

# Independence and global offensive alliance in graphs

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## Abstract

Let  $G$  be a simple graph with vertex set  $V(G)$ . A non-empty set  $S \subseteq V(G)$  is a global strong offensive alliance if for every vertex  $v$  in  $V(G) - S$ , a strict majority of its closed neighborhood is in  $S$ . The global strong offensive alliance number  $\gamma_\delta(G)$  is the minimum cardinality of a global strong offensive alliance of  $G$ . We show that if  $G$  is a connected bipartite graph of order at least three, then  $\gamma_\delta(G) \leq \frac{3}{2}\alpha(G)$  and if  $G$  is a connected unicyclic graph, then  $\gamma_\delta(G) \leq \frac{3}{2}\alpha(G) + 1$ , where  $\alpha(G)$  is the independence number of  $G$ . Moreover, we characterize extremal bipartite graphs achieving equality in the first upper bound.

## 1 Introduction

Let  $G$  be a simple graph with vertex set  $V(G)$ . The *order* of  $G$  is  $|G| = |V(G)|$ . The *neighborhood*  $N_G(v) = N(v)$  of a vertex  $v \in V(G)$  consists of the vertices adjacent with  $v$ , and  $N_G[v] = N[v] = N(v) \cup \{v\}$  is the *closed neighborhood*. A vertex of degree one is called a *leaf* and its neighbor a *support vertex*. We denote by  $L(G)$  and  $S(G)$  the set of leaves and support vertices of a graph  $G$ , respectively.

For a positive integer  $k$ , a set of vertices  $D$  in a graph  $G$  is said to be a *k-dominating set* if each vertex of  $G$  not contained in  $D$  has at least  $k$  neighbors in  $D$ . The order of a smallest  $k$ -dominating set of  $G$  is called the *k-domination number*, and it is denoted by  $\gamma_k(G)$ . By definition, a dominating set coincides with a 1-dominating set, and  $\gamma_1(G)$  is the domination number  $\gamma(G)$  of  $G$ .

A set  $S \subseteq V(G)$  is called a *global offensive alliance* of  $G$  if  $|N[v] \cap S| \geq |N[v] - S|$  for every vertex  $v \in V(G) - S$ . The *global offensive number*  $\gamma_o(G)$  is the minimum cardinality of a global offensive alliance of  $G$ . A set  $S \subseteq V(G)$  is a *global strong offensive alliance* of  $G$  if  $|N[v] \cap S| > |N[v] - S|$  for every vertex  $v \in V(G) - S$ . The *global strong offensive number*  $\gamma_\delta(G)$  is the minimum cardinality of a global strong offensive alliance of  $G$ . If  $S$  is a global offensive alliance or a global strong offensive alliance of  $G$  and  $|S| = \gamma_o(G)$  or  $|S| = \gamma_\delta(G)$ , then we say that  $S$  is a  $\gamma_o(G)$ -set or a  $\gamma_\delta(G)$ -set. Note that a global offensive alliance as well as a global strong offensive alliance of  $G$  is a dominating set of  $G$ . Alliances in graphs were introduced by Hedetniemi, Hedetniemi and Kristiansen in [5].

A subset  $I \subseteq V(G)$  of the vertex set of a graph  $G$  is called *independent* if no pair of vertices in  $I$  is adjacent. The number  $\alpha(G)$  represents the cardinality of a maximum independent set of  $G$ . A *matching* in a graph  $G$  is a subset of pairwise non-incident edges. A matching is said to be *perfect* if it covers all vertices of  $G$ .

For each vertex  $x$  in a graph  $G$ , we introduce a new vertex  $x'$  and join  $x$  and  $x'$  by an edge. The resulting graph is called the *corona* of  $G$ . A graph is said to be a *corona graph* if it is the corona of some graph.

## 2 Main results

**Theorem 1** *If  $G$  is a connected bipartite graph of order at least 3, then*

$$\gamma_\delta(G) \leq \frac{3}{2}\alpha(G). \quad (1)$$

*Equality holds in (1) if and only if  $G$  is a corona of a connected bipartite graph  $H$  with a bipartition  $(X, Y)$  such that  $|X| = |Y|$  and  $\gamma_o(H) = |H|/2$ .*

**Proof.** Let  $I$  be a maximum independent set of  $G$ . Since  $|G| \geq 3$ , we can assume, without loss of generality, that  $L(G) \subseteq I$  and thus it follows that  $|L(G)| \leq \alpha(G)$ . Since  $G$  is bipartite, evidently  $2\alpha(G) \geq |G|$ .

Let  $A$  and  $B$  be the partition sets of  $G$ . Define  $A_1 = A - L(G)$  and  $B_1 = B - L(G)$  and assume, without loss of generality, that  $|A_1| \leq |B_1|$ . Then  $|A_1| \leq \frac{|G| - |L(G)|}{2}$ . Since every vertex in  $B_1$  has at least two neighbors in  $A_1 \cup L(G)$ , we see that the latter is a global strong offensive alliance of  $G$  and hence

$$\gamma_\delta(G) \leq |A_1 \cup L(G)| \leq \frac{|G| - |L(G)|}{2} + |L(G)| = \frac{|G| + |L(G)|}{2}.$$

Combining this inequality with  $|L(G)| \leq \alpha(G)$  and  $|G| \leq 2\alpha(G)$ , we obtain the desired bound

$$\gamma_\delta(G) \leq \frac{|G| + |L(G)|}{2} \leq \frac{2\alpha(G) + \alpha(G)}{2} = \frac{3}{2}\alpha(G).$$

Assume now that  $\gamma_\delta(G) = \frac{3}{2}\alpha(G)$ . Then it follows from the last inequality chain that  $|G| = 2\alpha(G)$ ,  $|L(G)| = \alpha(G)$  and  $\gamma_\delta(G) = \frac{|G| + |L(G)|}{2}$ . The facts that  $|L(G)| =$

$\alpha(G)$  and  $|G| = 2\alpha(G) = 2|L(G)|$  show that  $G$  is a corona of some connected bipartite graph  $H$  with the bipartition  $(X, Y)$ . The hypothesis  $\gamma_o(G) = \frac{3}{2}\alpha(G)$  implies that  $X \cup L(G)$  and  $Y \cup L(G)$  are two  $\gamma_o(G)$ -sets and so we deduce that  $|X| = |Y|$ . Now let  $D$  be an arbitrary  $\gamma_o(G)$ -set. Since  $L(G) \subseteq D$  and every vertex of  $H$  has exactly one neighbor in  $L(G)$ , we deduce that  $D - L(G)$  is a global offensive alliance of  $H$  and hence

$$\gamma_o(H) \leq \frac{3}{2}\alpha(G) - |L(G)| = |L(G)|/2 = |H|/2.$$

Now if  $\gamma_o(H) < |H|/2$ , then every  $\gamma_o(H)$ -set  $S$  can be extended to a global strong offensive alliance of  $G$  by adding the set  $L(G)$  which leads to a contradiction. This yields to the desired equality  $\gamma_o(G) = \frac{|H|}{2}$ .

Conversely, let  $G$  be a corona of a connected bipartite graph  $H$  such that  $\gamma_o(H) = |H|/2$ . If  $S$  is any  $\gamma_o(H)$ -set, then  $S \cup L(G)$  is a global strong offensive alliance of  $G$  and so  $\gamma_o(G) \leq |H|/2 + |L(G)| = \frac{3}{2}|L(G)| = \frac{3}{2}\alpha(G)$ . If  $D$  is any  $\gamma_o(G)$ -set with  $|D| < \frac{3}{2}\alpha(G)$ , then  $D - L(G)$  is a global offensive alliance of  $H$  of size less than  $|H|/2$ , a contradiction. Thus  $|D| = \frac{3}{2}\alpha(G)$ , and the proof of Theorem 1 is complete.  $\square$

We note that a constructive characterization of trees  $T$  with  $\gamma_o(T) = (|T| - |L(T)| + |S(T)|)/2$  has been given in [2] as follows: let  $\mathcal{G}$  be the family of all trees  $T$  that can be obtained from a sequence  $T_1, T_2, \dots, T_k$  ( $k \geq 1$ ) of trees, where  $T_1$  is the path  $P_2$ ,  $T = T_k$ , and if  $k \geq 2$ ,  $T_{i+1}$  is obtained recursively from  $T_i$  by one of the three operations listed below. A support vertex is called *strong* if it is adjacent to at least two leaves.

- Operation  $\mathcal{O}_1$ : Add a vertex attached by an edge to an arbitrary support vertex of  $T_i$ .
- Operation  $\mathcal{O}_2$ : Add a path  $P_2 = xy$  and join  $x$  by an edge to a support vertex  $z$  of  $T_i$ .
- Operation  $\mathcal{O}_3$ : Add  $p \geq 1$  path(s)  $P_2$  and join a vertex of each path by an edge to a leaf  $u$  of  $T_i$  adjacent to a support vertex  $w$  that is not a strong one with the condition that  $p = 1$  if  $w$  has degree at least three.

Clearly trees  $T$  with  $\gamma_o(T) = |T|/2$  contain no strong support vertex. Since Operation  $\mathcal{O}_1$  produces strong support vertices we define  $\mathcal{G}_1$  as the subfamily of  $\mathcal{G}$  consisting of trees obtained from  $T_1$  by performing Operations  $\mathcal{O}_2$  and  $\mathcal{O}_3$ . Therefore extremal trees  $G$  achieving equality in (1) are precisely coronas of nontrivial trees  $T \in \mathcal{G}_1$ .

**Proposition 2** *If  $G$  is a nontrivial connected bipartite graph with  $\gamma_o(G) = |G|/2$ , then  $G$  admits a perfect matching.*

**Proof.** Assume that  $G$  does not admit a perfect matching, and let  $I$  be a maximum independent set of  $G$ . Using the well-known theorems of König [6] and Gallai [4], we therefore deduce that  $|I| > |G|/2$ . Since  $G$  is a nontrivial connected bipartite graph,

we observe that  $|N[v] \cap (V(G) - I)| \geq 1 = |N[v] - (V(G) - I)|$  for every vertex  $v \in I$ . Thus  $V(G) - I$  is a global offensive alliance of  $G$  and hence  $\gamma_o(G) < |G|/2$ . This contradiction to our hypothesis completes the proof.  $\square$

The converse of Proposition 2 is not true and can be seen by the following example. Let  $T$  be a tree obtained by adding an edge between the center vertices of two paths  $P_5$ . Clearly, the tree  $T$  has a perfect matching but the set  $S(T)$  of support vertices is a global offensive alliance of  $T$  of size  $4 < |T|/2$ .

If  $S$  is any  $\gamma_o(G)$ -set, then every vertex of  $V(G) - S$  has at least two neighbors in  $S$ . Thus  $S$  is a 2-dominating set of  $G$ , and we obtain  $\gamma_2(G) \leq |S| = \gamma_o(G)$ . Using this fact, Theorem 1 leads immediately to the following two corollaries.

**Corollary 3 (Fujisawa, Hansberg, Kubo, Saito, Sugita, Volkmann [3] 2008)** *If  $G$  is a connected bipartite graph of order at least 3, then*

$$\gamma_2(G) \leq \frac{3}{2}\alpha(G). \quad (2)$$

**Corollary 4 (Blidia, Chellali, Favaron [1] 2005)** *If  $T$  is a tree of order at least 3, then  $\gamma_2(T) \leq \frac{3}{2}\alpha(T)$ .*

**Theorem 5** *If  $G$  is a connected unicyclic graph, then  $\gamma_o(G) \leq (|G| + 1)/2$  and  $\alpha(G) \geq \lfloor |G|/2 \rfloor$ .*

**Proof.** Let  $C$  be the unique cycle of  $G$ . If  $C$  is even, then  $G$  is a bipartite graph and the result is valid. Thus assume that  $C$  is odd. If  $G = C$ , then  $\gamma_o(G) = (|G| + 1)/2$ , and  $\alpha(G) = \lfloor |G|/2 \rfloor$ . So we assume that  $G$  contains a vertex of degree at least three. Let  $A, B$  with  $|A| \leq |B|$  be the unique bipartition of  $G - e$ , where  $e$  is any edge of  $C$ . Clearly,  $e$  has its endvertices, say  $u, v$  both in  $A$  or in  $B$ . If  $|A| = |B|$ , then one of  $A$  or  $B$  is a global offensive alliance of  $G$  and the other is independent and the result holds. Thus assume that  $|A| < |B|$ . If  $u, v \in A$ , then  $A$  is a global offensive alliance of  $G$ , and hence  $\gamma_o(G) \leq |A| < (|G| + 1)/2$ , and  $\alpha(G) \geq |B| \geq \lfloor |G|/2 \rfloor$ . If  $u, v \in B$ , then  $B - \{u\}$  is independent and  $A \cup \{u\}$  is a global offensive alliance of  $G$ . So  $\alpha(G) \geq |B| - 1 \geq \lfloor |G|/2 \rfloor$  and  $\gamma_o(G) \leq |A| + 1 \leq (|G| + 1)/2$ .  $\square$

**Corollary 6** *If  $G$  is a connected unicyclic graph, then  $\alpha(G) \geq \gamma_o(G) - 1$ .*

If  $C$  is a cycle of odd length, then  $\alpha(C) = \gamma_o(C) - 1 = \lfloor |C|/2 \rfloor$ , and therefore Corollary 6 is best possible.

**Theorem 7** *If  $G$  is a connected unicyclic graph, then*

$$\gamma_o(G) \leq \frac{|G| + \alpha(G) + 1}{2}.$$

**Proof.** Let  $I$  be a maximum independent set of  $G$  that contains all leaves of  $G$ . Then every vertex of  $V(G) - I$  has degree at least two in  $G$ . Let  $A$  be the set of isolated

vertices in the induced subgraph  $G[V(G) - I]$ , and define the set  $B = V(G) - (I \cup A)$ . It follows that the induced subgraph  $G[B]$  has no isolated vertices, and it contains at most one cycle. This means that the components of  $G[B]$  consist of non-trivial bipartite graphs and at most one unicyclic graph. Now let  $S$  be a minimum global offensive alliance of  $G[B]$ . Since for every non-trivial bipartite graph  $H$ , the inequality  $\gamma_o(H) \leq |H|/2$  is immediate, Theorem 5 implies that

$$|S| \leq \frac{|B| + 1}{2} \leq \frac{|V(G) - I| + 1}{2}.$$

In addition, we see that the set  $I \cup S$  is a global strong offensive alliance of  $G$  and so we receive the desired result as follows:

$$\gamma_o(G) \leq |I| + |S| \leq |I| + \frac{|V(G) - I| + 1}{2} = \frac{|G| + \alpha(G) + 1}{2}. \quad \square$$

**Corollary 8** *If  $G$  is a connected unicyclic graph, then  $\gamma_o(G) \leq \frac{3}{2}\alpha(G) + 1$ .*

**Proof.** Theorem 5 implies  $2\alpha(G) \geq |G| - 1$ , and hence we obtain by Theorem 7 that  $\gamma_o(G) \leq (|G| + \alpha(G) + 1)/2 \leq (3\alpha(G) + 2)/2$ .  $\square$

If  $G$  is the corona of a cycle  $C$  of odd length, then  $\gamma_o(G) = (3|C| + 1)/2$  and  $\alpha(G) = |C|$ . Thus it follows that

$$\gamma_o(G) = \frac{3|C| + 1}{2} > \frac{3|C|}{2} = \frac{3\alpha(G)}{2},$$

and so the bound of Corollary 8 is best possible.

**Proposition 9** *If  $G$  is a graph with minimum  $\delta \geq 1$ , then*

$$\gamma_o(G) + \alpha(G) \leq |G| + |L(G)|.$$

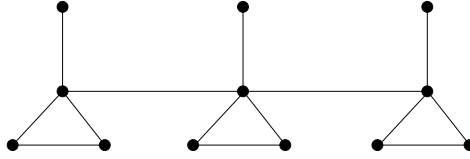
**Proof.** Let  $I$  be a maximum independent set that contains all leaves of  $G$ . Then every vertex of  $I - L(G)$  has at least two neighbors in  $V(G) - I$ , and so  $(V(G) - I) \cup L(G)$  is a global strong offensive alliance of  $G$ . Hence  $\gamma_o(G) \leq |(V(G) - I) \cup L(G)|$ , implying  $\gamma_o(G) + \alpha(G) \leq |G| + |L(G)|$ .  $\square$

The next example will show that Proposition 9 is best possible.

**Example 10** Let  $H_i$  consists of the vertices  $u_i, v_i, w_i, z_i$  and the edges  $u_i v_i, v_i w_i, w_i u_i, w_i z_i$ . If  $k \geq 1$  is an integer, then define the graph  $G_k$  as the disjoint union  $H_1 \cup H_2 \cup \dots \cup H_k$  together with the path  $w_1 w_2 \dots w_k$ . See Figure 1 for an example of  $G_3$ . We observe that  $G_k$  is a connected graph of order  $4k$  such that

$$\gamma_o(G_k) + \alpha(G_k) = 3k + 2k = 4k + k = |G_k| + |L(G_k)|.$$

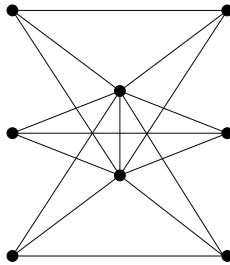
**Corollary 11** *If  $G$  is a graph with minimum degree  $\delta \geq 2$ , then  $\gamma_o(G) + \alpha(G) \leq |G|$ .*

Figure 1: The graph  $G_3$ .

The following example will demonstrate that Corollary 11 is best possible.

**Example 12** Let  $k \geq 1$  be an integer, and let  $R_k$  consists of the vertices  $u, v, x_1, x_2, \dots, x_k$  and  $y_1, y_2, \dots, y_k$  such that the vertex set  $\{u, v, x_i, y_i\}$  induce a complete graph for  $1 \leq i \leq k$ . See Figure 2 for an example of  $R_3$ . We note that  $R_k$  is a connected graph of order  $2k + 2$  such that

$$\gamma_{\circ}(R_k) + \alpha(R_k) = (k + 2) + k = 2k + 2 = |R_k|.$$

Figure 2: The graph  $R_3$ .

We finish by mentioning that Proposition 9 is not sharp for the class of nontrivial trees. Indeed if  $T$  is a nontrivial tree, then it is shown in [1] that  $\alpha(T) \leq \frac{|T| + |L(T)| - 1}{2}$ , and in [2] that  $\gamma_{\circ}(T) \leq \frac{|T| + |L(T)|}{2}$ . Clearly then we have for nontrivial trees  $T$ ,  $\gamma_{\circ}(T) + \alpha(T) < |T| + |L(T)|$ .

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