A conjecture on subset sums of a finite set of positive integers *

SHU-GUANG GUO[†]

Department of Mathematics Southeast University Nanjing 210096, Jiangsu P.R. CHINA ygchen@pine.njnu.edu.cn

Abstract

Let A be a finite set of positive integers, and let S(A) be the set of all nonempty sums of distinct elements of A. In this paper, a conjecture on the lower bound of |S(A)| is given and a partial proof of the conjecture is obtained.

1 Introduction

Let A be a finite set of integers, and denote by |A| the cardinality of A. The sumset and the restricted sumset of A are defined as

$$2A = \{a+b : a, b \in A\}, \quad 2^{\wedge}A = \{a+b : a, b \in A \text{ and } a \neq b\},$$

respectively. Without loss of generality, we may assume that A has the normal form (see [5]), namely, $A \subseteq [0, l]$, |A| = n, $\gcd(A) = 1$ and $0, l \in A$.

It was proved by G. Freiman over 30 years ago (see [5]) that

$$|2A| \ge \min\{l, 2n - 3\} + n = \left\{ \begin{array}{ll} l + n & \text{ if } l \le 2n - 3, \\ 3n - 3 & \text{ if } l \ge 2n - 2. \end{array} \right.$$

For $2^{\Lambda}A$, Freiman and Lev conjectured independently (see [3]) that for n > 7,

$$|2^{\wedge}A| \ge \min\{l, 2n-5\} + n - 2 = \begin{cases} l+n-2 & \text{if } l \le 2n-5, \\ 3n-7 & \text{if } l \ge 2n-4. \end{cases}$$

The first non-trivial result towards this problem was given by Freiman et al. [1] and was improved by Lev [3]. Very recently, Schoen [6] proved the following theorem.

^{*} Supported by the National Natural Science Foundation of China, Grant No10201013

[†] Also: Department of Mathematics, Yancheng Teachers College, Yancheng 224002, Jiangsu, China

Theorem A. Let A be a set of n > 7 integers such that $A \subseteq [0, l]$, gcd(A) = 1 and $0, l \in A$. Then

$$|2^{\wedge}A| \ge \begin{cases} l+n-2 & \text{if } l \le 2n-5, \\ 3n+o(n) & \text{if } l \ge 2n-4. \end{cases}$$

For a set A of k integers, denote by s(A') the sum of the elements of a nonempty subset A' of A and the subset sums set of A is defined as

$$S(A) = \{ s(A') \mid A' \subseteq A, A' \neq \emptyset \}.$$

For a set A of positive integers with |A| = k > 3, Nathanson [4], and Ilie and Salomaa [2] proved that

$$|S(A)| \ge \binom{k+1}{2},\tag{1}$$

and the equality occurs if and only if $A = \{d, 2d, \ldots, kd\}$ for some positive integer d. Since |S(A)| is invariant under scalar multiplication of A, we may freely assume that $\gcd(A) = 1$. Under the assumption, the equality in (1) occurs if and only if $A = \{1, 2, \ldots, k\}$.

The subset sums are closely related to the restricted sums. In this paper, we first give a conjecture on subset sums, which is parallel to the one on the restricted sums.

Conjecture. Let A be a set of $k \geq 6$ positive integers such that gcd(A) = 1. Put M = max(A). Then

$$|S(A)| \ge \left\{ \begin{array}{ll} \frac{k(k-1)}{2} + M & \quad if \ M \le \frac{k^2 - k + 2}{2}, \\ k(k-1) + 1 & \quad if \ M \ge \frac{k^2 - k + 2}{2}. \end{array} \right.$$

This is the strongest possible assertion of this kind, as letting $A = \{1, 2, ..., k - 1, M\}$, we get

$$|S(A)| = \left\{ \begin{array}{ll} \frac{k(k-1)}{2} + M & \quad if \ k \leq M \leq \frac{k^2 - k + 2}{2}, \\ k(k-1) + 1 & \quad if \ M \geq \frac{k^2 - k + 2}{2}. \end{array} \right.$$

The condition $k \ge 6$ is necessary due to a singularity for k = 5: consider $A = \{1, m+2, m+3, m+4, m+5\}$ with $m \ge 2$, in which |S(A)| = 19 < 5(5-1) + 1.

In addition, we prove following Theorem, which goes a bit further beyond (1), and is intended to be a first step in the investigation of this problem.

Theorem 1. Let A be a set of $k \geq 5$ positive integers such that gcd(A) = 1. Put $M = \max(A)$. Then

$$|S(A)| \ge \begin{cases} \frac{k(k-1)}{2} + M & \text{if } k \le M \le 2k - 3, \\ \frac{k^2 + 3k + 8}{2} + o(k) & \text{if } M \ge 2k - 2, \end{cases}$$
 (2)

and for $k \leq M \leq 2k-3$, the equality holds if and only if $A = \{1, 2, \cdots, k-1, M\}$.

Corollary 1. Let A be a set of $k \ge 5$ positive integers and $l \ge 5$ negative integers. Put $M = \max(A)$ and $-m = \min(A)$. If $M \le 2k - 3$ and $m \le 2l - 3$, then

$$|S(A)| \ge \frac{k(k-1)}{2} + \frac{l(l-1)}{2} + M + m + 1,\tag{3}$$

and the equality holds if and only if

$$A = \{1, 2, \dots, k-1, M\}, \quad B = \{-m, -(l-1), -(l-2), \dots, -1\}.$$

2 Proof

In order to complete the proof of Theorem 1, we need the following lemma on restricted sumsets.

Lemma 1. [5] Let $k \geq 5$, and let A be a set of k integers. Then

$$|2^{\wedge}A| > 2k - 3$$

and the equality holds if and only if A is an arithmetic progression.

Proof of Theorem 1. Suppose $A = \{a_1, a_2, \dots, a_k\}$, where

$$1 \le a_1 < a_2 < \ldots < a_k = M.$$

Let $A_k = \{0, a_1, a_2, \dots, a_k\}, A_{k-2} = \{0, a_1, a_2, \dots, a_{k-2}\},$ and

$$B_i = \{a_1 + a_i + \dots + a_k, a_2 + a_i + \dots + a_k, \dots, a_{i-1} + a_i + \dots + a_k\},\$$

where $i = 2, 3, \ldots, k$. It is readily seen that

$$S(A) \supseteq (2^{\wedge} A_k) \cup (2^{\wedge} A_{k-2} + a_{k-1} + a_k) \cup \bigcup_{i=2}^{k-3} B_i, \tag{4}$$

and $2^{\hat{}}A_k$, $2^{\hat{}}A_{k-2} + a_{k-1} + a_k$, $B_i (i = 2, ..., k-3)$ are disjoint in pairs.

Case 1. $k \le M \le 2k-3$. Since $gcd(A_k) = gcd(A) = 1$ and $a_k = M \le 2k-3 = 2(k+1)-5$, it follows from Theorem A that

$$|2^{\wedge} A_k| \ge M + (k+1) - 2 = M + k - 1. \tag{5}$$

By Lemma 1 we have

$$|2^{\wedge} A_{k-2} + a_{k-1} + a_k| = |2^{\wedge} A_{k-2}| \ge 2(k-1) - 3 = 2k - 5.$$
 (6)

Therefore

$$|S(A)| \ge |(2^{\wedge}A_k)| + |(2^{\wedge}A_{k-2} + a_{k-1} + a_k)| + |\bigcup_{i=1}^{k-3} B_i|$$

$$\geq M + k - 1 + 2k - 5 + \frac{(k-4)(k-3)}{2} = \frac{k(k-1)}{2} + M. \tag{7}$$

Now suppose that the equality in (7) holds. Then all inequalities in the above argument must be equalities. In particular, we have from (6) that

$$|2^{\wedge} A_{k-2}| = 2(k-1) - 3.$$

It follows from Lemma 1 that A_{k-2} is an arithmetic progression. Since $k-2 \le a_{k-2} \le M-2 \le 2k-5$, we have $\gcd(A_{k-2})=1$, and so $A_{k-2}=\{0,1,2,\cdots,k-2\}$. Hence

$$A = \{1, 2, \cdots, k - 2, a_{k-1}, M\}.$$

This implies that

$$2^{\wedge}A_k = \{1, 2, \cdots, k-2+M\} \cup \{a_{k-1}+M\}.$$

If $a_{k-1} > k-1$, it is easily seen that

$$a_1 + a_{k-2} + a_k = 1 + k - 2 + M \in S(A),$$

but does not belong to the right-hand side of (4), a contradiction. Therefore $a_k = k - 1$, and so $A = \{1, 2, \dots, k - 1, M\}$.

Conversely, It is easy to check that the equality in (7) holds for $A = \{1, 2, \dots, k-1, M\}$.

Case 2. $M \ge 2k - 2$. It follows from Lemma 1 and Theorem A that

$$|2^{\wedge} A_k| \ge 3(k+1) + o(k),$$

and

$$|2^{\wedge}A_{k-2} + a_{k-1} + a_k| = |2^{\wedge}A_{k-2}| \ge 2(k-1) - 3 = 2k - 5.$$

Following from Case 1, we have

$$|S(A)| \ge |2^{\wedge}A_k| + |2^{\wedge}A_{k-2} + a_{k-1} + a_k| + \sum_{i=2}^{k-3} |B_i|$$

$$\geq 3(k+1) + o(k) + 2k - 5 + \frac{(k-4)(k-3)}{2} = \frac{k^2 + 3k + 8}{2} + o(k).$$

Combining Case 1 and Case 2, Theorem 1 is proved.

Proof of Corollary 1. Let $A = A_1 \cup A_2$ such that

$$A_1 = \{a_1, a_2, \dots, a_k\}, \quad A_2 = \{-b_l, \dots, -b_2, -b_1\},\$$

where

$$0 < a_1 < a_2 < \dots < a_k = M, \quad -m = -b_l < \dots < -b_2 < -b_1 < 0.$$

Obviously, we have

$$S(A) \supseteq S(A_1) \cup S(A_2) \cup \{a_1 - b_1\},\$$

and $-b_1 < a_1 - b_1 < a_1$. It follows from Theorem 1 that

$$|S(A)| \ge |S(A_1)| + |S(A_2)| + 1 \ge \frac{k(k-1)}{2} + M + \frac{l(l-1)}{2} + m + 1.$$

Now suppose that the equality in (3) holds. Then we have that

$$|S(A_1)| = \frac{k(k-1)}{2} + M, \quad |S(A_2)| = \frac{l(l-1)}{2} + m.$$

By Theorem 1 we have

$$A = \{1, 2, \dots, k-1, M\}, \quad B = \{-m, -(l-1), -(l-2), \dots, -1\}.$$

Conversely, it is easy to check that the equality in (3) holds for

$$A = \{1, 2, \dots, k-1, M\}, \quad B = \{-m, -(l-1), -(l-2), \dots, -1\}.$$

References

- [1] G. Freiman, L. Low and J. Pitman, Sumsets with distinct summands and the conjecture of Erdös-Heilbronn on sums of residues, *Astérisque* 258 (1999), 163–172.
- [2] L. Ilie, A. Salomaa, On the expressiveness of subset-sum representations, *Acta Informatica* 36 (2000), 665–672.
- [3] V. F. Lev, Restricted set addition in group I: the classical setting, J. London Math. Soc. 62(2) (2000), 27–40.
- [4] M. B. Nathanson, Inverse theorem for subset sums, Trans. Amer. Math. Soc. 347(4) (1995), 1409-1418.
- [5] M. B. Nathanson, Additive number theory: inverse problems and the geometry of sumsets, Grad. Texts in Math. 165, Springer, 1996.
- [6] T. Schoen, The cardinality of restricted sumsets, J. Number Theory 96 (2002), 48-54.

(Received 6 May 2003)