

# Circumferences of 3-connected Tough Graphs with Large Degree Sums

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## Abstract

Let  $G$  be a 3-connected tough graph of order  $n$  with circumference  $c(G)$ , independence number  $\alpha(G)$  and vertex connectivity  $\kappa(G)$ , such that  $d(x)+d(y)+d(z)+d(w) \geq s$  for any independent set  $\{x, y, z, w\}$  of vertices. In [7] we have proved: when  $s \geq n + c(G)/2$ , every longest cycle of  $G$  is a dominating cycle and  $c(G) \geq \min\{n, n+s/4-\alpha(G)\}$ . This paper improves the results by showing that under the same conditions  $c(G) \geq \min\{n, n+s/4-\alpha(G)+1\}$ . Furthermore when  $s \geq (3n-1)/2 + \kappa(G)$ ,  $G$  is hamiltonian.

## 1 Terminology

All graphs are finite simple graphs. The reader is referred to [3] for undefined terminology. Let  $G=(V, E)$  be a graph of order  $n$ . For  $A \subseteq V$ , we use  $G[A]$  to denote the subgraph induced by  $A$ , while  $G-A$  will be used to denote the graph  $G[V(G)-A]$ . For a subgraph  $H$  of  $G$ ,  $G-H=G-V(H)$ .  $\kappa(G)$ ,  $\alpha(G)$ ,  $\delta(G)$  and  $c(G)$  will denote the vertex connectivity, independence number, minimum degree and circumference of  $G$  respectively. The number of components of  $G$  will be denoted by  $\omega(G)$ . We call  $G$  a  $t$ -tough graph if  $|S| \geq t \cdot \omega(G-S)$  for any  $S \subseteq V$  such that  $\omega(G-S) > 1$ . The toughness of  $G$ , denoted by  $\tau(G)$ , is the maximum value of  $t$  for which  $G$  is  $t$ -tough ( $\tau(K_n) = \infty$  for all  $n \geq 1$ ). If  $\tau(G) \geq 1$  we call  $G$  a tough graph. For  $u \in V$ , we denote the neighborhood of  $u$  by  $N(u)$ , and  $d(u) = |N(u)|$ . A cycle  $C$  of  $G$  is called a dominating cycle if every edge of  $G$  has at least one of its vertices on  $C$ .  $G$  is called almost hamiltonian if every longest cycle of  $G$  is a dominating cycle. For a cycle  $C$ , we denote by  $\vec{C}$  the cycle with a fixed cyclic orientation. If  $u, v \in V(C)$ , then  $u \vec{C} v$  denotes consecutive vertices on  $C$  from  $u$  to  $v$  in the orientation specified by  $\vec{C}$ . The same vertices, in reverse orientation, are given by  $v \overleftarrow{C} u$ . We use  $u^+$  to denote the successor of vertex  $u$  on  $\vec{C}$  and  $u^-$  the predecessor of  $u$  on  $\vec{C}$ , and  $u^{++}=(u^+)^+$ ,  $u^{--}=(u^-)^-$ . If  $A \subseteq V(C)$ , then  $A^+ = \{v^+ | v \in A\}$ ,  $A^- = \{v^- | v \in A\}$ , and  $A^{++}=(A^+)^+$ . For an integer  $r$ ,  $1 \leq r \leq \alpha(G)$ , define  $\sigma_r(G) = \min\{\sum_{u \in S} d(u) | S \subseteq V(G)$  is an independent set of vertices of size  $r\}$  and  $\mu(G) = \max\{d(v) | v \in V-V(C')\}$ ,  $C'$  is a longest cycle of  $G$ .

## 2 Main Results

In [7], we have obtained the following results.

**Theorem 1.** Let  $G$  be a 3-connected tough graph of order  $n$  such that  $\sigma_4(G) \geq n+c(G)/2$ . Then  $G$  is almost hamiltonian.

**Theorem 2.** Let  $G$  be a graph of order  $n$  such that  $\delta(G) \geq 3$  and  $\sigma_4(G) \geq n+c(G)/2$ . Let  $G$  contain a longest cycle  $C$  which is a dominating cycle. If  $v_0 \in V-V(C)$ ,  $A=N(v_0)$ , then both  $(V-V(C)) \cup A^+$  and  $(V-V(C)) \cup A^-$  are independent sets of vertices.

**Theorem 3.** Let  $G$  be a 3-connected tough graph of order  $n$  such that  $\sigma_4(G) \geq s \geq n+c(G)/2$ . Then  $c(G) \geq \min\{n, n+s/4-\alpha(G)\}$ ; furthermore when  $c(G) < n$ , there exists a longest cycle  $C$  of  $G$  and  $v_0 \in V-V(C)$  such that  $\mu(G)=d(v_0) \geq \sigma_4(G)/4$ .

This paper improves the above results by showing that :

**Theorem 4.** Let  $G$  be a 3-connected tough graph of order  $n$  such that  $\sigma_4(G) \geq s \geq n+c(G)/2$ . Then  $G$  is hamiltonian or there exists a longest cycle  $C$  such that  $\alpha(G) \geq |V-V(C)|+s/4+1$ .

**Corollary 5.** Let  $G$  be a 3-connected tough graph of order  $n$  such that  $\sigma_4(G) \geq s \geq n+c(G)/2$ . Then  $c(G) \geq \min\{n, n+s/4-\alpha(G)+1\}$ .

Since  $\alpha(G) \leq n/(\tau(G)+1)$ ,  $\sigma_4(G)$  and  $c(G)$  are all integers, Theorem 4 also implies the following corollary.

**Corollary 6.** Let  $G$  be a 3-connected  $\tau$ -tough graph of order  $n$  such that  $\tau(G) \geq 1$  and  $\sigma_4(G) \geq s \geq n+c(G)/2$ . Then  $c(G) \geq \min\{n, n\tau/(\tau+1)+s/4+1\}$ ; furthermore when  $\sigma_4(G) \geq n+(n-1)/2$ , if  $\tau(G) \geq 5/3$  or  $\delta(G) \geq \alpha(G)-1$ , then  $G$  is hamiltonian.

Using the above results, we obtain another sufficient condition for hamiltonian cycles.

**Theorem 7.** Let  $G$  be a 3-connected tough graph of order  $n$  with vertex connectivity  $\kappa(G)$  such that  $\sigma_4(G) \geq (3n-1)/2+\kappa(G)$ . Then  $G$  is hamiltonian.

Our proof of Theorem 7 also requires a number of well-known results as follows:

**Lemma 8**[1]. Let  $G$  be a graph of order  $n$  and  $S$  a vertex cut of  $G$ . Suppose some component of  $G-S$  is complete and has vertex set  $B$ . If  $u$  and  $v$  are nonadjacent vertices in  $V-(S \cup B)$  such that  $d(u)+d(v) \geq n-|B|+1$ , then  $G$  is hamiltonian if and only if  $G+uv$  is hamiltonian.

**Lemma 9**[6]. Let  $G$  be a graph of order  $n$  such that  $\sigma_1(G) = \delta(G) \geq n/2 > 1$ . Then  $G$  is hamiltonian.

**Lemma 10**[4]. Let  $G$  be a graph of order  $n$  with nonadjacent vertices  $u$  and  $v$ . If  $d(u) + d(v) \geq n$ , then  $G$  is hamiltonian if and only if  $G+uv$  is hamiltonian.

**Lemma 11**[5]. Let  $G$  be such a graph that  $\alpha(G) \leq \kappa(G)$ . Then  $G$  is hamiltonian.

**Lemma 12**[9]. For any graph  $G$ ,  $\kappa(G) \leq \delta(G)$ .

### 3 The proofs

#### Preliminaries

Let  $G$  be a non-hamiltonian 3-connected tough graph of order  $n$  such that  $\sigma_4(G) \geq n+c(G)/2$ , and  $C$  be a longest cycle of  $G$  with a fixed cyclic orientation  $\bar{C}$ . Let  $v_0 \in V-V(C)$  such that  $d(v_0) = \mu(G)$ . By theorems 1 and 3,  $C$  is a dominating cycle and  $d(v_0) \geq \sigma_4(G)/4$ . Set  $A = N(v_0) = \{v_1, v_2, \dots, v_k\}$  ( $k \geq \kappa(G) \geq 3$ ) such that  $v_i \in v_{i-1} \bar{C} v_{i+1}$ . Set  $u_i = v_i^+$ ,  $w_i = v_{i+1}^-$ ,  $L_i = u_i \bar{C} w_i$  for  $1 \leq i \leq k$  (indices mod  $k$ ). For  $1 \leq r < s \leq k$ , define

$$R_i(u_r) = \{v \in u_r \bar{C} v_s \mid u_r v^+ \in E\}$$

$$S_1(u_s) = \{v \in u_i, \bar{C} v_s \mid u_s v \in E\}$$

$$R_2(u_r) = \{v \in u_s, \bar{C} v_r \mid u_r v \in E\}$$

$$S_2(u_s) = \{v \in u_s, \bar{C} v_i \mid u_s v \in E\}$$

$$B(u_r, u_s) = R_1(u_r) \cup S_1(u_s) \cup R_2(u_r) \cup S_2(u_s).$$

The following propositions facilitate the proof of Theorem 4 .

Proposition 1.  $A \cap A^+ = A \cap A^- = \phi$  .

Proof. Since C is a longest cycle , Proposition 1 is obviously true .

Proposition 2. If  $v \in u_i, \bar{C} u_j$  with  $i < j$  and  $u_i v \in E$  then  $u_i v^+ \notin E$  .

Proof . Suppose otherwise , then there exists a cycle  $u_i \bar{C} v_i \bar{C} v_j v_0 v_j \bar{C} v^+ u_i$  longer than C , which is a contradiction . Hence Proposition 2 is true.

Proposition 3. For  $1 \leq r < s \leq k$  ,  $d(u_r) + d(u_s) \leq |B(u_r, u_s)| \leq |V(C)|$  .

Proof . Since C is a longest cycle as well as a dominating cycle , by Proposition 2 we have  $N(u_r) = R_1^+(u_r) \cup R_2(u_r)$  ,  $N(u_s) = S_1(u_s) \cup S_2^+(u_s)$  , and  $R_1(u_r) \cap S_1(u_s) = R_2(u_r) \cap S_2(u_s) = \phi$  . Thus  $d(u_r) + d(u_s) = |N(u_r)| + |N(u_s)| \leq |R_1^+(u_r)| + |R_2(u_r)| + |S_1(u_s)| + |S_2^+(u_s)| = |B(u_r, u_s)| \leq |V(C)|$  for  $1 \leq r < s \leq k$  . Hence Proposition 3 is true .

Proposition 4.  $A^+ \cap A^- \neq \phi$  and if  $u \in A^+ \cap A^-$  then  $d(u) \leq d(v_0)$  .

Proof . Suppose  $A^+ \cap A^- = \phi$  . Then  $c(G) \geq 3d(v_0) \geq 3\sigma_4(G)/4 \geq 3(n+c(G)/2)/4$  , i.e.  $c(G) \geq 6n/5 > n$  . This contradiction shows that  $A^+ \cap A^- \neq \phi$  . If  $u \in A^+ \cap A^-$  , then there exists a longest cycle C' ,  $v_0 u^+ \bar{C} u v_0$  , such that  $u \in V - V(C')$  . By the choice of C and  $v_0$  , we have  $d(v_0) \geq d(u)$  . Thus Proposition 4 is true.

Proposition 5. If  $u \in A^+ \cap A^-$  ,  $uv \in E$  and  $v \in V(C)$  , then  $\{v^+\} \cup (V - V(C)) \cup A^+$  is an independent set of vertices .

Proof . By Proposition 2,  $\{v^+\} \cup A^+$  is an independent set of vertices . Suppose there exists  $v' \in V - V(C)$  such that  $v' v^+ \in E$  . Clearly  $v_0 \neq v'$  , otherwise there exists the cycle  $v' u^+ \bar{C} v u \bar{C} v^+ v'$  longer than cycle C . And  $v' u_i \notin E$  for any  $i \in \{1, 2, \dots, k\}$  , otherwise when  $u_i \in u^+ \bar{C} v$  , there is a cycle  $v' v^+ \bar{C} u v_0 v_i \bar{C} u v \bar{C} u_i v'$  longer than cycle C ; when  $u_i \in v^+ \bar{C} u$  , there is a cycle  $v' v^+ \bar{C} v_i v_0 u^+ \bar{C} v u \bar{C} u_i v'$  longer than cycle C . Similarly  $v' u_i^+ \notin E$  for any  $i \in \{1, 2, \dots, k\}$  . This is to say , no edge of G joins  $v'$  to the vertex in  $A^+ \cup A^{++}$  . Since C is a dominating cycle ,  $N(v') \subseteq V(C)$  , and by Proposition 1 ,  $A^+ \cap A^{++} = \phi$  . Thus  $d(v') \leq |V(C)| - 2d(v_0)$  . Furthermore , since  $k \geq 3$  , there exists  $u_m \in A^+$  such that  $\{v_0, v', u, u_m\}$  is an independent set of vertices , and  $d(u_m) = \min\{d(u_j) \mid j \in \{1, 2, \dots, k\}, u_j \neq u\}$  . By Proposition 3,  $d(u_m) \leq |V(C)|/2$  . By Proposition 4 ,  $d(u) \leq d(v_0)$  . Hence we have  $n+c(G)/2 \leq \sigma_4(G) \leq d(v_0) + d(v') + d(u) + d(u_m) \leq |V(C)| + c(G)/2 \leq 2n - 2 + c(G)/2$  , a contradiction , which shows that  $v' v^+ \notin E$  for any  $v' \in V - V(C)$  , i.e.  $\{v^+\} \cup (V - V(C))$  is an independent set of vertices . Then by Theorem 2,  $\{v^+\} \cup (V - V(C)) \cup A^+$  is an independent set of vertices . Thus Proposition 5 is true .

Proposition 6. If  $y \in u_i^+ \bar{C} w_i^-$ ,  $z \in v_{i+1} \bar{C} v_i$  and  $u_i, u_i^+ \neq w_i$  such that  $yz \in E$ ,  $u_i \bar{C} w_i \subseteq N(w_i)$  and  $u_i^+ \bar{C} w_i \subseteq N(u_i)$  for some  $i \in \{1, 2, \dots, k\}$ , then  $\{z^+\} \cup (V-V(C)) \cup A^+$  is an independent set of vertices.

Proof. When  $z \in A$ , Proposition 6 is true by Theorem 2. When  $z \notin A$ , suppose  $u_j z^+ \in E$  for some  $j \in \{1, 2, \dots, k\}$ . If  $u_j \in z^+ \bar{C} w_j$ , then there exists a cycle  $u_j z^+ \bar{C} v_j v_0 v_{i+1} \bar{C} z y \bar{C} w_y \bar{C} u_j$  longer than  $C$ . If  $u_j \in v_{i+1} \bar{C} z$ , then the cycle  $u_j z^+ \bar{C} v_i v_0 v_j \bar{C} y^+ u_i \bar{C} z y \bar{C} u_j$  is longer than  $C$ . In either case we reach a contradiction. Hence  $u_j z^+ \notin E$  for all  $j \in \{1, 2, \dots, k\}$ , i.e.  $\{z^+\} \cup A^+$  is an independent set of vertices. As in the proof of Proposition 5, we have  $\{z^+\} \cup (V-V(C))$  is an independent set of vertices. And by Theorem 2,  $\{z^+\} \cup (V-V(C)) \cup A^+$  is an independent set of vertices. Thus Proposition 6 is true.

Proposition 7. If  $v \in u_i \bar{C} w_i$  and  $u_i v \in E-E(C)$  for some  $i \in \{1, 2, \dots, k\}$ , then  $\{v\} \cup (V-V(C)) \cup (A^+ - \{u_i\})$  is an independent set of vertices.

Proof. By Proposition 2,  $\{v\} \cup (A^+ - \{u_i\})$  is an independent set of vertices. As in the proof of Proposition 5, we have  $\{v\} \cup (V-V(C))$  is an independent set of vertices. By Theorem 2,  $(V-V(C)) \cup (A^+ - \{u_i\})$  is an independent set of vertices. Hence  $\{v\} \cup (V-V(C)) \cup (A^+ - \{u_i\})$  is an independent set of vertices. Thus Proposition 7 is true.

#### Proof of Theorem 4

When  $n \leq 11$ , it is easy to verify Theorem 4. Hence we may assume that  $n \geq 12$ .

If  $G$  is hamiltonian, there is nothing to prove. Otherwise, choose  $C$ ,  $v_0$  and  $A$  as above. By theorems 1, 2 and 3,  $\alpha(G) \geq |V-V(C)| + |A^+| = |V-V(C)| + d(v_0)$  and  $d(v_0) \geq \sigma_4(G)/4$ . If  $d(v_0) \geq \sigma_4(G)/4 + 1$  then  $\alpha(G) \geq |V-V(C)| + \sigma_4(G)/4 + 1$  so that Theorem 4 holds. Thus we may assume that  $\sigma_4(G)/4 \leq d(v_0) \leq (\sigma_4(G) + 3)/4$ . Suppose  $\alpha(G) \leq |V-V(C)| + |A^+|$ .

Claim 1. If  $u \in A^+ \cap A^-$  then  $N(u) \subseteq N(v_0)$ .

Otherwise, suppose there exists  $v \in V(C)$  such that  $uv \in E$  and  $v \notin N(v_0)$ . Then by Proposition 5,  $\{v^+\} \cup (V-V(C)) \cup A^+$  is an independent set of vertices, so that  $|V-V(C)| + |A^+| < \alpha(G)$ , a contradiction.

Claim 2. There exist vertices  $u_i \in A^+$  and  $w_j \in A^-$  with  $i \neq j$  such that  $u_i w_j \in E$ .

Since  $G$  is a tough graph,  $G-A$  has at most  $k$  components, one of which has vertex set  $\{v_0\}$ . Hence there exist integers  $i, j \in \{1, 2, \dots, k\}$  with  $i \neq j$  such that some vertex in  $L_i$  is joined to some vertex in  $L_j$  by either an edge  $e$  or a path of length 2 with its internal vertex in  $V-V(C) - \{v_0\}$ . Since the arguments for these two cases are completely analogous, we will assume that the first case applies. If  $e$  joins a vertex in  $A^+$  to a vertex in  $A^-$ , the claim is established. Otherwise, let  $e = yz$ , where  $y \in L_j$  and  $y \neq u_j, w_j$ . Suppose  $u_j w_j \in E$ . Then by Proposition 7,  $\{w_j^+\} \cup (V-V(C)) \cup (A^+ - \{u_j\})$  is an independent set of vertices. Thus  $u_j w_j^+ \in E$ . By repeating the above argument we conclude that each vertex in  $L_j - \{u_j, w_j\}$  is adjacent to  $u_j$ . Similarly, each vertex in  $L_j - \{u_j, w_j\}$  is adjacent to  $w_j$ . Now by Proposition 6,  $\{z^+\} \cup (V-V(C)) \cup A^+$  is an independent set of vertices so that  $\alpha(G) > |V-V(C)| + |A^+|$ . This contradiction shows that  $u_j w_j \notin E$ . By Theorem 2,  $\{w_j\} \cup (V-V(C))$  is an independent set of

vertices. Since  $\alpha(G) \leq |V-V(C)| + |A^+|$ ,  $w_j$  must be adjacent to some vertex in  $A^+ - \{u_j\}$ . Thus claim 2 holds.

Claim 3. There exist vertices  $x_1, x_2, x_3 \in A^+ \cap A^-$  such that  $N(x_1) = N(x_2) = N(v_0)$ .

Since  $n-1 \geq |V(C)| \geq 3(d(v_0) - |A^+ \cap A^-|) + 2|A^+ \cap A^-|$ ,  $|A^+ \cap A^-| \geq 3d(v_0) - |V(C)|$ . Note that  $n \geq 12$ . When  $d(v_0) = \sigma_4(G)/4$ , we have  $|A^+ \cap A^-| \geq 3(n+c(G)/2)/4 - c(G) \geq (n+5)/8$ , so that  $|A^+ \cap A^-| \geq 3$ . Let  $\{x_1, x_2, x_3\} \subseteq A^+ \cap A^-$ . Then  $\{v_0, x_1, x_2, x_3\}$  is an independent set, and  $\max\{d(x_1), d(x_2), d(x_3)\} \leq d(v_0)$  by Claim 1. Suppose  $d(x_i) < d(v_0)$  for some  $i \in \{1, 2, 3\}$ . Then we have  $\sigma_4(G) \leq d(v_0) + d(x_1) + d(x_2) + d(x_3) < 4d(v_0) = \sigma_4(G)$ , a contradiction. Hence  $d(x_1) = d(x_2) = d(x_3) = d(v_0)$ . By Claim 1,  $N(x_1) = N(x_2) = N(v_0)$ . When  $d(v_0) = (\sigma_4(G)+1)/4$ , we have  $|A^+ \cap A^-| \geq 3$  too. Let  $\{x_1, x_2, x_3\} \subseteq A^+ \cap A^-$  such that  $d(x_3) \leq d(x_2) \leq d(x_1) \leq d(v_0)$ . Suppose  $d(x_2) \leq d(v_0) - 1$ . Then we have  $\sigma_4(G) \leq d(v_0) + d(x_1) + d(x_2) + d(x_3) \leq 2(\sigma_4(G)+1)/4 + 2((\sigma_4(G)+1)/4 - 1) = \sigma_4(G) - 1$ , a contradiction, which shows that  $d(x_1) = d(x_2) = d(v_0)$ . Then by Claim 1,  $N(x_1) = N(x_2) = N(v_0)$ . Similarly when  $d(v_0) = (\sigma_4(G)+2)/4$  or  $d(v_0) = (\sigma_4(G)+3)/4$ , there exist  $x_1, x_2, x_3 \in A^+ \cap A^-$  such that  $N(x_1) = N(x_2) = N(v_0)$ . Thus Claim 3 holds.

By Claim 3, without loss of generality, let  $u_1, u_r \in A^+ \cap A^-$  with  $r \neq 1$  such that  $N(u_1) = N(u_r) = N(v_0)$ . Let  $j$  be the maximum index such that  $u_i, w_j \in E$  for some  $i$  with  $i \neq j$ . By Theorem 2,  $j \neq 1, r$ . Since  $u_1, v_{j+1}, u_r, v_{j+1} \in E$ , by Proposition 2 we have  $1 < r < i < j$ . Now we consider  $u_j$ .

Claim 4. The vertex  $u_j$  is not adjacent to any vertex in  $V(C) - (L_j \cup A)$ .

Suppose  $u_j \in E$ , where  $y \in L_i$  and  $t > j$ . By theorems 1 and 2,  $\{w_i\} \cup (V-V(C))$  is an independent set of vertices, and  $y, w_i \notin A^+$ . Thus  $w_i$  must be adjacent to some vertex in  $A^+$ . By the choice of the index  $j$  we conclude that  $u_i, w_i \in E$  and  $y \neq u_i, w_i$ . But then by Proposition 7,  $\{w_i\} \cup (A^+ - \{u_i\}) \cup (V-V(C))$  is an independent set of vertices. Thus  $u_i, w_i \in E$ . By repeating the above argument we have  $N(u_i) \supseteq L_i - \{u_i\}$ . Similarly  $N(w_i) \supseteq L_i - \{w_i\}$ . But then by Proposition 6,  $\{u_i^+\} \cup (V-V(C)) \cup A^+$  is an independent set of vertices, a contradiction. Hence we suppose  $u_j \in E$ , where  $y \in L_i$  and  $j > t$ . Since  $N(u_i) = A$ ,  $u_1, v_{t+1} \in E$ . By Proposition 2,  $y \neq w_t$ . Furthermore  $\{y^+\} \cup (V-V(C))$  is an independent set of vertices. To see this, suppose  $y^+ v_0' \in E$ , where  $v_0' \in V-V(C)$ . Since  $L_t \cap A = \emptyset$ ,  $v_0' \neq v_0$ . If  $v_0' u_p \in E$ , where either  $p > j$  or  $p \leq t$ , then there exists a cycle  $v_0' y^+ \bar{C}_{v_0' v_p} \bar{C}_{u_j y} \bar{C}_{u_p v_0'}$  longer than cycle  $C$ . If  $v_0' u_p \in E$  where  $t < p < j$ , then there exists another cycle  $v_0' y^+ \bar{C}_{v_p u_1} \bar{C}_{u_j y} \bar{C}_{v_1 v_0' v_j} \bar{C}_{u_p v_0'}$  longer than cycle  $C$  too. Thus  $v_0'$  is not adjacent to any vertex in  $A^+ - \{u_j\}$ . Similarly,  $v_0'$  is not adjacent to any vertex in  $A^{++} - \{u_j^+\}$ . By Proposition 1,  $A^+ \cap A^{++} = \emptyset$ . By theorems 1, 2 and 3,  $v_0' u_j, v_0' w_j \notin E$ , and  $v_0'$  is also not adjacent to any vertex in  $V-V(C)$ , so that  $d(v_0') \leq |V(C)| - 2(d(v_0) - 1) - 2 = |V(C)| - 2d(v_0)$ . Let  $d(u_m) = \min\{d(u_i) \mid 2 \leq i \leq k\}$ . Then  $\{v_0, v_0', u_1, u_m\}$  is an independent set of vertices. Thus  $n+c(G)/2 \leq \sigma_4(G) \leq d(v_0) + d(v_0') + d(u_1) + d(u_m) \leq |V(C)| + |V(C)|/2 < n-1+c(G)/2$ , a contradiction. Hence  $y^+ v_0' \notin E$  for all  $v_0' \in V-V(C)$ , i.e.  $\{y^+\} \cup (V-V(C))$  is an independent set of vertices. But since  $\alpha(G) \leq |V-V(C)| + |A^+|$ ,  $y^+$  must be adjacent to some vertex  $u_s \in A^+$ . Since  $u_j \in E$ , by Proposition 2, it must be the case that  $t < s \leq j$ . Now we apply the above argument to  $y^+, y^{++}$ , etc. It follows that there exists an integer  $b$  such that  $t < b \leq j$  and

$u_b, w_i \in E$ . But since  $u_i, v_{i+1} \in E$ , we reach a contradiction with Proposition 3. Thus Claim 4 holds.

Claim 5. There exists a vertex  $u_a \in A^+ \cap A^-$  with  $a \neq i, j$ , such that  $u_j, v_{a+1} \in E$ .

Suppose otherwise. Consider any vertex  $v_{m+1} \in A$  with  $m \neq j$ . If  $u_m \notin A^+ \cap A^-$ , by Claim 4,  $u_j, v_{m+1} \notin E$ . Similarly, if  $u_m \in A^+ \cap A^-$ , then  $u_j, v_{m+1} \notin E$ . Furthermore since  $u_i, w_j \in E$ , by Proposition 2 we have  $u_j, v_{j+1} \notin E$ . Thus  $d(u_i) \leq |V(C)| - 2d(v_0)$ . Let  $d(u_m) = \min \{d(u_i) | u_i \in A^+ \cap A^-, i \neq 1, j\}$ . By propositions 2 and 3,  $d(u_m) \leq |V(C)|/2$ . Now  $\{v_0, u_1, u_j, u_m\}$  is an independent set of vertices, we have  $n + c(G)/2 \leq \sigma_4(G) \leq d(v_0) + d(u_1) + d(u_j) + d(u_m) \leq n - 1 + c(G)/2$ , a contradiction. Thus there exists a vertex  $u_a \in A^+ \cap A^-$  with  $a \neq j$ , such that  $u_j, v_{a+1} \in E$ . Since  $u_i, w_j \in E$ , by Theorem 2,  $u_i \notin A^+ \cap A^-$  and hence  $i \neq a$ . Thus Claim 5 holds.

Claim 6.  $u_a, v_j \notin E$ .

Suppose otherwise. Then when  $i < a < j$ , we have  $u_a, v_i, u_j, w_j \in E$ , contradicting Proposition 2. When  $a < i < j$  then the cycle  $u_j, v_{a+1}, \bar{C}, v_i, u_a, \bar{C}, v_{j+1}, v_0, v_j, \bar{C}, u_i, w_j, \bar{C}, u_j$  is longer than cycle  $C$ , which is a contradiction. Thus Claim 6 holds.

Claim 7. If  $i < a < j$  then  $u_a, v_{j+1} \notin E$ . If  $i > a$  or  $a > j$  then  $u_a, v_j \notin E$ .

Suppose otherwise. Then when  $i < a < j$ , we have  $u_a, v_{j+1}, u_i, w_j \in E$ , a contradiction with Proposition 2. When  $i > a$  or  $a > j$ ,  $u_a, v_j \in E$ , then the cycle  $u_j, v_{a+1}, \bar{C}, v_i, v_0, v_{j+1}, \bar{C}, u_a, v_j, \bar{C}, u_i, w_j, \bar{C}, u_j$  is longer than cycle  $C$ , a contradiction. Thus Claim 7 holds.

Note that  $\{v_0, u_1, u_i, u_a\}$  is an independent set of vertices. By claims 1 and 7, we have  $d(u_a) < d(u_i) = d(u_r) = d(v_0) \leq (\sigma_4(G) + 3)/4$ , so that  $\sigma_4(G) \leq d(v_0) + d(u_1) + d(u_i) + d(u_a) \leq d(u_a) + 3(\sigma_4(G) + 3)/4$ . Hence  $d(u_a) \geq (\sigma_4(G) + 3)/4 - 3 \geq d(v_0) - 3$ , so that  $|N(v_0) - N(u_a)| \leq 3$ . By claims 6 and 7, we have  $2 \leq |N(v_0) - N(u_a)| \leq 3$ . In the following arguments let  $C(s)$  denote the cycle  $u_j, v_{a+1}, \bar{C}, v_s, u_a, \bar{C}, v_{j+1}, v_0, v_i, \bar{C}, u_i, v_j, \bar{C}, u_i, w_j, \bar{C}, u_j$  with length longer than cycle  $C$ , where  $s = 1$  or  $r$ . We distinguish different cases below.

Case 1.  $1 < a < i$  or  $a > j$ .

In this case,  $N(v_0) - N(u_a) = \{v_i, v_j\} \cup \{x\}$ , where  $x \in \{\phi, v_1, v_r\}$ . If  $j < a \leq k$ , then when  $x \neq v_r$ ,  $G$  contains cycle  $C(r)$ ; when  $x \neq v_1$ ,  $G$  contains cycle  $C(1)$ . Similarly, if  $i > a > 1$ ,  $G$  also contains cycle either  $C(r)$  or  $C(1)$ .

Case 2.  $i < a < j$ .

In this case  $N(v_0) - N(u_a) = \{v_i, v_{j+1}\} \cup \{x\}$ , where  $x \in \{\phi, v_1, v_r\}$ . But then when  $x \neq v_r$ ,  $G$  contains cycle  $u_a, v_r, \bar{C}, u_i, v_i, \bar{C}, u_r, v_i, \bar{C}, v_{j+1}, v_0, v_{a+1}, \bar{C}, w_j, u_i, \bar{C}, u_a$  with length longer than cycle  $C$ , and when  $x \neq v_1$ ,  $G$  contains cycle  $u_a, v_1, \bar{C}, v_{j+1}, v_0, v_r, \bar{C}, u_i, v_i, \bar{C}, u_r, v_a, \bar{C}, u_i, w_j, \bar{C}, u_a$  longer than cycle  $C$  too.

This final contradiction shows that the hypothesis  $\alpha(G) \leq |V - V(C)| + |A^+|$  is not true. Thus we have  $\alpha(G) \geq |V - V(C)| + |A^+| + 1 \geq |V - V(C)| + \sigma_4(G)/4 + 1$ , i.e. Theorem 4 holds.

### Proof of Theorem 7

Suppose there exists a non-hamiltonian 3-connected tough graph  $H$  of order  $n$  such that  $\sigma_4(H) \geq (3n - 1)/2 + \kappa(H)$ . Let  $G$  be such a graph with a maximum number of edges. Note that

$\sigma_4(G) \geq n + n/2 - 1/2 + \kappa(G) \geq n + n/2 - 1/2 + 3 = n + (n+5)/2 \geq n + c(G)/2$ . By Corollary 5,  $G$  is almost hamiltonian and  $c(G) \geq \min\{n, n + \sigma_4(G)/4 + 1 - \alpha(G)\}$ .

If  $\alpha(G) \leq \sigma_4(G)/4 + 1$ , then  $\sigma_4(G)/4 + 1 - \alpha(G) \geq 0$ , so that  $n + \sigma_4(G)/4 + 1 - \alpha(G) \geq n$ . Hence,  $c(G) \geq n$ , so that  $G$  is hamiltonian, which is a contradiction. We assume, therefore, that  $\alpha(G) > \sigma_4(G)/4 + 1$ . But  $\sigma_4(G)/4 + 1 \geq ((3n-1)/2 + \kappa(G))/4 + 1 = (3n + 2\kappa(G) + 7)/8$ . By Lemma 11,  $\alpha(G) \geq \kappa(G) + 1$ .

**Case 1.**  $\alpha(G) = \kappa(G) + 1$ . Since  $\kappa(G) + 1 > (3n + 2\kappa(G) + 7)/8$ , we have  $\kappa(G) > n/2 - 1/6$ . But  $n/2 - 1/6 > (n-1)/2$  and by Lemma 12,  $\delta(G) = \sigma_1(G) \geq \kappa(G) > (n-1)/2$ . Hence  $\kappa(G) \geq n/2$ . This implies that  $\delta(G) \geq n/2$ . By Lemma 9,  $G$  is hamiltonian, which is a contradiction.

**Case 2.**  $\alpha(G) = \kappa(G) + 2$ . Since  $\kappa(G) + 2 > (3n + 2\kappa(G) + 7)/8$ , we have  $8\kappa(G) + 16 > 3n + 2\kappa(G) + 7$ , i.e.  $6\kappa(G) > 3n - 9$ , i.e.  $\kappa(G) > (n-3)/2$ . Since  $G$  is non-hamiltonian, Lemma 9 implies that  $n/2 > \delta(G) = \sigma_1(G) \geq \kappa(G) > (n-3)/2$ . But then  $n + \sigma_4(G)/4 + 1 - \alpha(G) \geq n + (3n + 2\kappa(G) + 7)/8 - \kappa(G) - 2 = n + (3n - 6\kappa(G) + 7)/8 - 2$ . We have two cases to consider.

**Case 2.1.**  $n \equiv 1 \pmod{2}$ . Then  $n/2 > \delta(G) = \sigma_1(G) \geq \kappa(G) > (n-3)/2$ , which implies that  $\delta(G) = \kappa(G) = (n-1)/2$ . But then  $n + (3n - 6\kappa(G) + 7)/8 - 2 = n - 3/4$ , so that  $c(G) \geq \min\{n, n + \sigma_4(G)/4 + 1 - \alpha(G)\} \geq n - 3/4$ . It follows that  $c(G) \geq n$ , implying that  $G$  is hamiltonian, which is a contradiction.

**Case 2.2.**  $n \equiv 0 \pmod{2}$ . Then  $n/2 > \delta(G) = \sigma_1(G) \geq \kappa(G) > (n-3)/2$ , i.e.  $n/2 - 1 \geq \delta(G) = \sigma_1(G) \geq \kappa(G) \geq n/2 - 1$ , i.e.  $\delta(G) = \kappa(G) = n/2 - 1$ . But then  $n + (3n - 6\kappa(G) + 7)/8 - 2 = n - 3/8$ , so that  $c(G) \geq \min\{n, n + \sigma_4(G)/4 + 1 - \alpha(G)\} \geq n - 3/8$ . It follows that  $c(G) \geq n$ , implying that  $G$  is hamiltonian, which is a contradiction.

**Case 3.**  $\alpha(G) = \kappa(G) + 3$ . Since  $\kappa(G) + 3 > (3n + 2\kappa(G) + 7)/8$ , we have  $\kappa(G) > n/2 - 17/6$ . Hence  $\alpha(G) > (3n + 7)/8 + (n/2 - 17/6)/4 = n/2 + 1/6 > n/2$ . Let  $A$  be any independent set of  $G$  of size at least  $n/2 + 1$  and let  $A' = V(G) - A$ . Then  $\omega(G - A) = |A| > n/2 > |A'|$ , which contradicts the fact that  $G$  is tough.

**Case 4.**  $\alpha(G) \geq \kappa(G) + 4$ . Let  $T$  be an independent set of vertices such that  $|T| = \alpha(G)$ ,  $S$  be a vertex cut such that  $|S| = \kappa(G)$  and let  $G_1, G_2, \dots, G_t$  be the components of  $G - S$ . Choose  $w_1, w_2 \in T$  such that  $d(x) \geq \max\{d(w_1), d(w_2)\}$  for all  $x \in T - \{w_1, w_2\}$ . Consider any pair  $v_1, v_2$  of distinct vertices in  $T - \{w_1, w_2\}$ . Since  $\{v_1, v_2, w_1, w_2\}$  is an independent set of vertices in  $G$ , we have  $2(d(v_1) + d(v_2)) \geq d(v_1) + d(v_2) + d(w_1) + d(w_2) \geq \sigma_4(G) \geq (3n-1)/2 + \kappa(G)$ . Hence  $d(v_1) + d(v_2) \geq (3n-1)/4 + \kappa(G)/2$ . Since, by the inclusion-exclusion principle,  $|N(v_1) \cap N(v_2)| = d(v_1) + d(v_2) - |N(v_1) \cup N(v_2)|$  and  $|N(v_1) \cup N(v_2)| \leq n - \alpha(G)$ , it follows that  $|N(v_1) \cap N(v_2)| \geq (3n-1)/4 + \kappa(G)/2 - n + \alpha(G) = \alpha(G) - n/4 - 1/4 + \kappa(G)/2 > (3n + 2\kappa(G) + 7)/8 + \kappa(G)/2 - (n+1)/4 = (n + 6\kappa(G) + 5)/8 > \kappa(G)$ . (To see that  $(n + 6\kappa(G) + 5)/8 > \kappa(G)$ , suppose, to the contrary that  $(n + 6\kappa(G) + 5)/8 \leq \kappa(G)$ . Then  $n + 6\kappa(G) + 5 \leq 8\kappa(G)$ , so that  $(n+5)/2 \leq \kappa(G) \leq \alpha(G) - 4$ . Hence  $\alpha(G) \geq (n+5)/2 + 4$  which, as before, contradicts the fact that  $G$  is tough.) It follows that any pair of distinct vertices in  $T - \{w_1, w_2\}$  cannot be in different components of  $G - S$ . Assume, without loss of generality, that  $T - \{w_1, w_2\} \subseteq S \cup V(G_1)$ . Set  $B = V - (S \cup V(G_1))$ . We now prove that  $G[B]$  is complete. Suppose otherwise. Let  $x_1, x_2 \in B$  such that  $x_1 \neq x_2$  and  $x_1 x_2 \notin E$ . Recall that  $\alpha(G) \geq \kappa(G) + 4$ , so that  $|T \cap V(G_1)| \geq 2$ . Assume  $\{y_1, y_2\} \subseteq T \cap V(G_1)$  with  $y_1 \neq y_2$ .

Then  $\{y_1, y_2, x_1, x_2\}$  is an independent set of vertices and we have  $(3n-1)/2 + \kappa(G) \leq \sigma_4(G) \leq d(y_1) + d(y_2) + d(x_1) + d(x_2) \leq 2(|V(G_1)| + \kappa(G) - \alpha(G) + 2) + 2(|B| + \kappa(G) - 2) = 2(n - \alpha(G) + \kappa(G))$ , since  $n = |V(G_1)| + |B| + \kappa(G)$ . But then  $\alpha(G) \leq (n + 2\kappa(G) + 1)/4 < (3n + 2\kappa(G) + 7)/8 < \alpha(G)$ , which is a contradiction. (If  $(n + 2\kappa(G) + 1)/4 \geq (3n + 2\kappa(G) + 7)/8$ , then one can show that  $\alpha(G) \geq (n + 5)/4 + 4$ , which, as before, would contradict the toughness of the graph.) This contradiction shows that  $G[B]$  is complete. Since  $T$  is an independent set of vertices, it follows that  $|T \cap B| \leq 1$  and  $|T \cap V(G_1)| \geq 3$ . Without loss of generality assume that  $\{y_1, y_2, y_3\} \subseteq T \cap V(G_1)$ . Let  $i, j \in \{1, 2, 3\}$  such that  $i \neq j$ . If  $d(y_i) + d(y_j) \geq n$ , then by lemma 10, the graph  $G + y_i y_j$  is hamiltonian if and only if  $G$  is hamiltonian. By definition,  $G + y_i y_j$  is also a 3-connected tough graph of order  $n$  with  $\sigma_4(G + y_i y_j) \geq \sigma_4(G)$ . Recalling that  $\omega(G + y_i y_j - S) = \omega(G - S) > 1$ , we have  $\kappa(G + y_i y_j) = \kappa(G) = |S|$  so that  $\sigma_4(G + y_i y_j) \geq (3n - 1)/2 + \kappa(G + y_i y_j)$ . By our choice of  $G$ ,  $G + y_i y_j$  is hamiltonian. But then  $G$  is also hamiltonian, which is a contradiction. We conclude that  $d(y_i) + d(y_j) \leq n - 1$  for all  $i, j \in \{1, 2, 3\}$  with  $i \neq j$ . Assume, without loss of generality, that  $d(y_3) = \min\{d(y_1), d(y_2), d(y_3)\}$ . Then  $d(y_3) \leq (n - 1)/2$ . Let  $v \in B$ . Then  $\{y_1, y_2, y_3, v\}$  is an independent set of vertices, so that  $d(y_1) + d(y_2) \geq \sigma_4(G) - d(y_3) - d(v) \geq (3n - 1)/2 + \kappa(G) - d(y_3) - (|B| + \kappa(G) - 1) = n - |B| + 1 + (n - 1)/2 - d(y_3) \geq n - |B| + 1$ . By Lemma 8,  $G$  is hamiltonian if and only if  $G + y_1 y_2$  is hamiltonian. But now by the choice of  $G$ ,  $G + y_1 y_2$  is hamiltonian. But then  $G$  is also hamiltonian. This final contradiction completes the proof of Theorem 7.

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