A formula for the base size of the symmetric group in its action on subsets

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Abstract

Given two positive integers n and k, we obtain a formula for the base size of the symmetric group of degree n in its action on k-subsets. Then we use this formula to compute explicitly the base size for each n and for each $k \leq 14$.

1 Introduction

Let G be a permutation group on Ω . For $\Lambda = \{\omega_1, \ldots, \omega_k\} \subseteq \Omega$, we write $G_{(\Lambda)}$ for the *pointwise stabilizer* of Λ in G. If $G_{(\Lambda)} = \{1\}$, then we say that Λ is a *base*. The size of a smallest possible base is known as the *base size* of G and it is customary to denote it by b(G) or (more precisely) by $b_{\Omega}(G)$. Bases of small cardinality are relevant in computational group theory, because they are used in many algorithms for dealing with permutation groups.

Much of the research on base sizes is focused on primitive groups. There are various reasons for this. First, the classic result of Jordan [12] bounds from above the cardinality of a primitive group in terms of its base size. Second, in the 90s Cameron and Kantor [7] conjectured that there exists an absolute constant b with $b(G) \leq b$, for every almost simple primitive group in a non-standard action; we refer to [7] for undefined terminology. This conjecture was settled in the positive in [3, 4, 5, 6] with b = 7. In turn, this result has stimulated two lines of research:

determining the base size of almost simple primitive groups in their standard actions; and determining, for each $b \leq 7$, the almost simple primitive groups in non-standard actions having base size exactly b.

The symmetric group Sym(n) has two types of standard actions: the action on uniform partitions and the action on subsets of $\{1, \ldots, n\}$. The base size of Sym(n) for the action on uniform partitions has been determined in [13]. In this paper, we are interested in the base size of the symmetric group Sym(n) in its natural action on the collection of k-subsets of $\{1, \ldots, n\}$. Hence this paper is a contribution to the determination of the base size of almost simple primitive groups in their standard actions.

For simplicity, we denote this number by b(n, k). Since the action of Sym(n) on k-subsets is permutation equivalent to the action on (n - k)-subsets, we have

$$b(n,k) = b(n,n-k)$$

and hence we assume $2k \leq n$.

There is a natural graph theoretic interpretation of b(n, k), which makes b(n, k)of interest to group and to graph theorists. i A determining set of a graph Γ is a subset S of its vertices for which the only automorphism of Γ that fixes every vertex in S is the identity. The minimum cardinality of a determining set for Γ is said to be the determining number of Γ . Now, the Kneser graph K(n, k) is the graph having vertex set the collection of all k-subsets of $\{1, \ldots, n\}$ where two distinct k-subsets are declared to be adjacent if they are disjoint. Since the automorphism group of K(n, k)is the symmetric group Sym(n) in its action on k-subsets (see [10, Theorem 7.8.2]), we deduce that the determining number of K(n, k) equals b(n, k). Therefore, our results can be interpreted in terms of the determining number of Kneser graphs.

There are many partial results on b(n, k); see [1, 2, 8, 11]. For instance, Halasi [11, Theorem 3.2] has proved that

$$b(n,k) = \left\lceil \frac{2(n-1)}{k+1} \right\rceil,\tag{1}$$

when $n \ge \lfloor k(k+1)/2 \rfloor + 1$. Strictly speaking, this formula for b(n, k) is proved in [11] when $n \ge k^2$ and has been improved in [2] to $n \ge \lfloor k(k+1)/2 \rfloor + 1$. This result has been improved further in [1, 2, 11], but currently there is no explicit formula for b(n, k), valid for every value of n and k. Our current methods do not seem able to determine b(n, k) when k is asymptotically larger than \sqrt{n} .

Using the principle of inclusion-exclusion, we prove an implicit formula for b(n, k) in terms of integer partitions of n. It is not clear at the moment if this formula can be used to extract substantial new information on b(n, k). However, besides theoretic interest, we have implemented this formula in a computer and we are reporting in Table 1 the values of b(n, k), for every $k \leq 14$. We hope that these values can be of some help to shed some light on b(n, k), when k is large.

Observe that in Table 1, for a given $k \leq 14$, we are reporting only the values of b(n,k) when $n \leq \lfloor k(k+1)/2 \rfloor$, because when $n \geq \lfloor k(k+1)/2 \rfloor + 1$ we may simply

use (1) to compute b(n, k). In particular, when k = 1, we have $\lfloor k(k+1)/2 \rfloor = 1 \leq 2k$ and hence $b(n, k) = \lceil 2(n-1)/2 \rceil = n-1$, for every $n \geq 2$. When k = 2, we have $\lfloor k(k+1)/2 \rfloor = 3 \leq 2k$ and hence $b(n, k) = \lceil 2(n-1)/3 \rceil$, for every $n \geq 4$. For this reason, in Table 1, we are only including the values of $k \geq 3$.

Theorem 1.1. Let n and k be positive integers with $2k \leq n$. Then b(n,k) is the smallest positive integer ℓ such that

$$\sum_{\substack{\pi \text{ partition of } n\\\pi=(1^{c_1},2^{c_2},\dots,n^{c_n})}} (-1)^{n-\sum_{i=1}^n c_i} \frac{n!}{\prod_{i=1}^n i^{c_i} c_i!} \left(\sum_{\substack{\eta \text{ partition of } k\\\eta=(1^{b_1},2^{b_2},\dots,k^{b_k})}} \prod_{j=1}^k \binom{c_j}{b_j} \right)^\ell \neq 0.$$
(2)

A result similar to Theorem 1.1 was very recently determined independently by Coen del Valle and Colva Roney-Dougal [9], their proof is remarkably different from ours.

In Section 3, we give a surprising consequence of (2) in the character theory of Sym(n). This application of Theorem 1.1 in this context was brought to our attention by one of the referees during the evaluation process. We express our sincere gratitude for their valuable contribution and for generously sharing their insights.

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Table 1: Some values for $h(n, k)$												

Table 1: Some values for b(n,k)

2 Proof of Theorem 1.1

Let n, k and ℓ be positive integers with $1 \le k \le n/2$. We let

$$F = \begin{pmatrix} \{1, \dots, n\} \\ k \end{pmatrix}$$

be the collection of all k-subsets of $\{1, \ldots, n\}$ and we let F^{ℓ} be the collection of all ℓ -tuples of k-subsets of $\{1, \ldots, n\}$. In particular,

$$|F^{\ell}| = \binom{n}{k}^{\ell}.$$

For each $g \in \text{Sym}(n)$, we let

$$F_g = \{ \alpha \in F \mid \alpha^g = \alpha \}$$

be the collection of all k-subsets of $\{1, \ldots, n\}$ fixed setwise by g. Therefore, the cartesian product F_g^{ℓ} is the collection of all ℓ -tuples of k-subsets of $\{1, \ldots, n\}$ fixed setwise by g. For instance, for each $1 \leq i < j \leq n$, $F_{(ij)}$ is the collection of all k-subsets of $\{1, \ldots, n\}$ fixed by the transposition swapping i and j. Moreover we let

$$H_{\ell} = \{ (\alpha_1, \dots, \alpha_{\ell}) \in F^{\ell} \mid \text{if } \alpha_i^g = \alpha_i \,\forall i, \text{ then } g = 1 \}$$

denote the collection of all ℓ -tuples of k-subsets of $\{1, \ldots, n\}$ which are only fixed by the identity, and $h_{\ell} = |H_{\ell}|$.

Since each element of F^{ℓ} is either fixed by some non-identity element of Sym(n) or is only fixed by the identity, exclusively, we have

$$F^{\ell} \setminus H_{\ell} = \bigcup_{g \in \operatorname{Sym}(n) \setminus \{1\}} F_{g}^{\ell}.$$
(3)

Observe that

$$h_{\ell} = 0 \text{ if and only if } \ell < b(n, k).$$
(4)

In fact, by definition of b(n, k), there exists an ℓ -tuple of k-subsets which is only fixed by the identity if and only if $\ell \ge b(n, k)$.

Lemma 2.1. Given a non-identity permutation $g \in \text{Sym}(n)$, there exists a transposition τ such that, for every $S \subseteq \{1, \ldots, n\}$ fixed by g, S is also fixed by τ .

Proof. Let (a_1, a_2, \ldots, a_i) be one of the cycles of g in its decomposition in disjoint cycles. As g is not the identity, we may suppose that $i \ge 2$. Let τ be the transposition (a_1, a_2) .

Let S be a subset of $\{1, \ldots, n\}$ with $S^g = S$. Then either $S \cap \{a_1, \ldots, a_i\} = \emptyset$ or $S \cap \{a_1, \ldots, a_i\} = \{a_1, \ldots, a_i\}$. In either case, a_1 and a_2 are either both in S or both not in S. Therefore τ fixes S.

From (3) and Lemma 2.1, we obtain

$$F^{\ell} \setminus H_{\ell} = \bigcup_{1 \le i < j \le n} F^{\ell}_{(i\,j)}.$$
(5)

Now, we let $\binom{\{1,\ldots,n\}}{2}$ denote the set of all 2-subsets of $\{1,\ldots,n\}$. From (5) and the definition of h_{ℓ} , using inclusion-exclusion, we obtain

$$\binom{n}{k}^{\ell} - h_{\ell} = |F^{\ell} \setminus H_{\ell}| = \sum_{\emptyset \neq \Gamma \subseteq \binom{\{1,\dots,n\}}{2}} (-1)^{|\Gamma|-1} \left| \bigcap_{\{i,j\} \in \Gamma} F^{\ell}_{(i\,j)} \right|.$$
(6)

Now, given a subset $\emptyset \neq \Gamma \subseteq \binom{\{1,\dots,n\}}{2}$, we write

$$F_{\Gamma}^{\ell} = \bigcap_{\{i,j\} \in \Gamma} F_{(i\,j)}^{\ell}$$

and, with a slight abuse of terminology, we let $F_{\emptyset}^{\ell} := F^{\ell}$. With this notation, from (6), we get

$$h_{\ell} = \sum_{\Gamma \subseteq \binom{\{1,\dots,n\}}{2}} (-1)^{|\Gamma|} |F_{\Gamma}^{\ell}|.$$
(7)

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In what follows, we identify $\Gamma \subseteq \binom{\{1,\dots,n\}}{2}$ with a graph on $\{1,\dots,n\}$ having edge set Γ . In particular, we borrow some notation from graph theory.

Given $\Gamma \subseteq \binom{\{1,\ldots,n\}}{2}$, we let $\pi(\Gamma)$ be the partition of n where the parts are the cardinalities of the connected components of Γ . In other words, let X_1, X_2, \ldots, X_t be the connected components of Γ ordered with $|X_1| \geq |X_2| \geq \cdots \geq |X_t|$. Then

$$\pi(\Gamma) := (|X_1|, |X_2|, \dots, |X_t|).$$

We now make two important remarks.

Lemma 2.2. Let Γ be a graph having vertex set $\{1, \ldots, n\}$ and having connected components X_1, \ldots, X_t . Then

$$\langle (i j) | \{i, j\} \in \Gamma \rangle = \operatorname{Sym}(X_1) \times \operatorname{Sym}(X_2) \times \cdots \times \operatorname{Sym}(X_t).$$

In other words, the group generated by the transpositions corresponding to elements in Γ generate a group which is a direct product of symmetric groups.

Proof. For each $i \in \{1, \ldots, t\}$, let Γ_i be the restriction of Γ to X_i . Suppose first t = 1, that is, Γ is connected. In this case, we prove the lemma by induction on n. When n = 1, the lemma holds true because the group generated by the empty set is the identity symmetric group Sym(1). Suppose $n \ge 2$. Let $\{a, b\}$ be a leaf of a spanning tree for Γ . Without loss of generality we may suppose $n \in \{a, b\}$ and that n is a leaf of the spanning tree. The restriction $\tilde{\Gamma}$ of Γ to $\{1, \ldots, n-1\}$ is connected and hence, by our inductive hypothesis, we have

$$\langle (i j) | \{i, j\} \in \Gamma \rangle = \operatorname{Sym}(n-1).$$

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Now,

$$\operatorname{Sym}(n) \ge \langle (ij) \mid \{i, j\} \in \Gamma \rangle \ge \langle \operatorname{Sym}(n-1), (a, b) \rangle = \operatorname{Sym}(n).$$

Assume now $t \ge 2$. As $X_i \cap X_j = \emptyset$ for $i \ne j$ and as every edge in Γ is in Γ_i for some i, we deduce

$$\langle (ij) | \{i,j\} \in \Gamma \rangle = \langle (ij) | \{i,j\} \in \Gamma_1 \rangle \times \cdots \times \langle (ij) | \{i,j\} \in \Gamma_t \rangle.$$

Now, the lemma follows by applying the case of connected graphs.

From Lemma 2.2, it immediately follows that, for every $\Gamma_1, \Gamma_2 \subseteq \binom{\{1,\dots,n\}}{2}$ with $\pi(\Gamma_1) = \pi(\Gamma_2)$, we have $|F_{\Gamma_1}^{\ell}| = |F_{\Gamma_2}^{\ell}|$. Since the cardinality of these sets depends only on an integer partition, for each partition π of n, we let f_{π}^{ℓ} be the cardinality of $|F_{\Gamma}^{\ell}|$, where Γ is an arbitrary graph with $\pi = \pi(\Gamma)$.

Fix a partition π of n. We write π in "exponential" notation, that is, $\pi = (1^{c_1}, 2^{c_2}, \ldots, n^{c_n})$ where c_i denotes the number of parts in π equal to i.

Lemma 2.3. Given an integer partition $\pi = (1^{c_1}, \dots, n^{c_n})$ of n, we have

$$\sum_{\substack{\Gamma \subseteq \binom{\{1,\dots,n\}}{2}}{\pi(\Gamma) = \pi}} (-1)^{|\Gamma|} = (-1)^{n - \sum_{i=1}^{n} c_i} \frac{n!}{\prod_{i=1}^{n} i^{c_i} c_i!}.$$
(8)

Proof. First, we show (8) in the special case $\pi = (1^0, 2^0, \ldots, n^1)$, that is, the trivial partition consisting of one part of size n. In particular we show

$$\sum_{\substack{\Gamma \subseteq \binom{\{1,\dots,n\}}{2} \\ \pi(\Gamma) = (n)}} (-1)^{|\Gamma|} = (-1)^{n-1}(n-1)!.$$

This equality has a combinatorial interpretation: among all connected graphs on n labelled vertices, the difference between the number of those with an even number of edges and those with an odd number of edges is $(-1)^{n-1}(n-1)!$.

We show this by induction on n. Assume n = 1: the only graph on 1 vertex has an even number of edges, and $(-1)^0 0! = 1$. Assume now $n \ge 2$ and assume the result to be true for integer partitions of n - 1.

Let p_n and d_n be the number of connected graphs on n labelled vertices with an even number of edges and with an odd number of edges, respectively.

Let P_n and D_n be the number of graphs on n labelled vertices with an even number of edges and with an odd number of edges, respectively. In fact

$$P_n = \binom{\binom{n}{2}}{0} + \binom{\binom{n}{2}}{2} + \cdots,$$
$$D_n = \binom{\binom{n}{2}}{1} + \binom{\binom{n}{2}}{3} + \cdots.$$

We can count the number of disconnected graphs with an even (odd) number of edges on n labelled vertices in two ways: on the one hand it is $P_n - p_n$ (respectively $D_n - d_n$), and on the other hand we can count the number of rooted disconnected graphs with an even (odd) number of edges on n labelled vertices (rooted means with a highlighted vertex, the root) and then divide this number by n, as a graph can be rooted in n different ways.

To count the number of rooted disconnected graphs, we first choose the connected component containing the root: for every possible cardinality i = 1, ..., n-1 we can choose the connected component in $\binom{n}{i}$ ways, inside which we have i ways to choose the root.

If we want the graph to have an even number of edges then either both the connected component of the root and the rest have an even number of edges, or they both have an odd number of edges. Similarly, if we want the graph to have an odd number of edges then either the connected component of the root has an even number of edges and the rest has an odd number of edges, or vice versa. Therefore, we have

$$P_n - p_n = \frac{1}{n} \sum_{i=1}^{n-1} i \binom{n}{i} (p_i P_{n-i} + d_i D_{n-i}), \tag{9}$$

$$D_n - d_n = \frac{1}{n} \sum_{i=1}^{n-1} i \binom{n}{i} (p_i D_{n-i} + d_i P_{n-i}).$$
(10)

Taking (10)–(9) we get

$$p_n - d_n + D_n - P_n = \frac{1}{n} \sum_{i=1}^{n-1} i \binom{n}{i} (D_{n-i} - P_{n-i})(p_i - d_i).$$
(11)

From the binomial theorem, we have

$$\sum_{k=0}^{m} (-1)^k \binom{m}{k} = 0 \quad \forall m > 0.$$

This implies $D_n - P_n = 0$ whenever $\binom{n}{2} > 0$, that is, for every $n \ge 2$. For n = 1, $D_1 - P_1 = -1$, because the only graph on one vertex has an even number of edges. So (11) becomes

$$p_n - d_n = \frac{1}{n}(n-1)n(-1)(p_{n-1} - d_{n-1}) = (-1)^{n-1}(n-1)!,$$

where the last equality follows by our inductive hypothesis.

Now that we have concluded the special case, we need to deduce the general case. Given $\pi = (1^{c_1}, \ldots, n^{c_n})$, the number of ways that the set $\{1, \ldots, n\}$ can be partitioned into π is

$$\frac{n!}{\prod_{i=1}^n c_i! (i!)^{c_i}}$$

Now, given a specific partition \mathcal{P} of $\{1, \ldots, n\}$ realizing π , the sum among all graphs Γ with connected components \mathcal{P} is

$$\sum_{\substack{\Gamma \subseteq \binom{\{1,\dots,n\}}{2}}{\mathcal{P}}} (-1)^{|\Gamma|} = \prod_{\mathcal{S} \in \mathcal{P}} \sum_{\substack{\gamma \subseteq \binom{\mathcal{S}}{2}\\\pi(\gamma) = (|\mathcal{S}|)}} (-1)^{|\gamma|} = \prod_{\mathcal{S} \in \mathcal{P}} (-1)^{|\mathcal{S}| - 1} (|\mathcal{S}| - 1)!$$

with conn. comp.s
$$= \prod_{i=1}^{n} (-1)^{(i-1)c_i} (i-1)!^{c_i} = (-1)^{n - \sum_{i=1}^{n} c_i} \prod_{i=1}^{n} (i-1)!^{c_i}$$

In conclusion

$$\sum_{\substack{\Gamma \subseteq \binom{\{1,\dots,n\}}{2}}{\pi(\Gamma) = \pi}} (-1)^{|\Gamma|} = \frac{n!}{\prod_{i=1}^{n} c_i! i! c_i} (-1)^{n - \sum_{i=1}^{n} c_i} \prod_{i=1}^{n} (i-1)!^{c_i}$$
$$= (-1)^{n - \sum_{i=1}^{n} c_i} \frac{n!}{\prod_{i=1}^{n} i^{c_i} c_i!}.$$

By a combined use of Lemma 2.3 and (7), we get

$$h_{\ell} = \sum_{\substack{\pi \text{ partition of } n\\ \pi = (1^{c_1}, 2^{c_2}, \dots, n^{c_n})}} (-1)^{n - \sum_{i=1}^n c_i} \frac{n!}{\prod_{i=1}^n i^{c_i} c_i!} f_{\pi}^{\ell}.$$
 (12)

Lemma 2.4. Given an integer partition $\pi = (1^{c_1}, \ldots, n^{c_n})$, we have

$$f_{\pi}^{\ell} = \left(\sum_{\substack{\eta \text{ partition of } k \\ \eta = (1^{b_1}, 2^{b_2}, \dots, k^{b_k})}} \prod_{j=1}^k \binom{c_j}{b_j}\right)^{\ell}.$$
(13)

Proof. Given $\Gamma \subseteq \binom{\{1,\ldots,n\}}{2}$ with $\pi(\Gamma) = \pi$ and connected components X_1, \ldots, X_t , we want to calculate how many elements of F^{ℓ} are fixed by $\langle (i j) | \{i, j\} \in \Gamma \rangle$. This is the number of k-subsets of $\{1,\ldots,n\}$ that are fixed by $\langle (i j) | \{i, j\} \in \Gamma \rangle$, raised to the ℓ , since in order for an element of F^{ℓ} to be fixed, each of its coordinates has to be fixed.

To calculate this number, we first notice that in order for a k-subset S to be fixed, either $X_i \subseteq S$ or $X_i \cap S = \emptyset$ must hold for each *i*. Thus the number we are looking for is the number of ways we can create a set of order k combining different X_i s. \Box

From (12) and (13), we find the beautiful equality

$$h_{\ell} = \sum_{\substack{\pi \text{ partition of } n\\\pi = (1^{c_1}, 2^{c_2}, \dots, n^{c_n})}} (-1)^{n - \sum_{i=1}^n c_i} \frac{n!}{\prod_{i=1}^n i^{c_i} c_i!} \left(\sum_{\substack{\eta \text{ partition of } k\\\eta = (1^{b_1}, 2^{b_2}, \dots, k^{b_k})}} \prod_{i=1}^k \binom{c_j}{b_j} \right)^{\ell}.$$

Now Theorem 1.1 follows from (4).

3 A character theoretic consequence

The formula in Theorem 1.1 (2) has an immediate consequence regarding the character theory of the symmetric group Sym(n).

For $g \in \text{Sym}(n)$, let π_g be the cycle structure of g, that is, the partition of n defined by the disjoint cycles of g. Furthermore, for a partition π of n, let C_{π} be the conjugacy class of Sym(n) consisting of the permutations having cycle type π , that is, $C_{\pi} = \{g \in \text{Sym}(n) \mid \pi_g = \pi\}.$

Arguing inductively on n, we see that for $g \in \text{Sym}(n)$ with $\pi_g = (1^{c_1}, 2^{c_2}, \dots, n^{c_n})$

$$(-1)^{n-\sum_{i=1}^{n}c_i}$$

equals $\operatorname{sgn}(g)$, where $\operatorname{sgn} : \operatorname{Sym}(n) \to \mathbb{C}$ is the sign character of $\operatorname{Sym}(n)$. Furthermore, for $\pi = (1^{c_1}, 2^{c_2}, \ldots, n^{c_n})$, we have

$$|C_{\pi}| = \frac{n!}{\prod_{i=1}^{n} i^{c_i} c_i!}$$

Therefore, using this notation, (12) becomes

$$h_{\ell} = \sum_{\substack{\pi \text{ partition of } n\\ \pi = (1^{c_1}, 2^{c_2}, \dots, n^{c_n})}} \operatorname{sgn}(g) |C_{\pi}| f_{\pi}^{\ell} = \sum_{g \in \operatorname{Sym}(n)} \operatorname{sgn}(g) f_{\pi_g}^{\ell}.$$

Let χ be the permutation character of Sym(n) in its action on the k-element subsets of $\{1, \ldots, n\}$, so

$$\chi = 1_{\mathrm{Sym}(k) \times \mathrm{Sym}(n-k)}^{\mathrm{Sym}(n)}$$

Then $\chi(g) = f_{\pi_g}$, for every $g \in \text{Sym}(n)$. Therefore, we obtain

$$h_{\ell} = \sum_{g \in \operatorname{Sym}(n)} \operatorname{sgn}(g) \chi(g)^{\ell} = n! \cdot \langle \operatorname{sgn}, \chi^{\ell} \rangle.$$

Thus, one has the following surprising consequence.

Theorem 3.1. Let χ be the permutation character of Sym(n) in its action on the k-element subsets. Then the following are equivalent:

- 1. $\ell = b(n,k);$
- 2. ℓ is the smallest positive integer such that $\langle \varphi, \chi^{\ell} \rangle \geq \varphi(1)$ for every $\varphi \in \operatorname{Irr}(\operatorname{Sym}(n));$
- 3. ℓ is the smallest positive integer such that $\langle \operatorname{sgn}, \chi^{\ell} \rangle \neq 0$.

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