  $\Delta(G) = \Delta(G_e)$  and  $M(G) = M(G_e)$ . Thus, we actually have the following immediate consequence.

**Corollary 2.5** If G is a graph,  $n = |V(G_e)|$ ,  $m = |E(G_e)|$ ,  $k = \Delta(G) \ge 1$ , and t = |M(G)|, then

$$\max\left\{\left\lceil\frac{2m-(k-1)n}{2}\right\rceil, \left\lceil\frac{t}{2}\right\rceil\right\} \le \lambda_{\rm e}(G) \le \frac{m+(k-1)t}{2k-1}.$$

Moreover, the bounds are sharp.

Consider the numbers m, k, and t in Corollary 2.5. By the definition of  $G_{\rm e}$ ,  $m \leq kt$ . Let  $H = G_{\rm e}$ . By the handshaking lemma,  $2m = \sum_{v \in V(H)} d_H(v) \geq \sum_{v \in M(G)} d_H(v) = kt$  (and equality holds if and only if  $G_{\rm e}$  is regular). Thus,

$$\frac{kt}{2} \le m \le kt. \tag{2}$$

Using a probabilistic argument similar to that used by Alon in [1], we prove the following bound.

**Theorem 2.6** If G is a graph,  $m = |E(G_e)|$ ,  $k = \Delta(G) \ge 2$ , and t = |M(G)|, then

$$\lambda_{\mathbf{e}}(G) \le m \left(1 - \frac{k-1}{k} \left(\frac{m}{kt}\right)^{\frac{1}{k-1}}\right).$$

Moreover, equality holds if  $G_e$  is a special k-star union.

As we also show in Section 4, a slight adjustment of the proof of Theorem 2.6 yields the following weaker but simpler (and still sharp) result.

**Theorem 2.7** If G is a graph,  $m = |E(G_e)|, k = \Delta(G) \ge 1$ , and t = |M(G)|, then

$$\lambda_{\rm e}(G) \le \frac{m}{k} \left( 1 + \ln\left(\frac{kt}{m}\right) \right).$$

Moreover, equality holds if  $G_e$  is a special k-star union.

A set of pairwise disjoint edges of G is called a matching of G. The matching number of G is the size of a largest matching of G and is denoted by  $\alpha'(G)$ . In the next section, we prove the following result.

**Theorem 2.8** For every non-empty graph G,

$$\lambda_{\mathbf{e}}(G) = |M(G)| - \alpha'(G[M(G)]).$$

If G is a regular non-empty graph, then M(G) = V(G), and hence, by Theorem 2.8,  $\lambda_{e}(G) = |V(G)| - \alpha'(G)$ . Thus, for a regular graph G, a lower bound for  $\alpha'(G)$  yields an upper bound for  $\lambda_{e}(G)$ , and vice-versa. For  $k \geq 3$ , Henning and Yeo [4] established a lower bound for  $\alpha'(G)$  for all k-regular graphs G, and showed that the bound is attained for infinitely many k-regular graphs. Biedl, Demaine, Duncan, Fleischer, and Kobourov [2] had proved the bound for k = 3 and several other interesting lower bounds for  $\alpha'(G)$ . Another important lower bound for k-regular graphs with  $k \geq 4$  is given by O and West [6]. The 2-regular graphs are the cycles. It is easy to see that  $\{n, 1\} \cup \{\{2i, 2i + 1\}: 1 \leq i \leq \lfloor n/2 \rfloor - 1\}$  is a smallest  $\Delta$ -reducing edge set of  $C_n$ , so

$$\lambda_{\rm e}(C_n) = \left\lceil \frac{n}{2} \right\rceil. \tag{3}$$

For  $k \ge 1$ , we will call a tree T an *edge-disjoint k-star union* if T is the union of pairwise edge-disjoint k-stars. In Section 4, we prove the following sharp bound for trees.

**Theorem 2.9** If T is a tree, n = |V(T)|, m = |E(T)|, and  $k = \Delta(T) \ge 1$ , then

$$\lambda_{\rm e}(T) \le \frac{n-1}{k} = \frac{m}{k}.$$

Moreover, equality holds if and only if T is an edge-disjoint k-star union.

The trees of maximum degree at most 2 are the paths. It is easy to see that  $\{\{2i, 2i+1\}: 1 \leq i \leq \lfloor (n-2)/2 \rfloor\}$  is a smallest  $\Delta$ -reducing edge set of  $P_n$ , so

$$\lambda_{\rm e}(P_n) = \left\lceil \frac{n-2}{2} \right\rceil. \tag{4}$$

Theorem 2.9 yields the following generalization.

**Theorem 2.10** If F is a forest, m = |E(F)|, and  $k = \Delta(F) \ge 1$ , then

$$\lambda_{\rm e}(F) \le \frac{m}{k}.$$

Moreover, equality holds if and only if each non-singleton component of F is an edge-disjoint k-star union.

**Proof.** Let  $\mathcal{C}$  be the set of components of F. Let  $\mathcal{D} = \{C \in \mathcal{C} : \Delta(C) = k\}$ . Since  $\Delta(F) = k, \mathcal{D} \neq \emptyset$ . For each  $D \in \mathcal{D}, D$  is a tree, so  $\lambda_{e}(D) \leq \frac{|E(D)|}{k}$  by Theorem 2.9. By Proposition 3.7 (given in the next section),  $\lambda_{e}(F) = \sum_{D \in \mathcal{D}} \lambda_{e}(D) \leq \sum_{D \in \mathcal{D}} \frac{|E(D)|}{k} \leq \frac{m}{k}$ . If each non-singleton component of F is an edge-disjoint k-star union, then, by Theorem 2.9,  $\lambda_{e}(F) = \sum_{D \in \mathcal{D}} \frac{|E(D)|}{k} = \frac{m}{k}$ . Now suppose  $\lambda_{e}(F) = \frac{m}{k}$ . Then, by the above,  $m = \sum_{D \in \mathcal{D}} |E(D)|$  and  $\lambda_{e}(D) = \frac{|E(D)|}{k}$  for each  $D \in \mathcal{D}$ . Thus, each non-singleton component of F is a member of  $\mathcal{D}$ , and, by Theorem 2.9, it is an edge-disjoint k-star union.

By the observations in Remark 2.4, we may take  $m = |E(G_e)|$  in Theorem 2.10. Thus, for the case where G is a forest, Theorem 2.10 improves each of the upper bounds in Corollary 2.5, Theorem 2.6, and Theorem 2.7. Indeed, since  $m \le kt$  (by (2)), we have  $\frac{m+(k-1)t}{2k-1} \ge \frac{m+(k-1)(m/k)}{2k-1} = \frac{m}{k}$ ,  $m\left(1 - \frac{k-1}{k}\left(\frac{m}{kt}\right)^{\frac{1}{k-1}}\right) \ge m\left(1 - \frac{k-1}{k}\right) = \frac{m}{k}$ , and  $\frac{m}{k}\left(1 + \ln\left(\frac{kt}{m}\right)\right) \ge \frac{m}{k}$ .

#### **3** Structural results

In this section, we take a close look at how  $\lambda_{e}(G)$  is determined by the structure of G and at how it is affected by removing edges from G. Some of the following observations are used in the proofs given in the next section.

Let  $M_1(G)$  denote  $\{v \in M(G) : vw \in E(G) \text{ for some } w \in M(G) \setminus \{v\}\}$ . Let  $M_2(G)$  denote  $M(G) \setminus M_1(G)$ . Thus,  $M_2(G) = \{v \in M(G) : d_G(v,w) \ge 2 \text{ for each } w \in M(G) \setminus \{v\}\}$ .

Recall the definition of an edge cover, given in Section 1. An edge cover of V(G) in G is called an *edge cover of* G. The *edge-covering number of* G is the size of a smallest edge cover of G and is denoted by  $\beta'(G)$ . Clearly,  $\lambda_{e}(G) = \beta'(G)$  if G is regular. In general, we have the following.

**Theorem 3.1** For every non-empty graph G,

$$\lambda_{\rm e}(G) = |M_2(G)| + \beta'(G[M_1(G)]).$$

**Proof.** We start with a few observations. Let  $k = \Delta(G)$ . Since G is non-empty,  $k \ge 1$ . For each  $v \in M(G)$ , G has exactly k edges incident to v. By definition of  $M_2(G)$ ,

for any  $v \in M_2(G)$  and any  $e \in E_G(v)$ ,  $e \notin E_G(w)$  for each  $w \in M(G) \setminus \{v\}$ . (5)

For any  $v \in M_1(G)$ ,  $vw \in E(G)$  for some  $w \in M(G) \setminus \{v\}$ , and therefore  $w \in M_1(G)$ and  $vw \in G[M_1(G)]$ . In other words,

for any  $v \in M_1(G)$ ,  $G[M_1(G)]$  has at least one edge incident to v. (6)

Thus,  $G[M_1(G)]$  has an edge cover.

Let K be an edge cover of  $G[M_1(G)]$  of size  $\beta'(G[M_1(G)])$ . For each  $v \in M_2(G)$ , let  $e_v \in E_G(v)$ . Let  $K' = \{e_v : v \in M_2(G)\} \cup K$ . Then K' is a  $\Delta$ -reducing edge set of G. By (5),  $|K'| = |M_2(G)| + |K|$ . Thus,  $\lambda_e(G) \leq |M_2(G)| + \beta'(G[M_1(G)])$ .

Now let L be a  $\Delta$ -reducing edge set of G of size  $\lambda_{e}(G)$ . For each  $v \in M(G)$ , there exists some  $e_{v} \in E_{G}(v)$  such that  $e_{v} \in L$ . Let  $L_{1} = \{e_{v} : v \in M_{1}(G)\}$  and  $L_{2} = \{e_{v} : v \in M_{2}(G)\}$ . Then  $L_{1} \cup L_{2}$  is a  $\Delta$ -reducing edge set of G. Thus, since  $L_{1} \cup L_{2} \subseteq L$  and  $|L| = \lambda_{e}(G)$ ,  $L = L_{1} \cup L_{2}$ . By (5),  $|L_{1} \cup L_{2}| = |L_{1}| + |M_{2}(G)|$ . Let  $X = \{v \in M_{1}(G) : e_{v} \notin E(G[M_{1}(G)])\}$ . By (6), for each  $v \in M_{1}(G)$ , there exists some  $e'_{v} \in E_{G}(v)$  such that  $e'_{v} \in E(G[M_{1}(G)])$ . Let  $L'_{1} = (L_{1} \setminus \{e_{v} : v \in$  $X\}) \cup \{e'_{v} : v \in X\}$ . For each  $v \in X$ ,  $e_{v} \cap M_{1}(G) = \{v\}$ . Thus,  $L'_{1}$  is an edge cover of  $G[M_{1}(G)]$ , and  $|L'_{1}| \leq |L_{1}|$ . We have  $\lambda_{e}(G) = |L| = |M_{2}(G)| + |L_{1}| \geq$  $|M_{2}(G)| + |L'_{1}| \geq |M_{2}(G)| + \beta'(G[M_{1}(G)])$ . Since  $\lambda_{e}(G) \leq |M_{2}(G)| + \beta'(G[M_{1}(G)])$ , the result follows.

We now prove Theorem 2.8. Using a well-known result of Gallai [5], we then show that Theorems 2.8 and 3.1 are equivalent, meaning that they imply each other.

**Proof of Theorem 2.8.** Let H = G[M(G)]. Let K be a matching of H of size  $\alpha'(H)$ . Let  $X = \bigcup_{e \in K} e$ . Then  $X \subseteq M(G)$  and |X| = 2|K|. For each  $v \in M(G) \setminus X$ , let  $e_v \in E_G(v)$ . Let  $K' = \{e_v : v \in M(G) \setminus X\}$ . Then  $K \cup K'$  is a  $\Delta$ -reducing edge set of G. Thus,  $\lambda_e(G) \leq |K| + |K'| \leq |K| + |M(G) \setminus X| = |K| + |M(G)| - |X| = |M(G)| - |K| = |M(G)| - \alpha'(H)$ .

Now let L be a  $\Delta$ -reducing edge set of G of size  $\lambda_{e}(G)$ . Then, for each  $v \in M(G)$ , there exists some  $e'_{v} \in E_{G}(v)$  such that  $e'_{v} \in L$ . Let J be a largest subset of Lthat is a matching of H. Let  $Y = \bigcup_{e \in J} e$ . Then  $Y \subseteq M(G)$  and |Y| = 2|J|. Let  $Y' = M(G) \setminus Y$ . Let  $J' = \{e'_{v} : v \in Y'\}$ . If we assume that  $e'_{u} = e'_{v}$  for some  $u, v \in Y'$ with  $u \neq v$ , then we obtain that  $e'_{u} = e'_{v} = uv$  and that  $J \cup \{uv\}$  is a matching of H of size |J| + 1, which contradicts the choice of J. Thus, |J'| = |Y'|. Now  $J \cup J' \subseteq L$  and  $J \cap J' = \emptyset$ . We have  $\lambda_{e}(G) = |L| \geq |J \cup J'| = |J| + |J'| = |J| + |Y'| =$  $|J| + |M(G)| - |Y| = |M(G)| - |J| \geq |M(G)| - \alpha'(H)$ . Since  $\lambda_{e}(G) \leq |M(G)| - \alpha'(H)$ , the result follows. Proposition 3.2 Theorems 2.8 and 3.1 are equivalent.

**Proof.** By (6),  $\delta(G[M_1(G)]) \ge 1$ . A result of Gallai [5] tells us that  $\alpha'(H) + \beta'(H) = |V(H)|$  for every graph H with  $\delta(H) \ge 1$ . Thus,

$$\alpha'(G[M_1(G)]) + \beta'(G[M_1(G)]) = |V(G[M_1(G)])| = |M_1(G)|.$$

If  $v, w \in M(G)$  such that  $vw \in E(G)$ , then  $vw \in M_1(G)$ . Thus,  $E(G[M(G)]) = E(G[M_1(G)])$ , and hence  $\alpha'(G[M_1(G)]) = \alpha'(G[M(G)])$ . Therefore, since  $|M(G)| = |M_1(G)| + |M_2(G)|$ , Theorem 2.8 implies Theorem 3.1, and vice-versa.  $\Box$ 

From Theorem 3.1 we immediately obtain the next two results.

**Proposition 3.3** If G is a non-empty graph, then  $\lambda_{e}(G) \leq |M(G)|$ , and equality holds if and only if  $M_{2}(G) = M(G)$ .

**Proof.** For each  $v \in M(G)$ , let  $e_v \in E_G(v)$ . Since  $\{e_v : v \in M(G)\}$  is a  $\Delta$ -reducing edge set of G,  $\lambda_e(G) \leq |\{e_v : v \in M(G)\}| \leq |M(G)|$ . By Theorem 3.1,  $\lambda_e(G) = |M(G)|$  if  $M_2(G) = M(G)$ . Suppose  $M_2(G) \neq M(G)$ . Then  $M_1(G) \neq \emptyset$ . Let  $x \in M_1(G)$ . By (6),  $xy \in E(G[M_1(G)])$  for some  $y \in M_1(G) \setminus \{x\}$ . Also by (6), for each  $v \in M_1(G) \setminus \{x, y\}$ , there exists some  $e'_v \in E_G(v)$  such that  $e'_v \in E(G[M_1(G)])$ . Let  $L = \{xy\} \cup \{e'_v : v \in M_1(G) \setminus \{x, y\}\}$ . Since L is an edge cover of  $G[M_1(G)]$ ,  $\beta'(G[M_1(G)]) \leq |L| \leq |M_1(G)| - 1$ . Thus, by Theorem 3.1,  $\lambda_e(G) \leq |M_2(G)| + |M_1(G)| - 1 < |M(G)|$ .

**Proposition 3.4** If G is a graph with  $M_2(G) \neq M(G)$ , then  $\Delta(G - M_2(G)) = \Delta(G)$ and  $\lambda_e(G) = |M_2(G)| + \lambda_e(G - M_2(G))$ .

**Proof.** Let  $H = G - M_2(G)$ . Since  $M_2(G) \neq M(G)$ ,  $M_1(G) \neq \emptyset$ . By (5),  $E_G(M_1(G)) \subseteq E(H)$ . Together with  $M(G) = M_1(G) \cup M_2(G)$ , this gives us  $M(H) = M_1(G)$ . Let K be an edge cover of  $G[M_1(G)]$  of size  $\beta'(G[M_1(G)])$  (K exists by (6)). Then K is a  $\Delta$ -reducing edge set of H, and hence  $\lambda_e(H) \leq \beta'(G[M_1(G)])$ . By Theorem 3.1,  $\lambda_e(G) \geq |M_2(G)| + \lambda_e(H)$ . Now let  $L_1$  be a  $\Delta$ -reducing edge set of H of size  $\lambda_e(H)$ , and let  $L_2$  be as in the proof of Theorem 3.1. Then  $L_1 \cup L_2$  is a  $\Delta$ -reducing edge set of G. Thus,  $\lambda_e(G) \leq |L_1| + |L_2| = \lambda_e(H) + |M_2(G)|$ . The result follows.  $\Box$ 

In the rest of the section, we take a look at how  $\lambda_{e}(H)$  relates to  $\lambda_{e}(G)$  for a subgraph H of G, or rather, how  $\lambda_{e}(G)$  is affected by removing edges from G.

**Lemma 3.5** If G is a graph, H is a subgraph of G with  $\Delta(H) = \Delta(G)$ , and L is a  $\Delta$ -reducing edge set of G, then  $L \cap E(H)$  is a  $\Delta$ -reducing edge set of H.

**Proof.** Let  $J = L \cap E(H)$ . It is sufficient to show that for each  $v \in M(H)$ ,  $e \in E_H(v)$  for some  $e \in J$ . Let  $v \in M(H)$ . Since  $\Delta(H) = \Delta(G)$ ,  $v \in M(G)$  and

 $E_H(v) = E_G(v)$ . Since  $v \in M(G)$ ,  $e \in E_G(v)$  for some  $e \in L$ . Since  $E_G(v) = E_H(v)$ ,  $e \in E(H)$ . Therefore,  $e \in J$ .

We point out that  $|L| = \lambda_e(G)$  does not guarantee that  $|L \cap E(H)| = \lambda_e(H)$ . Indeed, let  $k \geq 2$ , let  $G_1$  and  $G_2$  be copies of  $K_{1,k}$  with  $V(G_1) \cap V(G_2) = \emptyset$ , and let G be the union of  $G_1$  and  $G_2$ . Let  $e_1 \in E(G_1)$  and  $e_2 \in E(G_2)$ . Let  $e \in E(G_2) \setminus \{e_2\}$ . Let  $H = (V(G), E(G) \setminus \{e\})$ . Let  $L = \{e_1, e_2\}$ . Then L is a  $\Delta$ -reducing edge set of G of size  $\lambda_e(G), L \cap E(H) = \{e_1, e_2\} = L$ , but  $\{e_1\}$  is a  $\Delta$ -reducing edge set of H of size  $\lambda_e(H)$ . Thus,  $L \cap E(H)$  is not a smallest  $\Delta$ -reducing edge set of H.

**Corollary 3.6** If H is a subgraph of G such that  $\Delta(H) = \Delta(G)$ , then  $\lambda_{e}(H) \leq \lambda_{e}(G)$ .

**Proof.** Let L be a  $\Delta$ -reducing edge set of G of size  $\lambda_{e}(G)$ . Let  $J = L \cap E(H)$ . By Lemma 3.5, J is a  $\Delta$ -reducing edge set of H. Therefore,  $\lambda_{e}(H) \leq |J| \leq |L| = \lambda_{e}(G)$ .

**Proposition 3.7** If G is a graph and  $G_1, \ldots, G_r$  are the distinct components of G whose maximum degree is  $\Delta(G)$ , then  $\lambda_{\mathbf{e}}(G) = \sum_{i=1}^r \lambda_{\mathbf{e}}(G_i)$ .

**Proof.** Let L be a  $\Delta$ -reducing edge set of G of size  $\lambda_e(G)$ . For each  $i \in [r]$ , let  $L_i = L \cap E(G_i)$ . Then  $L_1, \ldots, L_r$  partition L, so  $|L| = \sum_{i=1}^r |L_i|$ . By Lemma 3.5, for each  $i \in [r]$ ,  $L_i$  is a  $\Delta$ -reducing edge set of  $G_i$ , so  $\lambda_e(G_i) \leq |L_i|$ . Suppose  $\lambda_e(G_j) < |L_j|$  for some  $j \in [r]$ . Let  $L'_j$  be a  $\Delta$ -reducing edge set of  $G_j$  of size  $\lambda_e(G_j)$ . Then  $L'_j \cup \bigcup_{i \in [r] \setminus \{j\}} L_i$  is a  $\Delta$ -reducing edge set of G that is smaller than L, a contradiction. Thus,  $\lambda_e(G_i) = |L_i|$  for each  $i \in [r]$ . We have  $\lambda_e(G) = |L| = \sum_{i=1}^r |L_i| = \sum_{i=1}^r \lambda_e(G_i)$ .

**Proposition 3.8** If G is a graph,  $u, v \in V(G) \setminus M(G)$ , and  $uv \in E(G)$ , then  $\lambda_e(G - uv) = \lambda_e(G)$ .

**Proof.** Let e = uv. Since  $u, v \notin M(G)$ ,  $\Delta(G - e) = \Delta(G)$ . By Corollary 3.6,  $\lambda_{e}(G - e) \leq \lambda_{e}(G)$ . Let L be a  $\Delta$ -reducing edge set of G - e of size  $\lambda_{e}(G - e)$ . Since  $u, v \notin M(G)$ , M(G - e) = M(G). Thus, L is a  $\Delta$ -reducing edge set of G, and hence  $\lambda_{e}(G) \leq \lambda_{e}(G - e)$ . Since  $\lambda_{e}(G - e) \leq \lambda_{e}(G)$ , the result follows.  $\Box$ 

**Proposition 3.9** If G is a graph and  $e \in E(G)$ , then  $\lambda_e(G) \leq 1 + \lambda_e(G-e)$ .

**Proof.** If  $\Delta(G-e) < \Delta(G)$ , then  $\lambda_{e}(G) = 1$ . Suppose  $\Delta(G-e) = \Delta(G)$ . Then  $M(G-e) \subseteq M(G) \cup e$ . Let L be a  $\Delta$ -reducing edge set of G-e of size  $\lambda_{e}(G-e)$ . Then  $L \cup \{e\}$  is a  $\Delta$ -reducing edge set of G. Thus,  $\lambda_{e}(G) \leq |L \cup \{e\}| = 1 + \lambda_{e}(G-e)$ .  $\Box$ 

**Corollary 3.10** If  $e_1, \ldots, e_t$  are edges of a graph G, then  $\lambda_e(G) \leq t + \lambda_e(G - \{e_1, \ldots, e_t\})$ .

**Proof.** The result follows by repeated application of Proposition 3.9.

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# 4 Proofs of the main upper bounds

We now prove Lemma 2.2 and Theorems 2.3, 2.6, 2.7, and 2.9.

**Proof of Lemma 2.2.** Since G is a special k-star union,  $\Delta(G) = k$  and  $E(G) = E(G_1) \cup \cdots \cup E(G_r)$  for some k-stars  $G_1, \ldots, G_r$  that are pairwise edge-disjoint and kwise vertex-disjoint. Thus, m = kr, and for  $i \in [r]$ , there exist  $u_i, v_{i,1}, \ldots, v_{i,k} \in V(G)$ such that  $G_i = (\{u_i, v_{i,1}, \ldots, v_{i,k}\}, \{u_i v_{i,1}, \ldots, u_i v_{i,k}\})$ . For  $i \in [r], |E_{G_i}(u_i)| = k = \Delta(G)$ , so we have  $E_G(u_i) = E_{G_i}(u_i) = E(G_i)$ . Thus, since  $E(G_1), \ldots, E(G_r)$  are pairwise disjoint,  $u_1, \ldots, u_r$  are distinct. Consider any  $w \in V(G) \setminus \{u_1, \ldots, u_r\}$ . For each  $i \in [r]$  such that  $w \in V(G_i), E_G(w) \cap E(G_i) = \{u_i w\}$ . Thus,  $d_G(w) = |\{i \in [r]: w \in V(G_i)\}|$ , and hence, since  $G_1, \ldots, G_r$  are k-wise vertex-disjoint,  $d_G(w) < k$ . Thus,  $M(G) = \{u_1, \ldots, u_r\}$ , and hence t = r. Since m = kr, m = kt.

Now let L be a  $\Delta$ -reducing edge set of G of size  $\lambda_{e}(G)$ . For  $i \in [r]$ , there exists some  $e_i \in E_G(u_i)$  such that  $e_i \in L$ . Let  $L' = \{e_1, \ldots, e_r\}$ . For  $i, j \in [r]$  with  $i \neq j$ ,  $E_G(u_i) \cap E_G(u_j) = E(G_i) \cap E(G_j) = \emptyset$ , so  $e_i \neq e_j$ . Thus, |L'| = r. Now L' is a  $\Delta$ -reducing edge set of G and  $L' \subseteq L$ , so  $\lambda_e(G) \leq |L'| \leq |L|$ . Since  $\lambda_e(G) = |L|$ , we obtain L' = L, so  $\lambda_e(G) = r$ . Since t = r, the result is proved.  $\Box$ 

**Proof of Theorem 2.3.** If G is a special k-star union, then, by Lemma 2.2, we have m = kt and  $\lambda_e(G) = t = \frac{m+(k-1)t}{2k-1}$ . If G has exactly  $c_1 + c_2 + c_3$  components,  $c_1$  components of G are singletons,  $c_2$  components of G are 2-stars, and  $c_3$  components of G are triangles, then  $m = 2c_2 + 3c_3$ , k = 2,  $t = c_2 + 3c_3$ , and, by Proposition 3.7,  $\lambda_e(G) = c_2\lambda_e(P_2) + c_3\lambda_e(C_3) = c_2 + 2c_3 = \frac{m+(k-1)t}{2k-1}$ .

We now prove the bound in the theorem and show that it is attained only in the cases above. If m = 1, then k = 1, and the result follows immediately. We now proceed by induction on m. Thus, suppose  $m \ge 2$ . If k = 1, then the edges of G are pairwise disjoint, G is a special 1-star union, and  $\lambda_{e}(G) = m = \frac{m+(k-1)t}{2k-1}$ . Suppose  $k \ge 2$ .

Suppose  $M_2(G) = M(G)$ . Let  $v_1, \ldots, v_t$  be the vertices in  $M_2(G)$ . By (5),  $E_G(v_1)$ ,  $\ldots, E_G(v_t)$  are pairwise disjoint, so  $|E_G(M_2(G))| = \sum_{i=1}^t |E_G(v_i)| = \sum_{i=1}^t k = kt$ . Thus,  $m \ge kt$ , and equality holds only if  $E(G) = \bigcup_{i=1}^t E_G(v_i)$ . By Proposition 3.3,  $\lambda_e(G) = t = \frac{kt + (k-1)t}{2k-1} \le \frac{m + (k-1)t}{2k-1}$ . Suppose  $\lambda_e(G) = \frac{m + (k-1)t}{2k-1}$ . Then m = kt, and hence  $E(G) = \bigcup_{i=1}^t E_G(v_i)$ . For  $i \in [t]$ , let  $G_i$  be the k-star  $(N_G[v_i], E_G(v_i))$ . Then  $G_1, \ldots, G_t$  are pairwise edge-disjoint. For  $i \in [t]$ , we have  $d_{G_i}(v_i) = \Delta(G)$ , so  $v_i \notin V(G_j)$  for  $j \in [t] \setminus \{i\}$ . Consider any  $w \in \bigcup_{i=1}^t V(G_i) \setminus \{v_1, \ldots, v_t\}$ . Then  $w \notin M(G)$ , and hence  $d_G(w) < k$ . For  $i \in [t]$  such that  $w \in V(G_i), E_G(w) \cap E(G_i) = \{v_iw\}$ . Thus,  $|\{i \in [t] : w \in V(G_i)\}| = d_G(w) < k$ . We have therefore shown that  $G_1, \ldots, G_t$ are k-wise vertex-disjoint. Since  $E(G) = \bigcup_{i=1}^t E_G(v_i) = \bigcup_{i=1}^t E(G_i), G$  is a special k-star union.

Now suppose  $M_2(G) \neq M(G)$ . Then  $xy \in E(G)$  for some  $x, y \in M(G)$ . Let H = G - xy. We have  $m \geq |E_G(x) \cup E_G(y)| = |E_G(x)| + |E_G(y)| - |E_G(x) \cap E_G(y)| = 2k - |\{xy\}| = 2k - 1$ . If  $\Delta(H) < k$ , then  $M(G) = \{x, y\}$  and  $\lambda_e(G) = 1 < \frac{m + (k-1)t}{2k-1}$ .

Suppose  $\Delta(H) = k$ . Then  $M(H) = M(G) \setminus \{x, y\}$ . By the induction hypothesis,  $\lambda_{e}(H) \leq \frac{(m-1)+(k-1)(t-2)}{2k-1}$ . By Proposition 3.9,

$$\lambda_{\rm e}(G) \le 1 + \lambda_{\rm e}(H) \le 1 + \frac{(m-1) + (k-1)(t-2)}{2k-1} = \frac{m + (k-1)t}{2k-1}$$

Suppose  $\lambda_{e}(G) = \frac{m+(k-1)t}{2k-1}$ . Then  $\lambda_{e}(G) = 1 + \lambda_{e}(H)$  and  $\lambda_{e}(H) = \frac{(m-1)+(k-1)(t-2)}{2k-1}$ . By the induction hypothesis, H is a special k-star union or each non-singleton component of H is a 2-star or a triangle.

Suppose that H is a special k-star union. We have |M(H)| = t - 2. Let  $u_1, \ldots, u_{t-2}$  be the distinct vertices in M(H). By the proof of Lemma 2.2,  $E_H(u_1)$ ,  $\ldots, E_H(u_{t-2})$  partition E(H), and  $\lambda_e(H) = |M(H)|$ . Since  $d_H(x) = |E_G(x) \setminus \{xy\}| = k-1 > 0$ ,  $u_p x \in E(H)$  for some  $p \in [t-2]$ . Similarly,  $u_q y \in E(H)$  for some  $q \in [t-2]$ . For each  $i \in [t-2] \setminus \{p,q\}$ , let  $e_i \in E_H(u_i)$ . Since  $M(G) = \{u_1, \ldots, u_{t-2}\} \cup \{x,y\}$ ,  $\{e_i \colon i \in [t-2] \setminus \{p,q\}\} \cup \{u_p x, u_q y\}$  is a  $\Delta$ -reducing edge set of G. Together with  $t-2 = |M(H)| = \lambda_e(H)$ , this gives us  $\lambda_e(G) \leq \lambda_e(H)$ , which contradicts  $\lambda_e(G) = 1 + \lambda_e(H)$ .

Therefore, each non-singleton component of H is a 2-star or a triangle. Thus, k = 2. For  $v \in \{x, y\}$ , let  $H_v$  be the component of H such that  $v \in V(H_v)$ . Since  $2 = k = d_G(x) = |E_{H_x}(x) \cup \{xy\}| = d_{H_x}(x) + 1$ , we have  $d_{H_x}(x) = 1$ , so  $H_x$  is a 2-star and x is a leaf of  $H_x$ . Suppose  $H_x \neq H_y$ . Then there are 6 distinct vertices  $a_1, \ldots, a_6$ of H such that  $H_x = (\{a_1, a_2, a_3\}, \{a_1a_2, a_2a_3\}), H_y = (\{a_4, a_5, a_6\}, \{a_4a_5, a_5a_6\}),$   $a_3 = x$ , and  $a_4 = y$ . Let L be a smallest  $\Delta$ -reducing edge set of H. Since  $H_x$ and  $H_y$  are components of H, we have  $M(H) \cap (V(H_x) \cup V(H_y)) = \{a_2, a_5\}$  and  $L \cap E(H_x) \neq \emptyset \neq L \cap E(H_y)$ . Let  $e_x \in L \cap E(H_x)$  and  $e_y \in L \cap E(H_y)$ . Let  $L' = (L \setminus \{e_x, e_y\}) \cup \{a_2a_3, a_4a_5\}$ . Then L' is a  $\Delta$ -reducing edge set of G. Thus, we have  $\lambda_e(G) \leq |L'| = |L| = \lambda_e(H)$ , which contradicts  $\lambda_e(G) = 1 + \lambda_e(H)$ . Therefore,  $H_x = H_y$ . Let  $G_x = (V(H_x), E(H_x) \cup \{xy\})$ . Then  $G_x$  is a component of G. Since x and y are the two leaves of the 2-star  $H_x$ ,  $G_x$  is a triangle. Consequently, each non-singleton component of G is a 2-star or a triangle.  $\Box$ 

**Proof of Theorem 2.6.** We may assume that  $E_G(M(G)) = [m]$ . By (2),  $m \leq kt$ . Let  $p = 1 - \left(\frac{m}{kt}\right)^{\frac{1}{k-1}}$ . We set up m independent random experiments, and in each experiment an edge is chosen with probability p. More formally, for  $i \in [m]$ , let  $(\Omega_i, P_i)$  be given by  $\Omega_i = \{0, 1\}, P_i(\{1\}) = p$ , and  $P_i(\{0\}) = 1 - p$ . Let  $\Omega = \Omega_1 \times \cdots \times \Omega_m$  and let  $P: 2^{\Omega} \to [0, 1]$  (where [0, 1] denotes  $\{x \in \mathbb{R} : 0 \leq x \leq 1\}$ ) such that  $P(\{\omega\}) = \prod_{i=1}^{m} P_i(\{\omega_i\})$  for each  $\omega = (\omega_1, \ldots, \omega_m) \in \Omega$ , and  $P(A) = \sum_{\omega \in A} P(\{\omega\})$  for each  $A \subseteq \Omega$ . Then  $(\Omega, P)$  is a probability space.

For each  $\omega = (\omega_1, \ldots, \omega_m) \in \Omega$ , let  $S_{\omega} = \{i \in [m] : \omega_i = 1\}$  and  $T_{\omega} = \{v \in M(G) : \text{ no edge incident to } v \text{ is a member of } S_{\omega}\}.$ 

Let  $X: \Omega \to \mathbb{R}$  be the random variable given by  $X(\omega) = |S_{\omega}|$ . For  $i \in [m]$ , let  $X_i: \Omega \to \mathbb{R}$  such that, for  $\omega = (\omega_1, \ldots, \omega_m) \in \Omega$ ,

$$X_i(\omega) = \begin{cases} 1 & \text{if } i \in S_\omega; \\ 0 & \text{otherwise} \end{cases}$$

Then  $X = \sum_{i=1}^{m} X_i$ . For  $i \in [m]$ ,  $P(X_i = 1) = P_i(\{1\}) = p$ .

Let  $Y: \Omega \to \mathbb{R}$  be the random variable given by  $Y(\omega) = |T_{\omega}|$ . For  $v \in M(G)$ , let  $Y_v: \Omega \to \mathbb{R}$  such that, for  $\omega = (\omega_1, \ldots, \omega_m) \in \Omega$ ,

$$Y_v(\omega) = \begin{cases} 1 & \text{if } v \in T_\omega; \\ 0 & \text{otherwise.} \end{cases}$$

Then  $Y = \sum_{v \in M(G)} Y_v$ . For  $v \in M(G)$ ,  $P(Y_v = 1) = (1 - p)^k$ .

For any random variable Z, let E[Z] denote the expected value of Z. By linearity of expectation,

$$E[X + Y] = E[X] + E[Y] = \sum_{i=1}^{m} E[X_i] + \sum_{v \in M(G)} E[Y_v]$$
$$= \sum_{i=1}^{m} P(X_i = 1) + \sum_{v \in M(G)} P(Y_v = 1) = mp + t(1 - p)^k$$

Thus, by the probabilistic pigeonhole principle, there exists some  $\omega^* \in \Omega$  such that  $X(\omega^*) + Y(\omega^*) \leq mp + t(1-p)^k$ . For  $v \in T_{\omega^*}$ , let  $e_v \in E_G(v)$ . Let  $L_{\omega^*} = S_{\omega^*} \cup \{e_v : v \in T_{\omega^*}\}$ . Then  $L_{\omega^*}$  is a  $\Delta$ -reducing edge set of G. Thus,  $\lambda_e(G) \leq |L_{\omega^*}| \leq |S_{\omega^*}| + |T_{\omega^*}| = X(\omega^*) + Y(\omega^*) \leq mp + t(1-p)^k = m\left(1 - \frac{k-1}{k}\left(\frac{m}{kt}\right)^{\frac{1}{k-1}}\right)$ . If  $G_e$  is a special k-star union, then, by Lemma 2.2, we have m = kt and  $\lambda_e(G) = t$ , and hence  $\lambda_e(G) = m\left(1 - \frac{k-1}{k}\left(\frac{m}{kt}\right)^{\frac{1}{k-1}}\right)$ .

**Remark 4.1** Note that the minimum value of the function  $f : [0, 1] \to \mathbb{R}$  given by  $f(p) = mp + t(1-p)^k$  occurs at  $p = 1 - \left(\frac{m}{kt}\right)^{\frac{1}{k-1}}$ , hence the choice of p in the proof above.

**Proof of Theorem 2.7.** Let  $p^* = 1 - \left(\frac{m}{kt}\right)^{\frac{1}{k-1}}$  and  $q = \frac{1}{k} \ln \left(\frac{kt}{m}\right)$ . By (2),  $kt/2 \le m \le kt$ . Thus,  $0 \le q \le \frac{1}{k} \ln 2 < 1$ . Let f be as in Remark 4.1. Thus,  $f(p^*) \le f(q)$ . By the proof of Theorem 2.6,  $\lambda_e(G) \le f(p^*) \le f(q) = mq + t(1-q)^k$ . Since  $1-q \le e^{-q}$ , we obtain  $\lambda_e(G) \le mq + te^{-qk} = \frac{m}{k} \ln \left(\frac{kt}{m}\right) + te^{-\ln \left(\frac{kt}{m}\right)} = \frac{m}{k} \left(1 + \ln \left(\frac{kt}{m}\right)\right)$ . If  $G_e$  is a special k-star union, then, by Lemma 2.2, we have m = kt and  $\lambda_e(G) = t$ , and hence  $\lambda_e(G) = \frac{m}{k} \left(1 + \ln \left(\frac{kt}{m}\right)\right)$ .

We now prove Theorem 2.9, making use of the following well-known facts.

**Lemma 4.2** Let x be a vertex of a tree T. Let  $m = \max\{d_T(x, y) : y \in V(T)\}$ , and let  $D_i = \{y \in V(T) : d_T(x, y) = i\}$  for each  $i \in \{0\} \cup [m]$ . For each  $i \in [m]$  and each  $v \in D_i, N_T(v) \cap \bigcup_{j=0}^i D_j = \{u\}$  for some  $u \in D_{i-1}$ .

Indeed, let  $v \in D_i$ . By definition of  $D_i$ , v can only be adjacent to vertices of distance i - 1, i or i + 1 from x. If v is adjacent to a vertex w of distance i from x, then,

by considering an xv-path and an xw-path, we obtain that T contains a cycle, a contradiction. We obtain the same contradiction if we assume that v is adjacent to two vertices of distance i - 1 from x.

If a vertex v of a graph G has only one neighbour in G, then v is called a *leaf* of G.

**Corollary 4.3** If T is a tree,  $x, z \in V(T)$ , and  $d_T(x, z) = \max\{d_T(x, y) : y \in V(T)\}$ , then z is a leaf of T.

**Proof.** Let  $D_0, D_1, \ldots, D_m$  be as in Lemma 4.2. Then  $z \in D_m$ . By Lemma 4.2,  $N_T(z) = \{u\}$  for some  $u \in D_{m-1}$ .

**Proof of Theorem 2.9.** The result is trivial for  $n \leq 2$ . We now proceed by induction on n. Thus, consider  $n \geq 3$ . Since T is connected,  $k \geq 2$ .

Suppose that T has a leaf z whose neighbour is not in M(T). Let w be the neighbour of z in T. Let T' = T - z. By (1),  $\lambda_e(T) = \lambda_e(T')$  as  $T_e = T'_e$ . By the induction hypothesis,  $\lambda_e(T') \leq \frac{n-2}{k} < \frac{n-1}{k}$ . Thus,  $\lambda_e(T) < \frac{n-1}{k}$ . Suppose T is an edge-disjoint k-star union. Then T contains a k-star S such that  $z \in V(S)$ . Since  $N_S(z) \subseteq N_T(z) = \{w\}, z$  is a leaf of S and  $S = (\{w, z'_1, \ldots, z'_k\}, \{wz'_1, \ldots, wz'_k\}),$ where  $z'_1 = z$  and  $z'_2, \ldots, z'_k$  are distinct elements of  $V(T) \setminus \{w, z\}$ . Thus, we have  $d_T(w) = k$ , contradicting  $w \notin M(T)$ . Therefore, T is not an edge-disjoint k-star union.

Now suppose that each leaf of T has its neighbour in M(T). Let x, m, and  $D_0, \ldots, D_m$  be as in Lemma 4.2. Let  $z \in V(T)$  such that  $d_T(x, z) = m$ . By Corollary 4.3, z is a leaf of T. Let w be the neighbour of z in T. By Lemma 4.2,  $w \in D_{m-1}$ .

Suppose w = x. Then m = 1 and  $T = (\{x, z_1, \ldots, z_k\}, \{xz_1, \ldots, xz_k\})$  for some distinct vertices  $z_1, \ldots, z_k$  in  $D_m$ . Thus, T is a k-star. Since  $xz_1$  is a  $\Delta$ -reducing edge set of T,  $\lambda_e(T) = 1 = \frac{n-1}{k}$ .

Now suppose  $w \neq x$ . Together with Lemma 4.2, this implies that  $N_T(w) = \{v, z_1, \ldots, z_{k-1}\}$  for some  $v \in D_{m-2}$  and some distinct vertices  $z_1, \ldots, z_{k-1}$  in  $D_m$ . By Corollary 4.3,  $z_1, \ldots, z_{k-1}$  are leaves of T. Let e = wv. Let

 $T_1 = T - \{w, z_1, \dots, z_{k-1}\}$  and  $T_2 = (\{w, z_1, \dots, z_{k-1}\}, \{wz_1, \dots, wz_{k-1}\}).$ 

Clearly,  $T_1$  and  $T_2$  are the components of T - e, and they are trees. Let  $T'_2 = (\{v\} \cup V(T_2), \{e\} \cup E(T_2))$ . If  $T = T'_2$ , then  $\Delta(T-e) < k$ , and hence  $\lambda_e(T) = 1 = \frac{n-1}{k}$ . We have  $\Delta(T_2) < k$ .

Suppose  $\Delta(T_1) < k$ . Then  $\Delta(T-e) < k$ , and hence  $\lambda_e(T) = 1 \le \frac{n-1}{k}$ . Suppose  $\lambda_e(T) = \frac{n-1}{k}$ . Then  $n = k+1 = |V(T_2)| + 1$ . Since  $n = |V(T_1)| + |V(T_2)|$ , we obtain  $|V(T_1)| = 1$ , so  $V(T_1) = \{v\}$ . Thus, T is the k-star  $T'_2$ .

Finally, suppose  $\Delta(T_1) = k$ . By Proposition 3.7,  $\lambda_{\rm e}(T-e) = \lambda_{\rm e}(T_1)$ . By the induction hypothesis,  $\lambda_{\rm e}(T_1) \leq \frac{n-k-1}{k}$ , and equality holds if and only if  $T_1$  is an edge-disjoint k-star union. By Proposition 3.9,  $\lambda_{\rm e}(T) \leq 1 + \lambda_{\rm e}(T-e) \leq 1 + \frac{n-k-1}{k} = \frac{n-1}{k}$ .

Suppose  $\lambda_{e}(T) = \frac{n-1}{k}$ . Then  $\lambda_{e}(T_{1}) = \frac{n-k-1}{k}$ , and hence  $T_{1}$  is an edge-disjoint k-star union. Since T is the union of  $T_{1}$  and  $T'_{2}$ , T is an edge-disjoint k-star union.

We now prove the converse. Thus, suppose that T is an edge-disjoint k-star union. Then there exist pairwise edge-disjoint k-stars  $G_1, \ldots, G_r$  such that  $z_1 \in V(G_r)$  and T is the union of  $G_1, \ldots, G_r$ . Since  $N_{G_r}(z_1) \subseteq N_T(z_1) = \{w\}$ ,  $G_r = (\{w, z_1, y_1, \ldots, y_{k-1}\}, \{wz_1, wy_1, \ldots, w_{y_{k-1}}\})$  for some  $y_1, \ldots, y_{k-1} \in V(T)$ . Since  $d_{G_r}(w) = k = d_T(w), N_{G_r}(w) = N_T(w)$ . Thus,  $\{z_1, y_1, \ldots, y_{k-1}\} = \{z_1, \ldots, z_{k-1}, v\}$ , and hence  $G_r = T'_2$ . Consequently,  $T_1$  is the union of  $G_1, \ldots, G_{r-1}$ , and hence  $\lambda_e(T_1) = \frac{n-k-1}{k}$ . Let L be a  $\Delta$ -reducing edge set of T of size  $\lambda_e(T)$ . Let  $L_1 = L \cap E(T_1)$  and  $L_2 = L \cap E(T'_2)$ . Since  $E(T_1)$  and  $E(T'_2)$  partition  $E(T), L_1$  and  $L_2$  partition L. Since  $w \in M(T)$  and  $E_T(w) = E(T'_2), L_2 \neq \emptyset$ . Suppose that  $L_1$  is not a  $\Delta$ -reducing edge set of  $T_1$ . Then, since  $\Delta(T_1) = k$ , there exists some  $u \in V(T_1)$  such that  $d_{T_1}(u) = k$  and  $E_T(u) \cap L \subseteq L_2$ . Since  $V(T_1) \cap V(T'_2) = \{v\}$  and  $L_2 \subseteq V(T'_2), u = v$ . Now  $k \ge |E_T(v)| = |E_{T_1}(v) \cup \{e\}| > |E_{T_1}(v)| = d_{T_1}(v)$ , which contradicts  $d_{T_1}(v) = d_{T_1}(u) = k$ . Thus,  $L_1$  is a  $\Delta$ -reducing edge set of  $T_1$ . We have  $\frac{n-1}{k} \ge \lambda_e(T) = |L| = |L_1| + |L_2| \ge \lambda_e(T_1) + 1 = \frac{n-k-1}{k} + 1 = \frac{n-1}{k}$ , so  $\lambda_e(T) = \frac{n-1}{k}$ .

A basic result in the literature is that |E(G)| = |V(G)| - 1 if G is a tree. This completes the proof.

# Acknowledgements

The authors wish to thank the anonymous referees for checking the paper carefully.

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