

# Characterization of graphs with rainbow connection number $m - 2$ and $m - 3^*$

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## Abstract

A path in an edge-colored graph, where adjacent edges may be colored the same, is a rainbow path if no two edges of it are colored the same. A nontrivial connected graph  $G$  is rainbow connected if there is a rainbow path connecting any two vertices, and the rainbow connection number of  $G$ , denoted by  $rc(G)$ , is the minimum number of colors that are needed in order to make  $G$  rainbow connected. Chartrand et al. showed that  $G$  is a tree if and only if  $rc(G) = m$ , and it is easy to see that  $G$  is not a tree if and only if  $rc(G) \leq m - 2$ , where  $m$  is the number of edges of  $G$ . So an interesting problem arises: Characterize the graphs  $G$  with  $rc(G) = m - 2$ . In this paper, we resolve this problem. Furthermore, we also characterize the graphs  $G$  with  $rc(G) = m - 3$ .

## 1 Introduction

All graphs in this paper are finite, undirected and simple. We follow the terminology and notation of Bondy and Murty [1]. Let  $G$  be a nontrivial connected graph on which is defined a coloring  $c : E(G) \rightarrow \{1, 2, \dots, \ell\}$ ,  $\ell \in \mathbb{N}$ , of the edges of  $G$ , where adjacent edges may be colored the same. A path is a *rainbow path* if no two edges of it are colored the same. An edge-colored graph  $G$  is *rainbow connected* if any two vertices are connected by a rainbow path. Clearly, if a graph is rainbow connected, it must be connected. Conversely, any connected graph has a trivial edge-coloring that makes it rainbow connected; just color each edge with a distinct color. Thus, we define the *rainbow connection number* of a connected graph  $G$ , denoted by  $rc(G)$ , as the smallest number of colors that are needed in order to make  $G$  rainbow connected.

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If  $G_1$  is a connected spanning subgraph of  $G$ , then  $rc(G) \leq rc(G_1)$ . Chartrand et al. [3] obtained that  $rc(G) = 1$  if and only if  $G$  is complete, and that  $rc(G) = m$  if and only if  $G$  is a tree, as well as that a cycle with  $k > 3$  vertices has rainbow connection number  $\lceil \frac{k}{2} \rceil$ , and a triangle has rainbow connection number 1. Also notice that, clearly,  $rc(G) \geq \text{diam}(G)$ , where  $\text{diam}(G)$  denotes the diameter of  $G$ . For more information on rainbow connections, we refer to [4, 6]. In an edge-colored graph  $G$ , we use  $c(e)$  to denote the color of edge  $e$  and for a subgraph  $G_2$  of  $G$ ,  $c(G_2)$  denotes the set of colors of edges in  $G_2$ .

Since  $rc(G) = m$  if and only if  $G$  is a tree,  $rc(G) \neq m - 1$  and  $G$  is not a tree if and only if  $rc(G) \leq m - 2$  (Observation 3 below), then there is an interesting problem: Characterize the graphs with  $rc(G) = m - 2$ . In this paper, we resolve this problem. Furthermore, we also characterize the graphs  $G$  with  $rc(G) = m - 3$ .

We use  $V(G)$ ,  $E(G)$  for the set of vertices and edges of  $G$ , respectively. A *pendant edge* of  $G$  is an edge incident to a vertex of degree 1. The girth of  $G$ , denoted by  $g(G)$ , is the length of a smallest cycle in  $G$ . A block of  $G$  is a maximal connected subgraph of  $G$  that does not have any cut vertex. So every block of a nontrivial connected graph is either a  $K_2$  or a 2-connected subgraph. All the blocks of a graph  $G$  form a block decomposition of  $G$ . A *rooted tree*  $T(x)$  is a tree  $T$  with a specified vertex  $x$ , called the *root* of  $T$ . Let  $L(x)$  denote the set of leaves of  $T(x)$  and  $|L(x)| = l(x)$ . If  $T(x)$  is a trivial tree, then  $l(x) = 0$ . We let  $P_n$  and  $C_n$  be the path and cycle with  $n$  vertices, respectively. And  $xPy$  denotes a path from  $x$  to  $y$ . Let  $[t] = \{1, \dots, t\}$  denote the set of the first  $t$  natural numbers. For a set  $S$ ,  $|S|$  denotes the cardinality of  $S$ .

## 2 Some basic results

We first give an observation which will be useful in the sequel.

**Observation 1.** [5] If  $G$  is a connected graph and  $\{E_i\}_{i \in [t]}$  is a partition of the edge set of  $G$  into connected subgraphs  $G_i = G[E_i]$ , then

$$rc(G) \leq \sum_{i=1}^t rc(G_i).$$

□

We now give a necessary condition for an edge-colored graph to be rainbow connected. If  $G$  is rainbow connected under some edge-coloring, then for any two cut edges (if they exist)  $e_1 = u_1u_2$  and  $e_2 = v_1v_2$ , there must exist some  $1 \leq i, j \leq 2$ , such that any  $u_i - v_j$  path must contain edge  $e_1, e_2$ . So we have:

**Observation 2.** If  $G$  is rainbow connected under some edge-coloring  $c$  where  $e_1$  and  $e_2$  are any two cut edges, then  $c(e_1) \neq c(e_2)$ .

For a connected graph  $G$ , if it is a tree, then  $rc(G) = m$ ; if it contains a unique cycle of length  $k$ , then we give the cycle a rainbow coloring using  $\lceil \frac{k}{2} \rceil$  colors (if the cycle is a triangle, we just need one color) and color each other edge with a fresh color. Then by Observation 1, we have  $rc(G) \leq (m - k) + \lceil \frac{k}{2} \rceil \leq m - 2$ . So we have the following observation.

**Observation 3.** Let  $G$  be a connected graph with  $m$  edges. Then  $rc(G) \neq m - 1$  and  $G$  is not a tree if and only if  $rc(G) \leq m - 2$ . Moreover, if  $G$  contains a cycle of length  $k$  ( $k \geq 4$ ), then  $rc(G) \leq m - \lfloor \frac{k}{2} \rfloor$ .

For a connected graph  $G$ , if it contains two edge-disjoint 2-connected subgraphs  $B_1$  and  $B_2$ , then by Observation 3, we give  $B_1$  and  $B_2$  a rainbow coloring using  $|E(B_1)| - 2$  and  $|E(B_2)| - 2$  colors, respectively, and color each other edge with a fresh color. Then by Observation 1, we have  $rc(G) \leq m - 4$ . So the following lemma holds.

**Lemma 1.** *Let  $G$  be a connected graph with  $m$  edges. If it contains two edge-disjoint 2-connected subgraphs, then  $rc(G) \leq m - 4$ .*

To *subdivide* an edge  $e$  is to delete  $e$ , add a new vertex  $x$ , and join  $x$  to the ends of  $e$ . Any graph derived from a graph  $G$  by a sequence of edge subdivisions is called a *subdivision* of  $G$ . Given a rainbow coloring of  $G$ , if we subdivide an edge  $e = uv$  of  $G$  by  $xu$  and  $xv$ , then we assign  $xu$  the same color as  $e$  and assign  $xv$  a new color, which also make the subdivision of  $G$  rainbow connected. Hence, the following lemma holds.

**Lemma 2.** *Let  $G$  be a connected graph, and  $H$  be a subdivision of  $G$ . Then  $rc(H) \leq rc(G) + |E(H)| - |E(G)|$ .*

The  $\Theta$ -*graph* is a graph consisting of three internally disjoint paths with common end vertices and of lengths  $a$ ,  $b$ , and  $c$ , respectively, such that  $a \leq b \leq c$ . Then  $a + b + c = m$ .

**Lemma 3.** *Let  $G$  be a  $\Theta$ -graph with  $m$  edges. If  $m = 5$ , then  $rc(G) = m - 3$ ; otherwise,  $rc(G) \leq m - 4$ .*

*Proof.* Let the three internally disjoint paths be  $P_1, P_2, P_3$  with the common end vertices  $u$  and  $v$ , and the lengths of  $P_1, P_2, P_3$  be  $a, b, c$ , respectively, where  $a \leq b \leq c$ . If  $m = 5$ , we color  $uP_1v$  with color 1,  $uP_2v$  with colors 1, 2, and  $uP_3v$  with colors 2, 1. The resulting coloring makes  $G$  rainbow connected. Thus,  $rc(G) \leq m - 3$ . Since  $\text{diam}(G) = 2$ , it follows that  $rc(G) = m - 3$ . For  $m \geq 6$ , we first consider the graph  $\Theta_1$  with  $a = 1, b = 2$  and  $c = 3$ . We color  $uP_1v$  with color 1,  $uP_2v$  with colors 1, 1, and  $uP_3v$  with colors 2, 1, 2. Next we consider the graph  $\Theta_2$  with  $a = 2, b = 2$  and  $c = 2$ . We color  $uP_1v$  with colors 1, 2,  $uP_2v$  with colors 2, 1, and  $uP_3v$  with colors 2, 2. The resulting colorings make  $\Theta_1$  and  $\Theta_2$  rainbow connected. For a general  $\Theta$ -graph  $G$  with  $m \geq 6$ , it is a subdivision of  $\Theta_1$  or  $\Theta_2$ , hence by Lemma 2,  $rc(G) \leq m - 4$ .  $\square$

### 3 Characterizing unicyclic graphs with $rc(G) = m - 2$ and $m - 3$

In this section we first give an observation about unicyclic graphs which will be used frequently. Let  $G$  be a connected unicyclic graph with the unique cycle  $C = v_1v_2 \dots v_s v_1$ . For brevity, orient  $C$  clockwise. Then  $G$  has the structure as follows: a tree, denoted by  $T(v_i)$ , is attached at each vertex  $v_i$  of  $C$ . Note that,  $T(v_i)$  may be trivial. Let  $i \neq j$ . If  $e_i = x_i y_i (e_j = x_j y_j)$  is a pendant edge which belongs to a tree  $T(v_i)(T(v_j))$ . Then there is a unique path  $x_i P_i v_i (x_j P_j v_j)$  from  $x_i(x_j)$  to  $v_i(v_j)$ . Since  $v_i$  and  $v_j$  divide  $C$  into two segments  $v_i C v_j$  and  $v_j C v_i$ , there are exactly two paths between  $x_i$  and  $x_j$  in  $G$ . Let  $c = \{1, 2, \dots, \ell\}$  be an edge coloring of  $G$ . Since each edge in  $G \setminus E(C)$  is a cut edge, by Observation 2, they must obtain distinct colors. It is easy to see that  $|c(x_i P_i v_i) \cap c(C)| \leq 1$ . In the process of coloring, we always first color  $G \setminus E(C)$  with  $[t]$  colors, then color  $C$ , where  $t = |E(G) \setminus E(C)|$ . Thus, after coloring  $E(G) \setminus E(C)$ , the unique path  $x_i P_i v_i$  can be viewed as a pendant edge and every  $T(v_i)$  will be a star with the center vertex  $v_i$ . Suppose  $|c(x_i P_i v_i) \cap c(C)| = 1$  and  $|c(x_j P_j v_j) \cap c(C)| = 1$ , then we can adjust the colors of cut edges such that  $c(e_i) = 1$  and  $c(e_j) = 2$ . Thus,  $1, 2 \in v_i C v_j$  or  $1, 2 \in v_j C v_i$ , namely,  $1, 2$  can only be assigned in the same path from  $v_i$  to  $v_j$ . Moreover, another path from  $v_i$  to  $v_j$  should be rainbow. We summarize the above argument into an observation.

**Observation 4.** Let  $G$  be a connected unicyclic graph with the unique cycle  $C = v_1v_2 \dots v_s v_1$ , and let  $c = \{1, 2, \dots, \ell\}$  be an edge coloring of  $G$ . Let  $p \in T(v_i)$  and  $q, r \in T(v_j)$ .

- (i) If  $p, q \in C$ , then they are in the same path from  $v_i$  to  $v_j$  and the other path from  $v_i$  to  $v_j$  should be rainbow.
- (ii) If  $q, r$  are in the unique path from a vertex  $x$  of  $V(G) \setminus V(C)$  to  $v_j$ , then  $q$  and  $r$  can not both belong to  $C$ .

In this section we only deal with unicyclic graphs. According to the girth of  $G$ , we introduce some graph classes and discuss them by some lemmas. Note that,  $l(v_i)$  is the number of leaves of the tree attached at the vertex  $v_i$  from the unique cycle of  $G$ .

Let  $i$  be an integer with  $1 \leq i \leq 3$  and the addition is performed modulo 3. Let  $\mathcal{G} = \{G : m = n, g(G) = 3\}$ ,  $\mathcal{G}_1 = \{G : G \in \mathcal{G}, l(v_i) \geq 1, l(v_{i+1}) \geq 1, l(v_{i+2}) \geq 1, \text{ or } l(v_i) \geq 3\}$ ,  $\mathcal{G}_2 = \{G : G \in \mathcal{G}, l(v_i) = 0, l(v_{i+1}) \leq 2, l(v_{i+2}) \leq 2\}$ . Obviously,  $\mathcal{G} = \mathcal{G}_1 \cup \mathcal{G}_2$ .

**Lemma 4.** Let  $G$  be a graph belonging to  $\mathcal{G}$ . If  $G \in \mathcal{G}_1$ , then  $rc(G) = m - 3$ ; otherwise  $rc(G) = m - 2$ .

*Proof.* Let the unique cycle of  $G$  be  $C = v_1v_2v_3v_1$ . Suppose  $G \in \mathcal{G}_1$ , by Observation 2, each edge of  $G \setminus E(C)$  must obtain a distinct color, color them with a set  $[m - 3]$  of colors. We consider two cases. Without loss of generality, first suppose that  $e_i = x_i y_i$

is a pendant edge in  $T(v_i)$  that is assigned color  $i$ , where  $1 \leq i \leq 3$ . Set  $c(v_1v_2) = 3$ ,  $c(v_2v_3) = 1$ ,  $c(v_3v_1) = 2$ . Next suppose that  $e_j = x_jy_j$  is a pendant edge of  $T(v_1)$  that is assigned color  $j$ , where  $1 \leq j \leq 3$ . Color  $E(C)$  with 1,2,3, respectively. It is easy to show that these two colorings are rainbow, and in these two cases,  $rc(G) = m - 3$ .

If  $G \in \mathcal{G}_2$ , by Observation 3,  $rc(G) \leq m - 2$ . By Observation 4, we know that at most two colors for  $G \setminus E(C)$  can be assigned to  $C$ . Thus, we need a fresh color for  $C$ , and it follows that  $rc(G) \geq m - 2$ . Therefore,  $rc(G) = m - 2$ .  $\square$

Let  $i$  be an integer with  $1 \leq i \leq 4$  and the addition is performed modulo 4. Set  $\mathcal{H} = \{G : m = n, g(G) = 4\}$ . Then  $\mathcal{H} = \mathcal{H}_1 \cup \mathcal{H}_2 \cup \mathcal{H}_3$ , where  $\mathcal{H}_1 = \{G : G \in \mathcal{H}, l(v_i) = l(v_{i+2}) = 0, l(v_{i+1}) \leq 1, l(v_{i+3}) \leq 1\}$ ,  $\mathcal{H}_2 = \{G : G \in \mathcal{H}, l(v_i) \geq 4, \text{ or } l(v_i) \geq 1, l(v_{i+1}) \geq 2, l(v_{i+2}) \geq 1\}$ , and  $\mathcal{H}_3$  is the set of the rest unicyclic graphs with girth 4.

**Lemma 5.** *Let  $G$  be a graph belonging to  $\mathcal{H}$ . If  $G \in \mathcal{H}_1$ , then  $rc(G) = m - 2$ ; if  $G \in \mathcal{H}_2$ , then  $rc(G) = m - 4$ ; if  $G \in \mathcal{H}_3$ , then  $rc(G) = m - 3$ .*

*Proof.* Let the unique cycle of  $G$  be  $C = v_1v_2v_3v_4v_1$ . By Observation 2, each edge of  $G \setminus E(C)$  must obtain a distinct color, this costs  $m - 4$  colors, thus  $rc(G) \geq m - 4$ . Color  $G \setminus E(C)$  with a set  $[m - 4]$  of colors. Suppose  $G \in \mathcal{H}_1$ . By Observation 3,  $rc(G) \leq m - 2$ . By Observation 4, we know that at least two colors different from  $c(G \setminus E(C))$  should be assigned to  $C$ , so it follows that  $rc(G) \geq m - 2$ . Hence,  $rc(G) = m - 2$ .

Suppose  $G \in \mathcal{H}_2$ . First let  $e_i = x_iy_i$  be a pendant edge in  $T(v_1)$  that is assigned color  $i$ , where  $1 \leq i \leq 4$ . Color  $E(C)$  with 1, 2, 3, 4, respectively. Next suppose that  $e_j = x_jy_j$  is a pendant edge that is assigned color  $j$  such that  $1 \in T(v_1)$ ,  $2, 3 \in T(v_2)$  and  $4 \in T(v_3)$ , where  $1 \leq j \leq 4$ . Set  $c(v_1v_2) = 4$ ,  $c(v_2v_3) = 1$ ,  $c(v_3v_4) = 3$ ,  $c(v_1v_4) = 2$ . It is easy to show that these two colorings are rainbow, and in these two cases,  $rc(G) = m - 4$ .

If  $G \in \mathcal{H}_3$ , by Observation 4, we check one by one that at least one color different from  $c(G \setminus E(C))$  should be assigned to  $C$ , thus  $rc(G) \geq m - 3$ . If  $e_1$  and  $e_2$  are two pendant edges in a tree (say  $T(v_1)$ ) that are assigned colors 1 and 2, respectively, then set  $c(v_1v_2) = m - 3$ ,  $c(v_2v_3) = 1$ ,  $c(v_3v_4) = 2$ ,  $c(v_1v_4) = m - 3$ . By symmetry, it remains to consider the case that  $l(v_1) = l(v_2) = l(v_3) = 1$ . Suppose that  $e_i = x_iy_i$  is a pendant edge in  $T(v_i)$  that is assigned color  $i$ , where  $1 \leq i \leq 3$ . Set  $c(v_1v_2) = 3$ ,  $c(v_2v_3) = 1$ ,  $c(v_3v_4) = m - 3$ ,  $c(v_1v_4) = 2$ . It is easy to show that these two colorings are rainbow, and in these two cases,  $rc(G) = m - 3$ .  $\square$

Let  $i$  be an integer with  $1 \leq i \leq 5$  and the addition is performed modulo 5. Set  $\mathcal{J} = \{G : m = n, g(G) = 5\}$  and  $\mathcal{J} = \mathcal{J}_1 \cup \{C_5\} \cup \mathcal{J}_2$ , where  $\mathcal{J}_1 = \{G : G \in \mathcal{J}, l(v_i) \leq 2, l(v_{i+2}) \leq 1, l(v_{i+1}) = l(v_{i+3}) = l(v_{i+4}) = 0 \text{ or } l(v_i) \leq 1, l(v_{i+1}) \leq 1, l(v_{i+2}) \leq 1, l(v_{i+3}) = l(v_{i+4}) = 0\}$ , and  $\mathcal{J}_2$  is the set of the rest unicyclic graphs with girth 5.

**Lemma 6.** *Let  $G$  be a graph belonging to  $\mathcal{J}$ . If  $G$  is isomorphic to a cycle  $C_5$ , then  $rc(G) = m - 2$ . If  $G \in \mathcal{J}_1$ , then  $rc(G) = m - 3$ . If  $G \in \mathcal{J}_2$ , then  $rc(G) \leq m - 4$ .*

*Proof.* Let the unique cycle of  $G$  be  $C = v_1v_2v_3v_4v_5v_1$ . If  $G$  is isomorphic to a cycle  $C_5$ , it is easy to see that  $rc(G) = m - 2$ . Suppose  $G \in \mathcal{J}_1$ . Suppose  $e_1$  is a pendant edge of  $T(v_1)$  that is assigned color 1. Set  $c(v_1v_2) = m - 4$ ,  $c(v_2v_3) = m - 3$ ,  $c(v_3v_4) = 1$ ,  $c(v_4v_5) = m - 4$ ,  $c(v_1v_5) = m - 3$ . Thus  $rc(G) \leq m - 3$ . On the other hand, since it costs  $m - 5$  colors for  $G \setminus E(C)$ , and by Observation 4, we know that at least two colors different from  $c(G \setminus E(C))$  should be assigned to  $C$ , it follows that  $rc(G) \geq m - 3$ . Therefore,  $rc(G) = m - 3$ .

Suppose  $G \in \mathcal{J}_2$ . Without loss of generality, we consider the following three cases. If  $l(v_i) \geq 3$  for some  $i$  with  $1 \leq i \leq 5$ , then we may suppose that  $e_1, e_2$  and  $e_3$  are the three pendant edges of  $T(v_1)$  that are assigned colors 1,2,3, respectively. Set  $c(v_1v_2) = m - 4$ ,  $c(v_2v_3) = 3$ ,  $c(v_3v_4) = 2$ ,  $c(v_4v_5) = 1$ ,  $c(v_1v_5) = m - 4$ . If  $l(v_i) = 2$ , then we may suppose that  $e_1, e_2$  are the two pendant edges of  $T(v_1)$  that are assigned colors 1,2, respectively, and  $e_3$  is a pendant edge of  $T(v_2)$  that is assigned color 3. Set  $c(v_1v_2) = m - 4$ ,  $c(v_2v_3) = 1$ ,  $c(v_3v_4) = 2$ ,  $c(v_4v_5) = m - 4$ ,  $c(v_1v_5) = 3$ . It remains to consider the case that  $l(v_i) \leq 1$  for each  $i$ . Without loss of generality, let  $l(v_1) = l(v_2) = l(v_4) = 1$ . Suppose that  $e_i$  is a pendant edge that is assigned color  $i$  such that  $e_1 \in T(v_1)$ ,  $e_2 \in T(v_2)$  and  $e_3 \in T(v_4)$ , where  $1 \leq i \leq 3$ . Set  $c(v_1v_2) = 3$ ,  $c(v_2v_3) = m - 4$ ,  $c(v_3v_4) = 1$ ,  $c(v_4v_5) = 2$ ,  $c(v_1v_5) = m - 4$ . It is easy to show that these three colorings are rainbow, and in these three cases,  $rc(G) \leq m - 4$ .  $\square$

Let  $i$  be an integer with  $1 \leq i \leq 6$  and the addition is performed modulo 6. Set  $\mathcal{L} = \{G : m = n, g(G) = 6\}$  and  $\mathcal{L} = \mathcal{L}_1 \cup \mathcal{L}_2$ , where  $\mathcal{L}_1 = \{G : G \in \mathcal{L}, l(v_i) \leq 1, l(v_{i+3}) \leq 1, l(v_{i+1}) = l(v_{i+2}) = l(v_{i+4}) = l(v_{i+5}) = 0\}$ ,  $\mathcal{L}_2$  is the set of the rest unicyclic graphs with girth 6.

**Lemma 7.** *Let  $G$  be a graph belonging to  $\mathcal{L}$ . If  $G \in \mathcal{L}_1$ , then  $rc(G) = m - 3$ ; otherwise  $rc(G) \leq m - 4$ .*

*Proof.* Let the unique cycle of  $G$  be  $C = v_1v_2v_3v_4v_5v_6v_1$ . By Observation 2, each edge of  $G \setminus E(C)$  must obtain a distinct color, this costs  $m - 6$  colors, thus  $rc(G) \geq m - 6$ . Color  $G \setminus E(C)$  with a set  $[m - 6]$  of colors. Suppose  $G \in \mathcal{L}_1$ . Set  $c(v_1v_2) = m - 5$ ,  $c(v_2v_3) = m - 4$ ,  $c(v_3v_4) = m - 3$ ,  $c(v_4v_5) = m - 5$ ,  $c(v_5v_6) = m - 4$ ,  $c(v_1v_6) = m - 3$ . By Observation 2,  $rc(G) \leq m - 3$ . On the other hand, by Observation 4, we know that at least three colors different from  $c(G \setminus E(C))$  should be assigned to  $C$ , it follows that  $rc(G) \geq m - 3$ . Therefore,  $rc(G) = m - 3$ .

Suppose  $G \in \mathcal{L}_2$ . If  $l(v_i) \geq 2$ , then we may suppose that  $e_1$  and  $e_2$  are the two pendant edges of  $T(v_1)$  that are assigned colors 1,2, respectively. Set  $c(v_1v_2) = m - 5$ ,  $c(v_2v_3) = m - 4$ ,  $c(v_3v_4) = 1$ ,  $c(v_4v_5) = 2$ ,  $c(v_5v_6) = m - 5$ ,  $c(v_1v_6) = m - 4$ . It remains to consider the case that  $l(v_i) \leq 1$  for each  $i$ . Suppose  $l(v_1) = l(v_2) = 1$ . Let  $e_1$  and  $e_2$  be the two pendant edges that are assigned colors 1,2, respectively, such that  $e_1 \in T(v_1)$  and  $e_2 \in T(v_2)$ . Set  $c(v_1v_2) = m - 5$ ,  $c(v_2v_3) = m - 4$ ,  $c(v_3v_4) = 1$ ,  $c(v_4v_5) = 2$ ,  $c(v_5v_6) = m - 5$ ,  $c(v_1v_6) = m - 4$ . Without loss of generality, let  $l(v_1) = l(v_3) = 1$ . Suppose that  $e_1$  and  $e_2$  are the two pendant edges that are assigned colors 1,2, respectively, such that  $e_1 \in T(v_1)$  and  $e_2 \in T(v_3)$ . Set  $c(v_1v_2) = m - 5$ ,  $c(v_2v_3) = m - 4$ ,  $c(v_3v_4) = 1$ ,  $c(v_4v_5) = m - 5$ ,  $c(v_5v_6) = m - 4$ ,

$c(v_1v_6) = 2$ . It is easy to show that these three colorings are rainbow, and in these three cases,  $rc(G) \leq m - 4$ .  $\square$

#### 4 Characterizing graphs with $rc(G) = m - 2$ and $m - 3$

Now we are ready to characterize the graphs with  $rc(G) = m - 2$  and  $rc(G) = m - 3$ .

**Theorem 1.**  *$rc(G) = m - 2$  if and only if  $G$  is isomorphic to a cycle  $C_5$  or belongs to  $\mathcal{G}_2 \cup \mathcal{H}_2$ .*

*Proof.* Suppose that  $G$  is a graph with  $rc(G) = m - 2$ . By Lemma 1,  $G$  contains a unique 2-connected subgraph. By Lemma 3,  $G$  contains no  $\Theta$ -graph as a subgraph. It follows that  $G$  is a unicyclic graph. By Observation 3, the girth of  $G$  is at most 5. The cases that the girth of  $G$  is 3, 4 and 5 have been discussed in Lemmas 4, 5 and 6, respectively. We conclude that  $G$  must be isomorphic to a graph shown in our theorem.

Conversely, By Lemmas 4, 5 and 6, the result holds.  $\square$

Let  $\mathcal{M}$  be a class of graphs where in each graph a path is attached at each vertex of degree 2 of  $K_4 - e$ , respectively. Note that, the path may be trivial.

**Theorem 2.**  *$rc(G) = m - 3$  if and only if  $G$  is isomorphic to a cycle  $C_7$  or belongs to  $\mathcal{G}_1 \cup \mathcal{H}_3 \cup \mathcal{J}_1 \cup \mathcal{L}_1 \cup \mathcal{M}$ .*

*Proof.* Suppose that  $G$  is a graph with  $rc(G) = m - 3$ . By Lemma 1,  $G$  contains a unique 2-connected subgraph  $B$ . Set  $V(B) = \{v_1, \dots, v_s\}$ , then  $G$  has the structure as follows: a tree, denoted by  $T(v_i)$ , is attached at each vertex  $v_i$  of  $B$ . If  $B$  is exactly a cycle, then by Observation 3, the girth of  $G$  is at most 7. The cases that the girth of  $G$  is 3, 4, 5 and 6 have been discussed in Lemmas 4, 5, 6 and 7, respectively. It remains to deal with the case that the girth of  $G$  is 7. If  $G$  is not isomorphic to a cycle  $C_7$ , then suppose that  $e_1$  is a pendant edge of  $T(v_1)$  that is assigned color 1. Color  $G \setminus E(B)$  with a set  $[m - 7]$  of colors and set  $c(v_1v_2) = m - 6$ ,  $c(v_2v_3) = m - 5$ ,  $c(v_3v_4) = m - 4$ ,  $c(v_4v_5) = 1$ ,  $c(v_5v_6) = m - 6$ ,  $c(v_6v_7) = m - 5$ ,  $c(v_1v_7) = m - 4$ . By Observation 1, we have  $rc(G) \leq m - 4$ .

So  $B$  is not a cycle. By Lemma 3,  $G$  contains no  $\Theta$ -graph except a  $K_4 - e$  as a subgraph. We first claim that  $B$  is isomorphic to a  $K_4 - e$ . If  $B$  is isomorphic to a  $K_4$ , we first color the edges of  $G \setminus E(B)$  with  $m - 6$  colors, then give each edge of  $B$  the same new color, this costs  $m - 5$  colors totally, it is easy to check that this coloring is rainbow, and in this case,  $rc(G) \leq m - 5$ , a contradiction. Set  $V(K_4 - e) = \{v_1, v_2, v_3, v_4\}$ , and  $E(K_4 - e) = \{v_1v_2, v_2v_3, v_3v_4, v_4v_1, v_1v_3\}$ . If  $G \notin \mathcal{M}$ , then  $l(v_i) \geq 1$  or  $l(v_j) \geq 2$  where  $i = 1$  or  $3$ ,  $j = 2$  or  $4$ . If  $l(v_1) \geq 1$ , suppose that  $e_1$  is a pendant edge of  $T(v_1)$  that is assigned color 1. Assign color 1 to  $v_2v_3$  and  $m - 4$  to each other edge of  $K_4 - e$ . If  $l(v_2) \geq 2$ , suppose that  $e_1$  and  $e_2$  are two pendant edges of  $T(v_2)$  that are assigned colors 1 and 2, respectively. Set  $c(v_1v_2) = c(v_2v_3) = c(v_1v_3) = m - 4$ ,  $c(v_3v_4) = 1$ ,  $c(v_1v_4) = 2$ . In both cases,

$rc(G) \leq m - 4$ . We conclude that  $G$  must be isomorphic to a graph shown in our theorem.

Conversely, if  $G$  is isomorphic to a cycle  $C_7$ , then  $rc(G) = m - 3$ . If  $G \in \mathcal{M}$ , it is easy to see that at least two new colors different from  $c(G \setminus E(B))$  should be assigned to  $B$ . Since each edge of  $G \setminus E(B)$  must obtain a distinct color, this costs  $m - 5$  colors, it follows that  $rc(G) \geq m - 3$ . Set  $c(v_1v_2) = c(v_3v_4) = c(v_1v_3) = m - 4$ ,  $c(v_2v_3) = c(v_1v_4) = m - 3$ , thus  $rc(G) \leq m - 3$ . Therefore,  $rc(G) = m - 3$ . By Lemmas 4, 5, 6 and 7, the result holds.  $\square$

**Remark:** We have also characterized the graphs  $G$  with  $rc(G) = m - 4$ . But, the proof is similar to the above ones, and very long and tedious, and therefore not written down here.

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