

# On graphs having a unique minimum independent dominating set

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## Abstract

In this paper, we consider graphs having a unique minimum independent dominating set. We first discuss the effects of deleting a vertex, or the closed neighborhood of a vertex, from such graphs. We then discuss five operations which, in certain circumstances, can be used to combine two graphs, each having a unique minimum independent dominating set, to produce a new graph also having a unique minimum independent dominating set. Using these operations, we characterize the set of trees having a unique minimum independent dominating set.

## 1 Introduction

In this paper, we consider graphs having a unique minimum independent dominating set. Unique minimum dominating sets, both independent and otherwise, have been much studied. For example, unique minimum vertex dominating sets were first considered in [7] where trees were the class of graphs primarily considered. Since then, unique minimum dominating sets have been studied in block graphs, cactus graphs, and Cartesian products (see [1, 3, 9, 10]). The maximum number of edges contained in a graph having a unique minimum dominating set of a specified cardinality was considered in [2] and [6].

Graphs containing a unique minimum independent dominating set have received less attention. In [5], the authors discussed a hereditary class of graphs containing all graphs  $G$  for which every induced subgraph of  $G$  has a unique minimum independent dominating set if and only if it has a unique minimum dominating set. Unique minimum independent dominating sets were also considered in trees  $T$  satisfying

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$\gamma(T) = i(T)$ . In [11], the maximum number of edges in a graph having a unique minimum independent dominating set of cardinality 2 was considered. We note that minimum independent dominating sets can also be viewed as maximal independent sets of minimum cardinality. Quite a bit of work has been done on graphs having a unique maximum independent set, and, in general, the total number of maximal independent sets in a given graph. We direct the reader towards [4, 12–15] for just a few examples of such work.

Subsequently, we begin in Section 3 by discussing the effects of deleting a vertex, or the closed neighborhood of a vertex, from a graph having a unique minimum independent dominating set. We then turn our attention to trees in Section 4, where we strengthen some of our earlier results. In Section 5, we consider a collection of operations which can be used to combine two graphs having a unique minimum independent dominating set to produce a new graph also having a unique minimum independent dominating set. Finally, in Section 6, we use these operations to characterize those trees having a unique minimum independent dominating set.

## 2 Notation and Definitions

In this paper, we consider only finite, simple graphs. Given a graph  $G$ , we let  $V(G)$  denote the vertex set of  $G$  and  $E(G)$  denote the edge set of  $G$ . If  $v \in V(G)$ , the *open neighborhood of  $v$* , denoted  $N(v)$ , is defined by  $N(v) = \{u : uv \in E(G)\}$  while the *closed neighborhood of  $v$* , denoted  $N[v]$ , is defined by  $N[v] = N(v) \cup \{v\}$ . When required, we may write  $N_G[v]$  to indicate the closed neighborhood of  $v$  in  $G$ . Given  $S \subseteq V(G)$ , the open and closed neighborhoods of  $S$ , denoted  $N(S)$  and  $N[S]$  respectively, are defined by  $N(S) = \bigcup_{v \in S} N(v)$  and  $N[S] = N(S) \cup \{S\}$ . We say that  $S$  *dominates* every vertex in its closed neighborhood. If  $S \subseteq V(G)$  with  $v \in S$ , a *private neighbor of  $v$  with respect to  $S$*  is any vertex  $u$  such that  $N[u] \cap S = \{v\}$ . We note that it is possible for  $v$  to be a *self-private neighbor*. An *external private neighbor of  $v$  with respect to  $S$*  is any vertex belonging to the set  $\{u \in V(G) - S : N[u] \cap S = \{v\}\}$ . We let  $eprn(v, S)$  denote the set of external private neighbors of  $v$  with respect to  $S$ . A subset of vertices  $D$  is a *dominating set* if  $N[D] = V(G)$ . The minimum cardinality of a dominating set in  $G$ , called the *domination number of  $G$* , is denoted  $\gamma(G)$ , and any dominating set whose cardinality equals  $\gamma(G)$  is a  $\gamma$ -set. A subset of vertices  $I$  is independent if no two vertices in  $I$  share an edge. The minimum cardinality of an independent dominating set in  $G$  is called the *independent domination number of  $G$* , and is denoted by  $i(G)$ . Any independent dominating set of cardinality  $i(G)$  is an  $i$ -set. As notational conventions, we let  $\mathcal{UI}$  represent the class of graphs having a unique minimum independent dominating set. If  $G \in \mathcal{UI}$ , we let  $I(G)$  denote the unique  $i$ -set of  $G$ . For other terminology and notation not explicitly mentioned, we follow [8].

### 3 Deleting vertices and closed neighborhoods

In [5], the authors prove the following.

**Lemma 1.** [5] *If any graph  $G$  has a unique  $i$ -set  $I(G)$ , then every vertex in  $I(G)$  fullfills either  $|epn(x, I(G))| = 0$  or  $|epn(x, I(G))| \geq 2$ .*

We are thus motivated to make the following definitions.

**Definition 1.** *Given a graph  $G \in \mathcal{UI}$  and its unique  $i$ -set  $I(G)$ , we define the following sets.*

$$\begin{aligned} \mathcal{A}(I(G)) &= \{v \in I(G) : |epn(v, I(G))| \geq 2\} \\ \mathcal{B}(I(G)) &= \{v \in I(G) : |epn(v, I(G))| = 0\} \end{aligned}$$

We see that if  $G \in \mathcal{UI}$ , then  $V(G)$  can be partitioned as  $V(G) = \mathcal{A}(I(G)) \cup \mathcal{B}(I(G)) \cup (V(G) - I(G))$ . Bearing this is mind, we now consider the implications of deleting a vertex, or the closed neighborhood of a vertex, chosen from each of these sets.

We begin with the following.

**Lemma 2.** *Let  $G \in \mathcal{UI}$ . For any  $v \in V(G) - I(G)$ ,  $i(G - v) = i(G)$ .*

*Proof.* Since  $v \notin I(G)$ , we see that  $I(G)$  dominates  $G - v$ . Hence,  $i(G - v) \leq i(G)$ . Suppose that  $i(G - v) < i(G)$ , and let  $D$  be an  $i$ -set for  $G - v$ . Consider then  $D$  in  $G$ . If  $D$  dominates  $G$ , then we arrive at a contradiction since this implies that  $I(G)$  is not a *minimum* independent dominating set. Thus,  $D$  fails to dominate  $v$ . In this case,  $D \cup \{v\}$  is an independent dominating set of cardinality at most  $|I(G)|$ . This contradicts the *uniqueness* of  $I(G)$ . Our result is shown.  $\square$

We briefly note that if  $G \in \mathcal{UI}$  and we delete a vertex  $v \in V(G) - I(G)$ , it is not guaranteed that  $G - v \in \mathcal{UI}$ . For example,  $P_3 \in \mathcal{UI}$ , but if we delete a leaf from  $P_3$ , the resulting graph,  $P_2$ , is not in  $\mathcal{UI}$ .

We note here that the conditions in Lemma 1, while necessary, are not sufficient to imply that a general graph  $G$  is a member of  $\mathcal{UI}$  (take  $C_6$  for example). They are, however, sufficient for trees  $T$  satisfying  $\gamma(T) = i(T)$  as illustrated in [5]. For an arbitrary graph  $G$ , the following conditions are necessary and sufficient for  $G \in \mathcal{UI}$ .

**Lemma 3.** *For an arbitrary graph  $G$ ,  $G \in \mathcal{UI}$  if and only if there exists an  $i$ -set  $D$  of  $G$  such that for all  $v \in V(G) - D$ ,  $i(G - N[v]) \geq i(G)$ .*

*Proof.* First, suppose that  $G \in \mathcal{UI}$ . In this case, let  $D = I(G)$ , and consider  $v \in V(G) - D$ . Observe that  $N[v] \neq V(G)$  since otherwise  $\{v\}$  is a minimum independent dominating set distinct from  $D$ , a contradiction. Thus, we may assume that  $V(G - N[v])$  is nonempty. Suppose, then, that  $i(G - N[v]) < i(G)$  and let  $D'$  be an  $i$ -set for  $G - N[v]$ . We see that  $D' \cup \{v\}$  is an independent dominating set for  $G$  of cardinality at most  $|I(G)|$ , a contradiction. Thus,  $i(G - N[v]) \geq i(G)$  as claimed.

Now suppose that  $G$  has an  $i$ -set  $D$  such that for all  $v \in V(G) - D$ ,  $i(G - N[v]) \geq i(G)$ . For the sake of contradiction, suppose that  $G \notin \mathcal{UI}$ . Let  $D'$  be an  $i$ -set of  $G$  distinct from  $D$ , and let  $v \in D' - D$ . We see that  $D' - \{v\}$  is an  $i$ -set for  $G - N[v]$ . Thus,  $i(G - N[v]) = |D' - \{v\}| = |D'| - 1 = |D| - 1 < i(G)$ . This, however, contradicts the assumed property of  $D$ .  $\square$

We now consider deleting a vertex from  $I(G)$ .

**Lemma 4.** *Let  $G \in \mathcal{UI}$ . For any  $v \in \mathcal{A}(I(G))$ ,  $i(G - v) \geq i(G)$ .*

*Proof.* For the sake of contradiction, suppose that  $i(G - v) < i(G)$ , and let  $D$  be an  $i$ -set for  $G - v$ . Consider  $D$  in  $G$ . Since  $v \in \mathcal{A}(I(G))$ ,  $v$  has at least two external private neighbors in  $G$  with respect to  $I(G)$ . Thus,  $D$  dominates every vertex in  $epn(v, I(G))$ . If  $D$  dominates  $G$ , then  $I(G)$  is not a *minimum* independent dominating set, a contradiction. Hence,  $D$  fails to dominate  $v$ . In this case,  $D \cup \{v\}$  is an independent dominating set of cardinality at most  $|I(G)|$ . Furthermore, since  $epn(v, D \cup \{v\}) \neq epn(v, I(G))$ , we see that  $D \cup \{v\}$  is distinct from  $I(G)$ . Thus, the *uniqueness* of  $I(G)$  has been contradicted.  $\square$

We briefly note that it is possible for  $i(G - v) = i(G)$  for some  $v \in \mathcal{A}(I(G))$  as the following example illustrates.

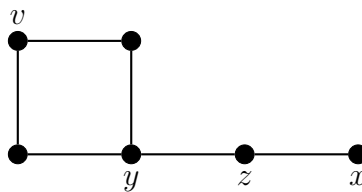


Figure 1:  $i(G - v) = i(G)$

In this example,  $i(G) = 2$ ,  $I(G) = \{v, z\}$ , and  $i(G - v) = 2$  with an  $i$ -set given by  $\{x, y\}$ . We also note that if  $v \in \mathcal{A}(I(G))$ , then  $G - v$  is not guaranteed to be in  $\mathcal{UI}$ . This is in contrast to the following result.

**Lemma 5.** *Let  $G \in \mathcal{UI}$ . For any  $v \in \mathcal{B}(I(G))$ ,  $G - v \in \mathcal{UI}$ , and  $I(G - v) = I(G) - \{v\}$ .*

*Proof.* Since  $v \in \mathcal{B}(I(G))$ ,  $v$  has no external private neighbors with respect to  $I(G)$ . Thus,  $I(G) - \{v\}$  dominates  $G - v$ . Hence,  $i(G - v) \leq i(G) - 1$ . By similar logic as applied in the proof of Lemma 4, we see that  $i(G - v) = i(G) - 1$ .

Moreover, we also see that  $I(G) - \{v\}$  is an  $i$ -set for  $G - v$ . Suppose  $G - v$  has another  $i$ -set, call it  $D'$ . Note that  $D'$  dominates  $G - v$  but does not dominate  $G$ , else we would have  $i(G) = i(G) - 1$ . Thus, in  $G$ ,  $D'$  fails to dominate  $v$ . In particular, this implies that no neighbor of  $v$  is in  $D'$ . Hence,  $D' \cup \{v\}$  is an independent dominating set of  $G$  of cardinality at most  $|I(G)|$ . Since  $D' \neq I(G) - \{v\}$  we see that  $D' \cup \{v\} \neq I(G)$ , a contradiction. Thus,  $G - v \in \mathcal{UI}$  with  $I(G - v) = I(G) - \{v\}$ .  $\square$

The sets  $\mathcal{A}(I(G))$  and  $\mathcal{B}(I(G))$  are similar in the following respect.

**Lemma 6.** *Let  $G \in \mathcal{UI}$ . For any  $v \in I(G)$ ,  $i(G - N[v]) = i(G) - 1$ ,  $G - N[v] \in \mathcal{UI}$ , and  $I(G - N[v]) = I(G) - \{v\}$ .*

*Proof.* First note that  $I(G) - \{v\}$  is an independent dominating set for  $G - N[v]$ . Thus,  $i(G - N[v]) \leq i(G) - 1$ . Assuming  $i(G - N[v]) < i(G) - 1$  results in a contradiction as in the proof of Lemma 4. Thus, we have  $i(G - N[v]) = i(G) - 1$ . If  $G - N[v]$  has an  $i$ -set distinct from  $I(G) - \{v\}$ , call it  $D'$ , then  $D' \cup \{v\}$  is an  $i$ -set of  $G$  distinct from  $I(G)$ , a contradiction. Thus, we see that  $G - N[v] \in \mathcal{UI}$  with  $I(G - N[v]) = I(G) - \{v\}$ .  $\square$

Our last lemma in this section does not concern deleting a vertex or a private neighbor. Since we use these techniques when proving the result, we present it here. We will make use of this result in Theorem 1 to come.

**Lemma 7.** *If  $T \in \mathcal{UI}$  is a tree with  $v \in V(G) - I(G)$ , then  $N[v] \cap \mathcal{A}(I(G)) \neq \emptyset$ .*

*Proof.* Note that since  $I(T)$  is a dominating set,  $|N(v) \cap I(T)| \geq 1$ . For the sake of contradiction, suppose that  $(N(v) \cap I(T)) \subseteq \mathcal{B}(I(T))$  with  $N(v) \cap I(T) = \{b_1, b_2, \dots, b_k\}$ . Consider then  $T - N[v]$ . Since  $T$  is a tree,  $b_i$  and  $b_j$  have no common neighbors when  $i \neq j$ . This, together with the fact that each  $b_j$  has no external private neighbors with respect to  $I(T)$ , implies that  $I(T) - \{b_1, b_2, \dots, b_k\}$  is an independent dominating set for  $T - N[v]$ . Thus,  $i(T - N[v]) \leq i(T) - k$  for some  $k \geq 1$ . This, however, contradicts Lemma 3. Thus,  $v$  has a neighbor in  $\mathcal{A}(I(T))$ .  $\square$

## 4 Trees

In this section, we seek to improve upon Lemma 4 in the case when  $G$  is a tree. Our proofs will take advantage of rooted trees. Thus, for notational convenience, given a rooted tree  $T$ , we let  $T_v$  denote the subgraph of  $T$  induced by  $v$  and all of its descendants.

We begin with the following.

**Lemma 8.** *Let  $T \in \mathcal{UI}$  be a tree rooted at a vertex  $v \in \mathcal{A}(I(T))$  with  $\text{epn}(v, I(T)) = \{p_1, p_2, \dots, p_k\}$ . For  $1 \leq j \leq k$ ,  $i(T_{p_j}) = |I(T) \cap V(T_{p_j})| + 1$  and  $\{p_j\} \cup (I(T) \cap V(T_{p_j}))$  is a minimum independent dominating set for  $T_{p_j}$ .*

*Proof.* For  $j \in \{1, 2, \dots, k\}$ , consider  $T_{p_j}$ , the subtree of  $T$  induced by  $p_j$  and all of its descendants. By Lemma 6,  $T - N[v] \in \mathcal{UI}$  with  $I(T - N[v]) = I(T) - \{v\}$ . This implies that  $T_{p_j} - p_j \in \mathcal{UI}$  with  $I(T_{p_j} - p_j) = V(T_{p_j}) \cap I(T)$ . Notice that  $V(T_{p_j}) \cap I(T)$  does not dominate  $p_j$  in  $T_{p_j}$  since  $p_j$  is an external private neighbor of  $v$  with respect to  $I(T)$  in  $T$ . In particular, this implies that none of the descendants of  $p_j$  are contained in  $I(T)$ . Thus, let  $D$  be an  $i$ -set of  $T_{p_j}$ . There are two cases to consider.

- First, suppose that  $p_j \notin D$ . In this case, some descendant of  $p_j$  is contained in  $D$ , and  $D$  is an independent dominating set for  $T_{p_j} - p_j$ . Since  $T_{p_j} - p_j \in \mathcal{UI}$  and no descendant of  $p_j$  is contained in  $I(T_{p_j} - p_j)$ , we see that  $|D| > |I(T_{p_j} - p_j)| = |I(T) \cap V(T_{p_j})|$ .
- Now, suppose that  $p_j \in D$ . In this case, no descendant of  $p_j$  is contained in  $D$ . Let  $d_1, d_2, \dots, d_n$  denote the descendants of  $p_j$ . Observe that if we delete  $p_j$  from  $T_{p_j}$ , we are left with a forest whose components, namely  $T_{d_1}, T_{d_2}, \dots, T_{d_n}$ , are found in  $T - N[v]$ . Hence, by Lemma 6, the components of  $T_{p_j} - p_j$  are each graphs in  $\mathcal{UI}$ . Thus, we see that

$$\begin{aligned} |D| &= 1 + |D \cap V(T_{p_j} - p_j)| \\ &= 1 + \sum_{m=1}^n |D \cap V(T_{d_m})| \\ &= 1 + \sum_{m=1}^n |I(T) \cap V(T_{d_m})| \text{ by Lemma 2} \\ &= 1 + |I(T) \cap V(T_{p_j} - p_j)| \\ &= 1 + |I(T) \cap V(T_{p_j})|. \end{aligned}$$

Thus, in either case, we see that  $i(T_{p_j}) > |I(T) \cap V(T_{p_j})|$ . Moreover, we also see that  $\{p_j\} \cup (I(T) \cap V(T_{p_j}))$  is a minimum independent dominating set for  $T_{p_j}$ . Thus, our result is proven.  $\square$

This lemma is particularly nice since it implies the following.

**Proposition 1.** *Let  $T \in \mathcal{UI}$  be a tree. For all  $v \in \mathcal{A}(I(T))$ ,  $i(T - v) > i(T)$ .*

*Proof.* Root  $T$  at  $v$ . Let  $epn(v, I(T)) = \{p_1, p_2, \dots, p_k\}$  and let  $N(v) - epn(v, I(T)) = \{n_1, n_2, \dots, n_m\}$ . If we delete  $v$  from  $T$ , we are left with  $k + m$  components, namely

$$T_{p_1}, T_{p_2}, \dots, T_{p_k}, T_{n_1}, T_{n_2}, \dots, T_{n_m}.$$

Thus, we see that

$$i(T - v) = \sum_{s=1}^k i(T_{p_s}) + \sum_{t=1}^m i(T_{n_t}).$$

By Lemma 8,  $\{p_s\} \cup (I(T) \cap V(T_{p_s}))$  is an  $i$ -set for  $T_{p_s}$  for  $1 \leq s \leq k$ . Let  $F$  denote the subforest of  $T - v$  given by  $T_{n_1} \cup T_{n_2} \cup \dots \cup T_{n_m}$ . Let  $\alpha = |I(T) \cap V(F)|$ . Consider  $i(F)$ . We see that if  $i(F) \geq \alpha - k + 1$ , then our result is shown.

Thus, suppose that  $i(F) \leq \alpha - k$  and let  $D$  be an  $i$ -set for  $F$ . We see that

$$D \cup \bigcup_{s=1}^k (\{p_s\} \cup (I(T) \cap V(T_{p_s})))$$

is an independent dominating set of  $T$  of cardinality at most  $|I(T)|$  distinct from  $I(T)$ , a contradiction.

Thus, we see that  $i(F) \geq \alpha - k + 1$ , in which case  $i(T - v) > i(T)$ .  $\square$

Thus, we see that when we consider trees in  $\mathcal{UI}$ , the result of Lemma 4 can be improved upon.

Continuing on, our next result will be used in Section 5.

**Lemma 9.** *If  $T \in \mathcal{UI}$  is a tree with  $v \in V(T) - I(T)$  a shared neighbor of at least two vertices in  $I(T)$ , then  $T - v \in \mathcal{UI}$  with  $I(T - v) = I(T)$ .*

*Proof.* Let  $T_1, T_2, \dots, T_k$  be the components of  $T - v$ , and let  $I_j = I(T) \cap V(T_j)$  for  $1 \leq j \leq k$ . Note that for each  $j$ ,  $I_j$  is an independent dominating set for  $T_j$ . Since  $v$  has at least two neighbors in  $I(T)$ , we can alter the minimum dominating set  $I(T)$  on one of the components, say  $T_j$ , and create an independent dominating set for all of  $T$ . That is, if  $D$  is any  $i$ -set for  $T_j$ , then

$$D \cup \bigcup_{s \neq j} I_s$$

is an independent dominating set for  $T$ . This observation implies that  $I_j$  is, in fact, an  $i$ -set for  $T_j$ , and that each  $T_j \in \mathcal{UI}$ . Since each  $T_j \in \mathcal{UI}$ ,  $T - v \in \mathcal{UI}$  as well. Our result is shown.  $\square$

## 5 Operations

Using our observations above, we now illustrate a collection of operations which allow us to construct a new graph in  $\mathcal{UI}$  by combining two graphs in  $\mathcal{UI}$ . In particular, throughout this section,  $G_1$  and  $G_2$  are assumed to both be graphs in  $\mathcal{UI}$ . We let  $I_j$  denote the unique  $i$ -set of  $G_j$  for  $j = 1, 2$ .

**Operation 1.** *For  $j = 1, 2$ , choose  $u_j \in V(G_j) - I_j$ . If  $G$  is the graph defined by  $G = (G_1 \cup G_2) + u_1u_2$ , then  $G$  has the unique  $i$ -set  $I_1 \cup I_2$ .*

*Proof.* First, observe that  $I_1 \cup I_2$  is an independent dominating set for  $G$ . Thus,  $i(G) \leq |I_1 \cup I_2| = |I_1| + |I_2|$ . Suppose that  $i(G) < |I_1| + |I_2|$ , and let  $D$  be an  $i$ -set of  $G$ . In particular, this implies that  $D \neq I_1 \cup I_2$ . Let  $D_1 = D \cap V(G_1)$  and  $D_2 = D \cap V(G_2)$ . Note that if  $D_1 = I_1$ , then  $D_2 = I_2$  since  $u_1 \notin I_1$  and  $G_2 \in \mathcal{UI}$ . Similarly, if  $D_2 = I_2$ , then  $D_1 = I_1$  since  $u_2 \notin I_2$  and  $G_1 \in \mathcal{UI}$ . Thus, we have  $D_1 \neq I_1$  and  $D_2 \neq I_2$ .

Without loss of generality, suppose that  $|D_1| \leq |I_1|$ . First note that  $D_1$  does not dominate  $G_1$ , since otherwise  $I_1$  is not the unique  $i$ -set of  $G_1$ . Since the only vertex of  $V(G_1)$  that can be dominated from outside of  $V(G_1)$  by  $D$  is  $u_1$ , we see that  $D_1$  fails to dominate  $u_1$ . Hence,  $u_2 \in D_2$ . This implies each of the following.

- $D_2$  independently dominates  $V(G_2)$ . Since  $I_2$  is the unique  $i$ -set of  $G_2$ , and since  $u_2 \notin I_2$ , we see that  $|D_2| > |I_2|$ .
- $D_1$  independently dominates  $G - u_1$ . Thus, by Lemma 2,  $|D_1| \geq |I_1|$ .

Hence, we see that  $|D| = |D_1| + |D_2| > |I_1| + |I_2|$ , a contradiction.

Thus, we see that  $i(G) = |I_1 \cup I_2|$ . By the logic applied above, if  $D$  is any  $i$ -set of  $G$  containing one of  $u_1$  or  $u_2$ , then  $|D| > |I_1 \cup I_2|$ . This implies that  $I_1 \cup I_2$  is the unique  $i$ -set of  $G$ .  $\square$

**Operation 2.** For  $j = 1, 2$ , let  $v_j \in \mathcal{A}(I_j)$ . Let  $u$  be a new vertex that is neither in  $G_1$  nor  $G_2$ . If  $G$  is the graph defined by  $V(G) = V(G_1) \cup V(G_2) \cup \{u\}$  and  $E(G) = E(G_1) \cup E(G_2) \cup \{v_1u, uv_2\}$ , then  $G$  has the unique  $i$ -set  $I_1 \cup I_2$ .

*Proof.* First, observe that  $I_1 \cup I_2$  is an independent dominating set for  $G$ . Thus,  $i(G) \leq |I_1 \cup I_2| = |I_1| + |I_2|$ . Let  $D$  be an  $i$ -set for  $G$ . Once again, let  $D_1 = D \cap V(G_1)$  and let  $D_2 = D \cap V(G_2)$ . There are two cases to consider.

- First, suppose that  $u \in D$ . Since  $D$  is independent, this implies that  $v_1 \notin D$  and that  $v_2 \notin D$ . Hence,  $D_1$  is an independent dominating set for  $G_1 - v_1$  and  $D_2$  is an independent dominating set for  $G_2 - v_2$ . By Lemma 4, this implies that  $|D_1| \geq |I_1|$  and  $|D_2| \geq |I_2|$ . Hence, we see that  $|D| = |D_1 \cup D_2 \cup \{u\}| = |D_1| + |D_2| + 1 \geq |I_1| + |I_2| + 1 > |I_1| + |I_2|$ , a contradiction.
- Now suppose that  $u \notin D$ . In this case,  $D_1$  is an independent dominating set for  $G_1$  and  $D_2$  is an independent dominating set for  $G_2$ . This implies that  $D_1 = I_1$  and  $D_2 = I_2$ . Thus,  $D = I_1 \cup I_2$ .

Hence, we see that  $G$  has a unique  $i$ -set given by  $I_1 \cup I_2$ .  $\square$

**Operation 3.** Let  $G_1 \in \mathcal{UI}$  be a tree and let  $G_2 \in \mathcal{UI}$ . Let  $v_1 \in \mathcal{A}(I_1)$  and  $v_2 \in \mathcal{B}(I_2)$ . Let  $u$  be a new vertex that is neither in  $G_1$  nor  $G_2$ . If  $G$  is the graph defined as in Operation 2, then  $G$  has the unique  $i$ -set  $I_1 \cup I_2$ .

*Proof.* First, observe that  $I_1 \cup I_2$  is an independent dominating set for  $G$ . Thus,  $i(G) \leq |I_1 \cup I_2| = |I_1| + |I_2|$ . Let  $D$  be an  $i$ -set for  $G$ . Let  $D_1 = D \cap V(G_1)$  and let  $D_2 = D \cap V(G_2)$ . Once again, we consider two cases.

- First, suppose that  $u \in D$ . Since  $D$  is independent, this implies that  $v_1 \notin D$  and that  $v_2 \notin D$ . Hence,  $D_1$  is an independent dominating set for  $G_1 - v_1$  and  $D_2$  is an independent dominating set for  $G_2 - v_2$ . By Proposition 1 and Lemma 5, we see that

$$\begin{aligned} |D| &= 1 + |D_1| + |D_2| \\ &\geq 1 + |D_1| + |I_2| - 1 \\ &= |D_1| + |I_2| \\ &> |I_1| + |I_2| \\ &= |I_1 \cup I_2|. \end{aligned}$$

Thus, we have arrived at a contradiction. Hence,  $u$  is not a member of any  $i$ -set of  $G$ .



- Now suppose that  $u \notin D$ . In this case,  $D_1$  is an independent dominating set for  $G_1$  and  $D_2$  is an independent dominating set for  $G_2$ . This implies that  $D_1 = I_1$  and  $D_2 = I_2$ . Thus,  $D = I_1 \cup I_2$ .

Thus, we see that  $G$  has a unique  $i$ -set given by  $I_1 \cup I_2$ . □

We note that if  $G_1$  is not a tree, then Operation 3 is not guaranteed to produce a graph in  $\mathcal{UI}$ . For example, if we let  $G_1$  be the graph from Figure 1 with  $v_1 = v$ , and let  $G_2 = K_1$ , then Operation 3 will produce the graph below, which does not have a unique  $i$ -set.

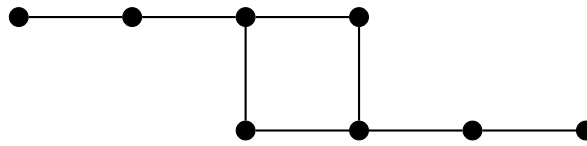


Figure 2: Operation 3 requires  $G_1$  to be a tree

**Operation 4.** Let  $G_1 \in \mathcal{UI}$  be a tree and let  $G_2 \in \mathcal{UI}$ . Let  $v_1 \in V(G_1) - I_1$  be a common neighbor of at least two vertices in  $I_1$ , and let  $v_2 \in \mathcal{A}(I_2)$ . If  $G$  is the graph formed by joining  $G_1$  and  $G_2$  with the new edge  $v_1v_2$ , then  $G$  has the unique  $i$ -set  $I_1 \cup I_2$ .

*Proof.* Once again, we see that  $I_1 \cup I_2$  is an independent dominating set for  $G$ . Thus,  $i(G) \leq |I_1| + |I_2|$ . Let  $D$  be an  $i$ -set for  $G$ . Let  $D_1 = D \cap V(G_1)$  and let  $D_2 = D \cap V(G_2)$ . We consider two cases.

- First, suppose that  $v_1 \in D$ . In this case,  $D_1$  is an independent dominating set for  $G_1$ . Since  $v_1 \notin I_1$ , this implies that  $|D_1| > |I_1|$ . Additionally, if  $v_1 \in D$  then  $v_2 \notin D$ . Hence,  $D_2$  is an independent dominating set for  $G_2 - v_2$ . By Lemma 4, we see that  $|D_2| \geq |I_2|$ . Hence, we see that  $|D| = |D_1| + |D_2| > |I_1| + |I_2|$ , a contradiction.
- Now suppose that  $v_1 \notin D$ . This implies that  $D_2$  is a minimum independent dominating set for  $G_2$ . Thus,  $D_2 = I_2$ . This implies that  $D_1$  is a minimum independent dominating set for  $G_1 - v_1$ . By Lemma 9, we see that  $D_1 = I_1$  and thus  $D = I_1 \cup I_2$ .

Hence, we see that  $G \in \mathcal{UI}$  and that  $I(G) = I_1 \cup I_2$ . □

In the operation above, if  $v_2 \in \mathcal{B}(I_2)$ , then the resulting graph  $G$  is not guaranteed to have a unique  $i$ -set. For example, in the figure below, if we add in the dashed edge, the resulting graph will not have a unique  $i$ -set.

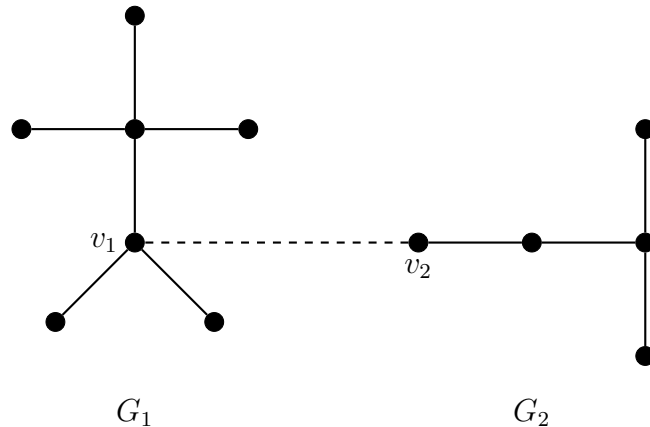


Figure 3: Operation 4 requires  $v_2 \in \mathcal{A}(I_2)$

The reason Operation 4 failed to produce a graph in  $\mathcal{UI}$  in the example above is that  $i(G_1 - N[v_1]) = i(G_1)$ . In an attempt to circumvent this problem, we make the following definition. Given a graph  $G \in \mathcal{UI}$ , let

$$\mathcal{C}(G) = \{v \in V(G) - I(G) : |N(v) \cap I(G)| \geq 2 \text{ and } i(G - N[v]) > i(G)\}.$$

With this notation established, we present the following operation.

**Operation 5.** Let  $G_1 \in \mathcal{UI}$  be a tree and let  $G_2 \in \mathcal{UI}$ . Let  $v_1 \in \mathcal{C}(G_1)$  and let  $v_2 \in \mathcal{B}(I_2)$ . If  $G$  is formed by joining  $G_1$  and  $G_2$  with the new edge  $v_1v_2$ , then  $G$  has the unique  $i$ -set  $I_1 \cup I_2$ .

*Proof.* Let  $D$  be an  $i$ -set for  $G$ . Let  $D_1 = D \cap V(G_1)$  and let  $D_2 = D \cap V(G_2)$ . We consider two cases.

- First, suppose that  $v_1 \in D$ . In this case,  $D_1$  is an independent dominating set for  $G_1$ . Note that  $|D_1| = 1 + |D_1 - \{v_1\}|$ . Since  $D_1 - \{v_1\}$  independently dominates  $G_1 - N[v_1]$ , and since  $v_1 \in \mathcal{C}(G_1)$ , we see that  $|D_1 - \{v_1\}| > i(G) = |I_1|$ . Thus,  $|D_1| \geq |I_1| + 2$ . Additionally, if  $v_1 \in D$ , then  $v_2 \notin D$ . Hence,  $D_2$  is an independent dominating set for  $G_2 - v_2$ . By Lemma 5, we see that  $|D_2| \geq |I_2| - 1$ . Hence, we see that  $|D| = |D_1| + |D_2| \geq |I_1| + 2 + |I_2| - 1 > |I_1| + |I_2|$ , a contradiction.
- Now suppose that  $v_1 \notin D$ . This implies that  $D_2$  is a minimum independent dominating set for  $G_2$ . Thus,  $D_2 = I_2$ . This implies that  $D_1$  is a minimum independent dominating set for  $G_1 - v_1$ . Lemma 9 then implies that  $D_1 = I_1$ . Thus,  $D = I_1 \cup I_2$ .

Hence, we see that  $G \in \mathcal{UI}$  and that  $I(G) = I_1 \cup I_2$ . □

Note that after performing each of these five operations,  $\mathcal{A}(I(G)) = \mathcal{A}(I_1) \cup \mathcal{A}(I_2)$  and that  $\mathcal{B}(I(G)) = \mathcal{B}(I_1) \cup \mathcal{B}(I_2)$ .

## 6 Characterizing Trees

In this section, we utilize the operations discussed in the previous section to characterize the trees  $T$  having a unique minimum independent dominating set.

**Theorem 1.** *Let  $T$  be a tree.  $T \in \mathcal{UI}$  if and only if  $T$  can be constructed from a disjoint union of isolated vertices and stars, each with at least two leaves, by a finite sequence of Operations 1 through 5.*

*Proof.* Given our work in the previous section, if  $T$  can be constructed from a disjoint union of isolated vertices and stars, each with at least two leaves, by a finite sequence of Operations 1 through 5, then  $T \in \mathcal{UI}$ . Thus, it remains to show that if  $T \in \mathcal{UI}$ , then  $T$  can be constructed in this manner.

We proceed by induction on  $i(T)$ . If  $i(T) = 1$ , then, by Lemma 1,  $T$  is either  $K_1$  or a star with at least 2 leaves. In either case, the result holds.

Assume the result holds for all trees  $T$  in  $\mathcal{UI}$  satisfying  $i(T) < k$ ,  $k \geq 2$ . Let  $T \in \mathcal{UI}$  be a tree satisfying  $i(T) = k$ . We consider two cases, each with two subcases.

**Case One:**  $T$  has a leaf in  $I(T)$ .

Suppose that  $T$  has a leaf, call it  $l$ , in  $I(T)$ . Notice that  $l \in \mathcal{B}(I(T))$ . Let  $v$  denote the single neighbor of  $l$ . Since  $I(T)$  is independent,  $v \notin I(T)$ . Additionally, by Lemma 7, some neighbor of  $v$ , distinct from  $l$ , is in  $\mathcal{A}(I(T))$ . Let  $a_1 \in N(v) \cap \mathcal{A}(I(T))$ . We consider the following two subcases.

**Subcase One:**  $|N(v) \cap I(T)| = 2$ .

First suppose that  $|N(v) \cap I(T)| = 2$ . Let  $N(v) = \{l, a_1, o_1, o_2, \dots, o_k\}$ . Observe that  $o_1, o_2, \dots, o_k$  are not in  $I(T)$ . Root  $T$  at  $v$ . By Lemma 9, each of  $T_{a_1}, T_{o_1}, T_{o_2}, \dots, T_{o_k}$  has a unique  $i$ -set. Thus, by our induction hypothesis, each of these subtrees can be constructed from a disjoint union of isolates and stars by a finite sequence of Operations 1 through 5. To construct  $T$ , first note that since  $a_1 \in \mathcal{A}(I(T))$ , we also have  $a_1 \in \mathcal{A}(I(T_{a_1}))$ . Thus, we can connect  $l, v$  and  $T_{a_1}$  by applying Operation 3. Call this resulting graph  $F$ . From there, we can reconstruct  $T$  by connecting  $T_{o_1}, T_{o_2}, \dots, T_{o_k}$  to  $F$  by performing Operation 1  $k$ -times.

**Subcase Two:**  $|N(v) \cap I(T)| > 2$ .

Once again, root  $T$  at  $v$ . Let

$$N(v) = \{l, a_1, a_2, \dots, a_j, b_1, b_2, \dots, b_k, o_1, o_2, \dots, o_m\}$$

where  $a_1, a_2, \dots, a_j \in \mathcal{A}(I(T))$ ,  $b_1, b_2, \dots, b_k \in \mathcal{B}(I(T))$  and  $o_1, o_2, \dots, o_m \in V(T) - I(T)$ . Let  $T' = T - l$ . Recall that since  $T \in \mathcal{UI}$ , Lemma 3 implies that  $i(T - N[v]) \geq$

$i(T)$ . Thus, in particular, we have that

$$\begin{aligned} i(T' - N_{T'}[v]) &= i(T - N[v]) \\ &\geq i(T) \\ &> i(T) - 1 \\ &= i(T - l) \\ &= i(T'). \end{aligned}$$

Thus, we see that  $i(T' - N_{T'}[v]) > i(T')$ . Thus,  $v \in \mathcal{C}(T')$ . Recall that  $T' \in \mathcal{UI}$  by Lemma 5. Thus, by our induction hypothesis,  $T'$  can be constructed from a disjoint union of isolated vertices and stars by a finite sequence of Operations 1 through 5. We can then reconstruct  $T$  from  $T'$  and  $l$  by applying Operation 5.

**Case Two:** No leaf of  $T$  is in  $I(T)$ .

Consider a diametral path  $v_1 v_2 \cdots v_{k-2} v_{k-1} v_k v_{k+1}$  in  $T$ . Since  $i(T) \geq 2$ , and since no leaf of  $T$  is in  $I(T)$ , we see that  $k \geq 4$ . Observe that  $v_{k+1} \notin I(T)$  in which case  $v_k \in I(T)$ . This further implies that  $v_k \in \mathcal{A}(I(T))$ . We once again consider two subcases.

**Subcase One:**  $v_{k-1} \in epn(v_k, I(T))$ .

In this case, observe that  $N(v_{k-1}) = \{v_{k-2}, v_k\}$  since otherwise either  $I(T)$  contains a leaf or  $v_1 v_2 \cdots v_{k+1}$  is not a diametral path. Moreover, since  $v_{k-1} \in epn(v_k, I(T))$ , we see that  $v_{k-2} \notin I(T)$ . Thus, consider  $T - N[v_k]$ . By Lemma 6,  $T - N[v_k] \in \mathcal{UI}$  and  $i(T - N[v_k]) = i(T) - 1$ . Thus, we can apply our induction hypothesis to  $T - N[v_k]$ . We can then reconstruct  $T$  from  $T - N[v_k]$  and the subgraph induced by  $N[v_k]$  by applying Operation 1.

**Subcase Two:**  $v_{k-1} \notin epn(v_k, I(T))$ .

Since  $v_k \in \mathcal{A}(I(T))$ , this implies that  $v_k$  has at least two leaf neighbors. Consider  $N(v_{k-1})$ . We see that  $|N(v_{k-1}) \cap I(T)| \geq 2$ , and that  $v_{k-1}$  has no leaf neighbors.

First suppose  $N(v_{k-1}) = \{v_{k-2}, v_k\}$ . In this case,  $v_{k-2} \in I(T)$ . Since  $T - N[v_k] \in \mathcal{UI}$  by Lemma 6, we can apply our induction hypothesis to  $T - N[v_k]$ . We can then reconstruct  $T$  from  $T - N[v_k]$ ,  $v_{k-1}$ , and  $\{v_k\} \cup epn(v_k, I(T))$  by applying either Operation 2 or Operation 3.

Suppose now that  $N(v_{k-1}) = \{v_{k-2}, v_k, o_1, o_2, \dots, o_r\}$ . Since  $I(T)$  contains no leaves, we see that  $o_1, o_2, \dots, o_r$  are each in  $\mathcal{A}(I(T))$ . In particular, this implies that each has at least two leaf neighbors. Root  $T$  at  $v_{k-1}$ . By Lemma 9,  $T_{v_{k-2}} \in \mathcal{UI}$  in which case we can apply the induction hypothesis to construct it from Operations 1 through 5. We can then reconstruct  $T$  as follows. First, combine  $\{v_k\} \cup epn(v_k, I(T))$ ,  $\{o_1\} \cup epn(o_1, I(T))$ ,  $\dots$ ,  $\{o_r\} \cup epn(o_r, I(T))$  through one Operation 2 followed by Operation 4  $(r - 1)$ -times. From there, we can reconstruct  $T$  by performing Operation 5 if  $v_{k-2} \in \mathcal{B}(I(T))$ , Operation 4 if  $v_{k-2} \in \mathcal{A}(I(T))$ , or Operation 1 if  $v_{k-2} \notin I(T)$ .  $\square$

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