

(3, λ)-GROUP DIVISIBLE COVERING DESIGNS

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Abstract Let u, g, k and λ be positive integers with $u \geq k$. A (k, λ) -group divisible covering design $((k, \lambda)$ -GD CD) with type g^u is a λ -cover of pairs by k -tuples of a gu -set X with u holes of size g , which are disjoint and spanning. The covering number, $C(k, \lambda; g^u)$, is the minimum number of blocks in a (k, λ) -GD CD of type g^u . In this paper, the determination of the function $C(3, \lambda; g^u)$ begun by [6] is completed.

1. Introduction

Let u, g, k and λ be positive integers with $u \geq k$.

Roughly speaking, a (k, λ) -group divisible covering design $((k, \lambda)$ -GD CD) with type g^u is a λ -cover of pairs by k -tuples of a gu -set X with u holes of size g , which are disjoint and spanning. More formally, a (k, λ) -GD CD of type g^u is defined to be a triple (X, G, B) which satisfies the following properties:

- (1) G is a partition of a set X (of *points*) into subsets called *groups or holes*,
- (2) B is a set of k -subsets of X (called *blocks*) such that a group and a block contain at most one common point,
- (3) every pair of points from distinct groups occurs in at least λ blocks.

The *group-type* (or *type*) of the GD CD is the multiset $T = \{ |G| : G \in G \}$, and it will be denoted by an "exponential" notation: a type $1^i 2^r 3^k \dots$ denotes i occurrences of 1, r occurrences of 2, etc.

For any pair $e = \{x, y\}$ of points in X , let $m(e)$ be the number of blocks in B that contain e . The excess of the GDCD is the multigraph spanned by all pairs e of points from distinct groups with multiplicity $m(e) - \lambda$.

The concept of a covering design with holes has played an important role in the discussion of various covering problems. As a general covering problem, the main problem here is to determine the values of the covering number $C(k, \lambda; g^u)$, that is, the minimum number of blocks in a (k, λ) -GDCD of type g^u . Let

$$L(k, \lambda; g^u) = \lceil gu / k \lceil \lambda g(u-1) / (k-1) \rceil \rceil$$

where $\lceil x \rceil$ denotes the least integer not less than x . It is evident that

$$C(k, \lambda; g^u) \geq L(k, \lambda; g^u) \tag{1.1}$$

The lower bound (1.1) for $C(k, \lambda; g^u)$ is not always best possible. In particular, we have the following result, which is a modification of [2, Lemma 7.2].

Theorem 1.1 Suppose that $\lambda(u-1)g \equiv 0 \pmod{k-1}$ and $\lambda u(u-1)g^2 \equiv 1 \pmod{k}$. Then $C(k, \lambda; g^u) \geq L(k, \lambda; g^u) + 1$.

Theorem 1.1 and the bound (1.1) together imply that

$$C(k, \lambda; g^u) \geq B(k, \lambda; g^u) \tag{1.2}$$

where $B(k, \lambda; g^u)$ is defined by $B(k, \lambda; g^u) = L(k, \lambda; g^u) + 1$ if $\lambda(u-1)g \equiv 0 \pmod{k-1}$ and $\lambda u(u-1)g^2 \equiv 1 \pmod{k}$, and $B(k, \lambda; g^u) = L(k, \lambda; g^u)$ otherwise.

In view of (1.2), a (k, λ) -GDCD of type g^u with $B(k, \lambda; g^u)$ blocks is said to be minimal. Upper bounds on $C(k, \lambda; g^u)$ are generally given by construction of a minimal k -GDCD of type g^u .

The first author [6] has proved that $C(3, 1; g^u) = B(3, 1; g^u)$ for all positive integers g and $u \geq 3$ with the possible exception of the pairs $(g, u) \in \{(7, 8), (11, 14)\}$. In this paper, we will remove these two exceptional pairs and show that $C(3, \lambda; g^u) = B(3, \lambda; g^u)$ for all positive integers $g, \lambda \geq 2$ and $u \geq 3$. Thus the determination of the function $C(3, \lambda; g^u)$ is completed.

We use as our standard design theory reference Beth, Jungnickel and Lenz [1]. Following Hanani [2] we denote by $B(K, \lambda; v)$ a pairwise balanced design (PBD) of order v with block sizes from K and index λ . By (K, λ) -GDD we mean a group divisible design (GDD) with block sizes from K and index λ . As usual, we use 'exponential' notation to describe the type of a GDD. We simply write k for K whenever $K = \{k\}$. Using this notation, a PBD $B(k, \lambda; v)$ is a balanced incomplete block design (BIBD) with parameters v, k and λ . The notation $B(K \cup \{w^*\}, 1; v)$ stands for a PBD of order v and index unity having blocks of sizes from K , except for one block of size w when $w \in K$. If $w \in K$, then $B(K \cup \{w^*\}, 1; v)$ is a PBD of order v and index unity having blocks of sizes from K containing at least one block of size w . A similar terminology applies to GDDs.

If we remove one or more subdesigns from a GDD, we obtain a holey GDD (HGDD). In the sequel, we write (k, λ) -HGDD for a structure $(X, \{Y_i\}_{1 \leq i \leq t}, G, B)$ where X is a g -set (of points), $G = \{G_1, G_2, \dots, G_u\}$ is a partition of X into u groups of g points each, $\{Y_1, Y_2, \dots, Y_t\}$ is a partition of X into t holes, each hole Y_i ($1 \leq i \leq t$) is a set of uh_i points such that $|Y_i \cap G_j| = h_i$ for $1 \leq j \leq u$, and B is a collection of k -subsets of X (called blocks) such that no block contains two distinct points of any group or any hole, but any other pairset of points of X is contained in exactly λ blocks of B . The pair (u, T) is referred to as the type of the design where T is the multiset $\{h_i; 1 \leq i \leq t\}$ and will be denoted by an "exponential" notation. In the case of one hole, say Y , the HGDD $(X, \{Y\}, G, B)$ is called an incomplete group divisible design (IGDD). We denote it (k, λ) -IGDD and write $(g, h)^u$ for its type where $|G \cap Y| = h$ for any $G \in G$. Note that if $Y = \emptyset$, then the IGDD is a GDD.

For all practical purpose, we record the following existence results.

Theorem 1.2 [2] The necessary and sufficient condition for the existence of a $(3, \lambda)$ -GDD of type g^u are

- (1) $u \geq 3$;
- (2) $\lambda(u-1)g \equiv 0 \pmod{2}$; and

$$(3) \lambda u(u-1)g^2 \equiv 0 \pmod{6}.$$

Theorem 1.3 [4] The necessary and sufficient conditions for the existence of a $(3, \lambda)$ -IGDD of type $(g, h)^u$ are

- (1) $g \geq 2h$;
- (2) $\lambda g(u-1) \equiv 0 \pmod{2}$;
- (3) $\lambda(g-h)(u-1) \equiv 0 \pmod{2}$; and
- (4) $\lambda u(u-1)(g^2 - h^2) \equiv 0 \pmod{6}$.

Theorem 1.4 [3] Let u and t be positive integers not less than 3. The necessary and sufficient conditions for the existence of a 3-HGDD of type $(u, h)^t$ are

- (1) $\lambda(u-1)(t-1)h \equiv 0 \pmod{2}$; and
- (2) $\lambda uht(u-1)(t-1)h \equiv 0 \pmod{6}$.

Theorem 1.5 [5] There exists a $B(\{3, 5^*\}, 1; v)$ for any positive integer $v \equiv 5 \pmod{6}$.

It is worth mentioning that the notion of a GDCD is a natural generalization of standard packing designs and group divisible designs. A (u, k, λ) covering design is (k, λ) -GDCD with type 1^u . When a (k, λ) -GDD exists, it is actually a minimal (k, λ) -GDCD.

2. The determination for $C(3, 1; 7^8)$ and $C(3, 1; 11^{14})$

In this section, we deal with the two outstanding cases mentioned in Section 1. This completes the determination of the function $C(3, 1; g^u)$.

Lemma 2.1 There exists a minimal $(3, 1)$ -GDCD of type 7^8 .

Proof In this case, $B(3, 1; 7^4) = L(3, 1; 7^4) = 467$. Let the point set be $X = Z_{56}$ and the group set be $\{\{j, j+8, j+16, j+24, j+32, j+40, j+48\} : j = 0, 1, \dots, 7\}$. Then the required blocks are

$$\begin{array}{ll} \{0, 1, 6\} \pmod{56} & \{0, 3, 7\} \pmod{56} \\ \{0, 2, 23\} \pmod{56} & \{0, 11, 26\} \pmod{56} \\ \{0, 12, 39\} \pmod{56} & \{0, 13, 38\} \pmod{56} \\ \{0, 14, 36\} \pmod{56} & \\ \{0, 27, 55\} & \end{array}$$

$$\begin{aligned} \{j, j+9, j+46\} & \quad (j = 9, 10, \dots, 55) \\ \{j, j+28, j+46\} & \quad (j = 0, 1, \dots, 8) \\ \{j, j+9, j+37\} & \quad (j = 0, 1, \dots, 8) \\ \{j+9, j+18, j+46\} & \quad (j = 0, 1, \dots, 8) \end{aligned}$$

The excess of this GDCD consists of the following 29 pairs:

$$\{j, j+37\} \quad \{j+9, j+18\} \quad \{j+28, j+46\} \quad \{0, 55\} \quad \{0, 27\}$$

where $j = 0, 1, \dots, 8$. \square

Lemma 2.2 There exists a minimal $(3, 1)$ -GDCD of type 11^{14} .

Proof In this case, $B(3, 1; 11^{14}) = L(3, 1; 11^{14}) = 154 \times 24$. Let the point set be $X = Z_{154}$ and the group set be $\{\{j, j+14, j+28, j+42, \dots, j+140\}: j = 0, 1, \dots, 13\}$. Let H_0 be the subgroup of order 77 in Z_{154} . Then the blocks of required design are given below.

$$\begin{aligned} \{0, 11, 24\} \pmod{154} & \quad \{0, 12, 44\} \pmod{154} & \quad \{0, 15, 34\} \pmod{154} \\ \{0, 18, 67\} \pmod{154} & \quad \{0, 20, 65\} \pmod{154} & \quad \{0, 21, 64\} \pmod{154} \\ \{0, 22, 63\} \pmod{154} & \quad \{0, 23, 62\} \pmod{154} & \quad \{0, 25, 60\} \pmod{154} \\ \{0, 26, 59\} \pmod{154} & \quad \{0, 27, 58\} \pmod{154} & \quad \{0, 29, 69\} \pmod{154} \\ \{0, 36, 74\} \pmod{154} & \quad \{0, 51, 124\} \pmod{154} \\ \{0, 75, 76\} & \quad \text{(translated by } H_0) & \quad \{0, 2, 50\} \text{ (translated by } H_0) \\ \{1, 54, 58\} & \quad \text{(translated by } H_0) & \quad \{0, 6, 61\} \text{ (translated by } H_0) \\ \{0, 10, 47\} & \quad \text{(translated by } H_0) & \quad \{0, 8, 54\} \text{ (translated by } H_0) \\ \{0, 16, 68\} & \quad \text{(translated by } H_0) & \quad \{0, 5, 77\} \text{ (translated by } H_0) \\ \{0, 66, 137\} & \quad \text{(translated by } H_0) & \quad \{1, 4, 11\} \text{ (translated by } H_0) \\ \{1, 67, 76\} & \quad \text{(translated by } H_0) & \quad \{0, 1, 72\} \text{ (translated by } H_0) \\ \{1, 17, 78\} & \quad \text{(translated by } H_0) & \quad \{1, 3, 8\} \text{ (translated by } H_0) \\ \{1, 38, 55\} & \quad \text{(translated by } H_0) & \quad \{0, 3, 9\} \text{ (translated by } H_0) \\ \{0, 57, 107\} & \quad \text{(translated by } H_0) & \quad \{1, 5, 53\} \text{ (translated by } H_0) \\ \{1, 56, 109\} & \quad \text{(translated by } H_0) & \quad \{1, 9, 77\} \text{ (translated by } H_0) \end{aligned}$$

The excess of this GDCD consists of the following 77 pairs:

$$\{j, j+77\} \quad (j = 0, 1, \dots, 76). \quad \square$$

As an immediate consequence of (1.2) and the above lemmas, we obtain the following.

Corollary 2.3 If $(g, u) \in \{(7, 8), (11, 14)\}$, then $C(3, 1; g^u) = B(3, 1; g^u)$.

Combining the results in [6] and Corollary 2.3 gives the following theorem.

Theorem 2.4 Let g and $u \geq 3$ be positive integers. Then $C(3, 1; g^u) = B(3, 1; g^u)$ where $B(3, 1; g^u) = \lceil gu / 3 \lceil g(u-1) / 2 \rceil \rceil$.

3. Covering numbers for $2 \leq \lambda \leq 5$

In this section, we determine completely the covering number $C(3, \lambda; g^u)$ for $2 \leq \lambda \leq 5$. We shall prove that the lower bound (1.2) on the function $C(3, \lambda; g^u)$ is achieved for all positive integer g , $u \geq 3$ and $2 \leq \lambda \leq 5$. More specifically, we show the following.

Theorem 3.1 Let g , λ and u be positive integers satisfying $u \geq 3$ and $2 \leq \lambda \leq 5$. Then $C(3, \lambda; g^u) = B(3, \lambda; g^u)$, in which $B(3, \lambda; g^u) = \lceil gu / 3 \lceil \lambda g(u-1) / 2 \rceil \rceil + 1$ whenever

$$(1) \lambda = 2, g \equiv 1 \text{ or } 2 \pmod{3} \text{ and } u \equiv 2 \pmod{3};$$

$$(2) \lambda = 5, g \equiv 2 \text{ or } 4 \pmod{6} \text{ and } u \equiv 2 \pmod{3};$$

$$(3) \lambda = 5, g \equiv 1 \text{ or } 5 \pmod{6} \text{ and } u \equiv 5 \pmod{6},$$

and $B(3, \lambda; g^u) = \lceil gu / 3 \lceil \lambda g(u-1) / 2 \rceil \rceil$ otherwise.

As already mentioned earlier, in order to prove Theorem 3.1 we need only to construct a minimal GDCD for each stated values of g , u and λ . Note that the result for $g = 1$ in Theorem 3.1 has been proved by Hanani [2]. So, we may also assume that $g \geq 2$ below.

We now present our constructions for the required $(3, \lambda)$ -GDCDs, which split into four lemmas depending on the values of λ .

Lemma 3.2 For all integers $g \geq 2$ and $u \geq 3$, $C(3, 2; g^u) = B(3, 2; g^u)$.

Proof For the case where $g \equiv 1, 2 \pmod{3}$ and $u \equiv 0, 1 \pmod{3}$ or $g \equiv 0 \pmod{3}$ and $u \geq 3$, the results follows from Theorem 1.2 where the GDCD is exact.

For the remaining case where $g \equiv 1, 2 \pmod{3}$ and $u \equiv 2 \pmod{3}$, first note that $B(3, 2; g^u) = \lceil gu / 3 \lceil 2g(u-1) / 2 \rceil \rceil + 1$. The construction then is as follows.

Start with a $B(\{3, 5^*\}, 1; 2u+1)$ which exists by Theorem 1.5. Delete one point not belonging to the block of size 5 to create a $(\{3, 5^*\}, 1)$ -GDD of type 2^u . Replace the

distinguished block by a minimal $(3, 2)$ -GDCD of type 1^5 and take two copies of all blocks of size 3 from the GDD. This gives a minimal $(3, 2)$ -GDCD of type 2^u whose excess consists of four pairs. Now we take a $(3, 2)$ -IGDD of type $(g, 2)^u$ from Theorem 1.3 and fill in its hole by the above minimal $(3, 2)$ -GDCD of type 2^u to obtain the required minimal $(3, 2)$ -GDCD of type g^u . \square

Lemma 3.3 For all integers $g \geq 2$ and $u \geq 3$, $C(3, 3; g^u) = B(3, 3; g^u)$.

Proof Theorem 1.2 takes care of the case where $g \equiv 0 \pmod{2}$ and $u \geq 3$ or $g \equiv 1 \pmod{2}$ and $u \equiv 1 \pmod{2}$.

For the case where $g \equiv 1 \pmod{2}$ and $u \equiv 0 \pmod{6}$, note that a $(3, 3)$ -HGDD of type $(u, 1^g)$ exists by Theorem 1.4. Replacing each of holes in a $(3, 3)$ -HGDD of type $(u, 1^g)$ by a copy of a minimal $(3, 3)$ -GDCD of type 1^u produces the result.

For the case where $g \equiv 1 \pmod{2}$ and $u \equiv 4 \pmod{6}$, a minimal $(3, 3)$ -GDCD of type g^u is obtained by taking a minimal $(3, 1)$ -GDCD and a $(3, 2)$ -GDD with type g^u .

It remains to treat the case where $g \equiv 1 \pmod{2}$ and $u \equiv 2 \pmod{6}$. We distinguish the constructions into three cases according to the values of $g \pmod{6}$.

Case 1 $g \equiv 1 \pmod{6}$

In this case, the excess of a minimal $(3, 3)$ -GDCD of type g^u consists of $(gu / 2) + 2$ pairs and the construction is as follows.

(1) Take a minimal $(3, 1)$ -GDCD of type g^u from Theorem 2.4. According to the construction of the design, we can know that its excess contains $(gu / 2) + 1$ pairs. We may also assume that two disjoint pairs $\{b, c\}$ and $\{d, e\}$ are contained in the excess.

(2) Take a minimal $(3, 2)$ -GDCD of type g^u constructed in Lemma 3.2, which contains a sub-GDCD of type 1^5 . Assume that the sub-GDCD is based on $\{a, b, c, d, e\}$. Replace the sub-GDCD by the following 7 blocks:

$$(a, b, e) \{a, c, d\} \{a, c, e\} \{a, b, d\} \{b, d, e\} \{b, c, e\} \{c, d, e\}$$

It is readily checked that the above two steps yield a minimal $(3, 3)$ -GDCD of type g^u .

Case 2 $g \equiv 3 \pmod{6}$

In this case, a minimal $(3, 3)$ -GDCD of type g^u is obtained by taking a minimal $(3, 1)$ -GDCD and a $(3, 2)$ -GDD with type g^u .

Case 3 $g \equiv 5 \pmod{6}$

In this case, the procedure is the same as the above Case 1. \square

Lemma 3.4 For all integers $g \geq 2$ and $u \geq 3$, $C(3, 4; g^u) = B(3, 4; g^u)$.

Proof The case where $g \equiv 1, 2 \pmod{3}$ and $u \equiv 0, 1 \pmod{3}$ or $g \equiv 0 \pmod{3}$ and $u \geq 3$, are covered by Theorem 1.2 where the GDCD is exact.

For the case where $g \equiv 1, 2 \pmod{3}$ and $u \equiv 2 \pmod{3}$, the construction is similar to that of Lemma 3.2, with a minor modification. A minimal $(3, 4)$ -GDCD of type 2^u is formed by taking four copies of all blocks of size 3 from a $(\{3, 5^*\}, 1)$ -GDD of type 2^u and then replacing the distinguished block by a minimal $(3, 4)$ -GDCD of type 1^5 . Then we take a $(3, 4)$ -IGDD of type $(g, 2)^u$ from Theorem 1.3 and fill in its hole by the above minimal $(3, 4)$ -GDCD of type 2^u to obtain the required minimal $(3, 4)$ -GDCD of type g^u . This completes the proof. \square

Lemma 3.5 For all integers $g \geq 2$ and $u \geq 3$, $C(3, 5; g^u) = B(3, 5; g^u)$.

Proof If one of the following congruences is satisfied:

- (1) $g \equiv 1, 5 \pmod{6}$ and $u \equiv 1, 3 \pmod{6}$;
- (2) $g \equiv 2, 4 \pmod{6}$ and $u \equiv 0, 1 \pmod{3}$;
- (3) $g \equiv 3 \pmod{6}$ and $u \equiv 1 \pmod{2}$;
- (4) $g \equiv 0 \pmod{6}$ and $u \geq 3$,

the results follows from Theorem 1.2 where the GDCD is exact.

For the case where $g \equiv 1, 5 \pmod{6}$ and $u \equiv 0$ or $4 \pmod{6}$, the required minimal $(3, 5)$ -GDCD of type g^u is given by taking a minimal $(3, 1)$ -GDCD and a $(3, 4)$ -GDD with the same type g^u .

For the case where $g \equiv 1 \pmod{6}$ and $u \equiv 2 \pmod{6}$, it was shown in Theorem 1.4 that a $(3, 5)$ -HGDD of type $(u, 18)$ exists. Filling in each hole of such HGDD by a minimal $(3, 5)$ -GDCD of type 1^u produces the desired GDCD.

For the case where $g \equiv 5 \pmod{6}$ and $u \equiv 2 \pmod{6}$, the required minimal (3, 5)-GDCD of type g^u is given by taking a minimal (3, 1)-GDCD and a minimal (3, 4)-GDCD with the same type g^u .

For the case where $g \equiv 1, 5 \pmod{6}$ and $u \equiv 5 \pmod{6}$, or $g \equiv 2, 4 \pmod{6}$ and $u \equiv 2 \pmod{3}$, $B(3, 2; g^u) = \lceil \frac{gu}{3} \lceil \frac{\lambda g(u-1)}{2} \rceil \rceil + 1$. The required minimal (3, 5)-GDCD of type g^u is given by taking a minimal (3, 2)-GDCD and a (3, 3)-GDD with the same type g^u .

Finally, for the case where $g \equiv 3 \pmod{6}$ and $u \equiv 0 \pmod{2}$, the required minimal (3, 5)-GDCD of type g^u is obtained by taking a minimal (3, 1)-GDCD and a (3, 4)-GDD with the same type g^u . \square

4. Conclusion

As a consequence of Theorems 2.4 and 3.1, we have

Theorem 4.1 Let g, λ and u be positive integers satisfying $u \geq 3$. Then $C(3, \lambda; g^u) = B(3, \lambda; g^u)$, in which $B(3, \lambda; g^u) = \lceil \frac{gu}{3} \lceil \frac{\lambda g(u-1)}{2} \rceil \rceil + 1$ when one of the following congruences is satisfied:

$$(1) \lambda \equiv 2 \pmod{6}, g \equiv 1 \text{ or } 2 \pmod{3} \text{ and } u \equiv 2 \pmod{3};$$

$$(2) \lambda \equiv 5 \pmod{6}, g \equiv 2 \text{ or } 4 \pmod{6} \text{ and } u \equiv 2 \pmod{3};$$

$$(3) \lambda \equiv 5 \pmod{6}, g \equiv 1 \text{ or } 5 \pmod{6} \text{ and } u \equiv 5 \pmod{6},$$

and $B(3, \lambda; g^u) = \lceil \frac{gu}{3} \lceil \frac{\lambda g(u-1)}{2} \rceil \rceil$ otherwise.

Proof The result for $\lambda \leq 5$ was established in Theorems 2.4 and 3.1. For $\lambda \geq 6$, let $\lambda = 6m + \lambda'$. In this case, a minimal (3, λ)-GDCD of type g^u is obtained by taking a minimal (3, λ')-GDCD of type g^u and m times a (3, 6)-GDD of type g^u (for the existence of this see Theorem 1.2). The conclusion then follows from (1.2). \square

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